# Data and mechanistic model constraints on plant refugia and woolly mammoth extinction after the last glaciation

By

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#### ABSTRACT

The late Quaternary and last deglaciation offers a series of natural opportunities to study species responses to changing environments and climates. These responses include range shifts, population declines and expansions, and extinctions. In eastern North America, plants migrated from southern refugia to the north as temperatures rose and ice sheets retreated, with few plant extinction events. Unlike most other high-latitude Northern Hemisphere landmasses, Beringia was largely unglaciated during the last glacial period, and hence served as a glacial refugium for Holarctic plant taxa (Anderson et al. 2006), a migration route for humans into the Americas (Hoffecker et al. 2014), and habitat for a diverse and now-vanished ecosystem of megafauna (Guthrie 2001). Yet the ecosystems and environments of Beringia remain incompletely understood, in part because central Beringia is now under water. A notable exception is St. Paul Island, part of the Pribilof Islands, which is a volcanic island that was formerly part of the Bering Land Bridge. St. Paul is notable because 1) it was connected to the mainland during the last glacial period and then isolated between 14,700 and 13,500 years ago as sea levels rose (Graham et al., 2016); 2) it served as a refugium for woolly mammoth (Mammuthus primigenius) after the mainland megafauna extinction until 5600 yr BP; and 3) it, along with the rest of the southern coastal margin and central Beringia, are hypothesized refugia for woody plants during the last glacial period. The first two chapters of my dissertation focus on the ecological and environmental history of St. Paul Island, and use a combination of primary data (fossil pollen, ancient DNA, plant macrofossils) and

mechanistic ecological models (Niche Mapper and LPJ-GUESS), to test hypotheses about whether St. Paul was a refugium for woody plants and the environmental factors that may have contributed to the decline and extinction of the St. Paul woolly mammoth population.

Another major theme of my dissertation research has been to understand the environmental factors that may have controlled megafaunal population abundances and distributions, using woolly mammoth as a focal species. Large animals (megafauna, defined to have an average body weight larger than 45kg (Martin and Klein 1989)), were widely distributed worldwide during the Pleistocene, but most genera went to extinction by 10,000 years before present (yr BP) (Barnosky et al. 2004), with some island populations persisting until the middle to late Holocene (Vartanyan et al. 1993, Veltre et al. 2008, Allentoft et al. 2014). Explanations for these extinctions include abrupt climate changes at the end of Pleistocene, habitat losses controlled by climate, human overhunting or other anthropogenic pressures, or a combination of environmental change and human activities (Guthrie 2006, Campos et al. 2010, Lorenzen et al. 2011, Cooper et al. 2015).

The survival of a woolly mammoth population on St. Paul Island for thousands of years after mainland populations disappeared, on an island with no known human presence until the late 18<sup>th</sup> century (Veltre et al. 2008), provides a good opportunity to study the environmental mechanisms that may have promoted megafaunal persistence and, eventually, extinction. Woolly mammoth (*Mammuthus primigenius*) were widely distributed across the Northern Hemisphere during the last glacial period, but disappeared from North America completely between 14,000 and 10,000 yr BP (Haile et al. 2009).

In Chapter 1 of this thesis, I first reconstructed vegetation history on St. Paul Island to test hypotheses about 1) whether southern coastal Beringia was a refugium for woody taxa during the last glacial maximum (LGM) and 2) the habitats available on Beringia for late-Pleistocene megafaunal and human populations (Chapter 1). This chapter builds upon prior work by Paul Colinvaux, who counted fossil pollen from Cagaloq Lake (also known as Lake Hill) on St. Paul Island and found spruce pollen before 11,000 yr BP. Based on these findings, he initially proposed that the southern coastal Bering Land Bridge was a refugium for spruce trees during the last glacial period (Colinvaux 1967a), but then rejected this refugial hypothesis because the pollen accumulation rate which was calculated based on later radiocarbon dates was too low to be locally deposited (Colinvaux 1981). Other workers have continued to debate whether central Beringia was occupied by open vegetation (steppe, tundra) or shrubs and the kind of habitats available to Pleistocene megafauna and early human immigrants (Ager and Phillips 2008, Elias and Crocker 2008, Hoffecker et al. 2014). My co-authors and I analyzed sediment cores from Lake Hill, with an age model anchored by 18 radiocarbon dates and multiple paleoecological indicators (pollen, sedimentary ancient DNA [sedaDNA], and macrobotanical fossils) for presence/absence of four woody genera: Picea, Betula, Alnus, and Salix. This work showed that St. Paul has been continuously occupied by prostrate shrub-graminoid tundra since 18,000 yr BP. All three lines of evidence strongly indicated that St. Paul and southern coastal Beringia were not refugia for woody taxa during the LGM. There was also no evidence for major change in vegetation composition after the woolly mammoth extinction at 5600 yr BP, although more forb taxa occurred and Poaceae, Cyperaceae and Equisetum abundances increased.

The persistence of prostrate shrub-graminoid tundra is consistent with the interpretation that herbaceous tundra prevailed on Beringia during the LGM (Ager and Phillips 2008), but does not rule out the possibility of mesic shrub tundra in the central lowlands of Beringia (Elias and Crocker 2008, Hoffecker et al. 2014). The tundra vegetation of St. Paul was able to support a small population of woolly mammoths for 8000 years.

In Chapter 2, I used Niche Mapper, a mechanistic niche model of mammalian energetic balance and behavioral strategies (Porter and Mitchell 2006), to test hypotheses about the relative importance of climatic and vegetation factors on woolly mammoth extinction on St. Paul Island. Hypothesized extinction drivers included rising temperatures, starvation, and drought. I tested these hypotheses using Niche Mapper and LPJ-GUESS to mechanistically estimate mammoth metabolic rates, dietary and freshwater requirements, and island carrying capacity. Simulations indicated that adult mammoths could have fasted for only 2-3 months, indicating a necessary ability to access snow-buried forage. The population carrying capacity of St. Paul Island may have been several hundred individuals at the time of initial isolation from the mainland. During the Holocene, vegetation net primary productivity increased in response to rising temperature and atmospheric  $CO_2$  concentrations, but shrinking island area lowered the carrying capacity to  $\sim 100$  individuals. Only 2-3 individuals could have been sustained by the annual freshwater surplus in crater lakes, suggesting that the St. Paul population was highly dependent on coastal freshwater sources. The Niche Mapper simulations were consistent with the available proxy data, which indicate that the St. Paul mammoth populations died out during a period of heighted aridity and lowered water availability.

Together, the Niche Mapper simulations and proxy data reinforce the vulnerability of small island megaherbivore populations to extinction.

In Chapter 3, I extended the Niche Mapper and LPJ-GUESS approach to test the effect of late-Pleistocene climate and vegetation change on woolly mammoth distributions in continental North America. In North America south of the Laurentide and Cordilleran Ice Sheets, the last appearance of mammoth population was around 13,500 to 12,200 yr BP in the Midwest and 5,260 yr BP in Colorado Plateau (Stafford et al. 2016, Widga et al. 2017), with various proposed hypotheses. I used Niche Mapper to reconstruct the potential distributions of woolly mammoth in North America since 21,000 yr BP for a 0.5°x0.5° latitude/longitude grid, using CCSM3 climate simulations, vegetation NPP and forest openness based on LPJ-GUESS, and reconstructions of the Laurentide and Cordilleran Ice Sheets from ICE-6G (VM5a) (Argus et al. 2014). These results suggested that the availability of open vegetation habitat severely constrained mammoth distributions, and may have contributed to their reduced ranges, population sizes, and possibly their final extinction during the late Pleistocene. The availability of suitable habitat in North America south of the ice sheets began to decline by 14,000 years ago and rapidly decreased between 12,000 and 10,000 years ago. The availability of open grasslands and forests emerged as a major limiting factor on mammoth distributions, with the loss of parklands and grasslands between 14,000 and 10,000 yr BP serving as a key driver for excluding woolly mammoth out of midwestern and northeastern United States. The opening of the ice-free corridor at ca. 13,000 years ago (Ives et al. 2013) may have been partially or wholly blocked by the rapid expansion and growth of coniferous forests, reducing opportunities for mammoths to escape northwards. This corridor was not wholly

blocked by close forest to megafaunal grazers at all times, given the migration of bison along it, but human arrival and hunting may have made the corridor increasingly perilous to traverse. The Colorado Plateau and Great Plains could have provided refugial areas for mammoth in the Holocene due to the simulated combination of suitable climates and vegetation composed of a mixture of grasslands, parklands and open forests. More work is needed to assess and refine the vegetation simulations by LPJ-GUESS, which tends to simulate too much forest in the Great Plains during the Holocene, when compared to independent reconstructions from fossil pollen data (Williams et al. 2011). Nevertheless, these results suggest that the loss of open lands and parklands in the Pleistocene-Holocene transition may have played a key role in woolly mammoth population declines and extinction, and further suggest that the ice-free corridor was only briefly and partially open for woolly mammoth migration to the high latitudes, before the corridor was blocked by the development of boreal forest.

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## Chapter 1 The southern coastal Beringian land bridge: cryptic refugium or pseudorefugium for woody plants during the Last Glacial Maximum?

#### **INTRODUCTION**

The Bering Land Bridge (BLB) is a low-lying continental shelf that served as a biogeographic corridor and filter between northeastern Asia and western Alaska during Pleistocene glacial periods, when sea level dropped >100 m below present levels (Elias and Crocker 2008). Unlike most other high-latitude Northern Hemisphere landmasses, Beringia essentially remained unglaciated during the last glacial cycle, and hence served as a glacial refugium for Holarctic plant taxa (Anderson *et al.* 2006), a migration route for humans into the Americas (Hoffecker et al. 2014), and habitat for a diverse and nowvanished ecosystem of megafauna (Barnosky et al. 2004). Yet the ecosystems and environments of Beringia remain incompletely understood, in part because central Beringia is now under water. Here we present a new well-dated, multiproxy, paleovegetation record from Lake Hill (St. Paul Island, Alaska), a classic site from southern coastal Beringia (Colinvaux, 1967, 1981), and use this record to address three questions of interest to biogeographers, archaeologists, and paleoecologists: First, what environments and habitats were available to megafauna and early humans as they occupied or traversed the BLB? Second, was the southern coastal BLB a refugium for woody taxa during the Last Glacial Maximum (LGM; 26,500 – 19,000 yr BP) and can we discriminate cryptic refugia (small paleo populations undetected by fossil proxies) (Gavin *et al.* 2014) from pseudorefugia (false inferences of past species presence, also called mystic refugia) (Tzedakis *et al.* 2013)? Third, what were the trophic effects of the late-Quaternary megafaunal extinctions on vegetation structure and composition (Gill 2014)?

Current debates about Beringian vegetation during the LGM center primarily on the prevalence of mesic shrub tundra versus graminoid-herb tundra (Ager and Phillips 2008, Elias and Crocker 2008). One perspective, based on fossil pollen and insect data, holds that mesic shrub tundra was widespread during the LGM, particularly in low-lying and now-submerged portions of Beringia (Elias and Crocker 2008, Hoffecker et al. 2014), which possibly acted as a biogeographic barrier to steppe-adapted plants and animals (Guthrie, 2001). However, others have argued that graminoid-forb tundra prevailed across Beringia during the LGM, based on fossil pollen, macrofossils, and stable isotope analyses in Alaska and on Bering Sea island remnants (Colinvaux 1981, Goetcheus and Birks 2001, Ager 2003, Bigelow et al. 2003, Kaplan et al. 2003, Wooller et al. 2007, Ager and Phillips 2008, Blinnikov et al. 2011). In the latter interpretation, the graminoid-forb tundra was maintained by dry climates, dust deposition and, perhaps, grazers (Blinnikov et al., 2011), and provided habitat for the diverse Pleistocene megafaunal guilds of Beringia(Ager and Phillips 2008, Blinnikov et al. 2011, Gaglioti et al. 2011, Willerslev et al. 2014). Shrub taxa were in dwarf form or restricted to local habitats such as riparian or wetland settings.

A parallel conversation has focused on whether current Arctic tree populations source from long-distance migrations from south of the LGM ice sheets or from small local refugia (Hulten 1968). These small northerly refugia are sometimes referred as

'cryptic' due to the difficulty in detecting them in the fossil record (Stewart and Lister 2001, Provan and Bennett 2008, Gavin et al. 2014). Prior studies support the existence of Beringian glacial refugia for woody taxa such as *Picea* (spruce), *Betula* (birch) and *Alnus* (alder) (Colinvaux 1967a, Anderson 1985, Brubaker et al. 2005, Anderson et al. 2006, Elias and Crocker 2008). For example, genetic surveys of contemporary populations of Picea glauca strongly suggest a Beringian refugium (Anderson et al., 2006), and deciduous broadleaved shrublands and forests were well established in eastern Beringia by 13,500 years ago (Edwards et al., 2005). However, no single line of evidence for refugia is definitive (Gavin et al. 2014), and each creates the possibility of mystic or pseudorefugia, in which presence is falsely inferred (Tzedakis *et al.*, 2013). For example, the problem of long-distance pollen transport is acute in the Arctic where atmospheric circulation is pronounced and local pollen productivity often is low (Bourgeois et al. 1985). Similarly, the reliability of sedaDNA can be challenged on the grounds of possible contamination, unknown provenance, and variable quality (Birks et al. 2012, Parducci et al. 2012a, Parducci et al. 2012b). Macrofossils usually are diagnostic of local presence when found, but their absence rarely proves absence of the organism. Thus multi-proxy site studies are needed to confirm the absence or presence, precise location, and extent of proposed Beringian refugia.

Another conversation concerns the two-way relationship between megafaunal extinctions and vegetation composition. Causal hypotheses for the Pleistocene extinctions include food resource loss due to mesic shrub tundra expansion (Guthrie 2001, Elias and Crocker 2008, Willerslev et al. 2014) and human settlement (Yesner 2001). Proposed ecosystem effects of megafaunal extinctions include increase of palatable woody species and biomass due to herbivory release, enhanced fire regime due to increased woody biomass, formation of no-analog plant communities (Gill et al. 2009, Gill et al. 2012), and albedo-related surface-atmosphere feedbacks (Doughty et al. 2010, Doughty 2013).

Our work addresses these questions by presenting a new, well-dated, and multiproxy record for the last 18,000 years from Lake Hill on St. Paul Island, Alaska, a remnant of the southern coastal BLB. Lake Hill, also called Cagaloq Lake, was previously cored by Paul Colinvaux (1967a,b, 1981), who rejected hypotheses that *Picea* was locally present on the southern coast of Beringia, due to low pollen accumulation rates. Our record adds 12 new radiocarbon dates to those reported by (Graham et al. 2016) and extends the age model to the base of the Lake Hill core. We present new analyses of three independent paleoecological proxies from this core: fossil pollen, macrobotanical fossils, and sedaDNA. This multiproxy study enables stronger inferences about the regional environments on southern coastal Beringia for the last 18,000 years and whether this region was a glacial refugium for woody plants.

#### MATERIALS AND METHODS

St. Paul Island (57°11'N, 170°16'W) is a volcanic island of the Pribilof Islands in the Bering Sea, nearly 500 km west-southwest from mainland Alaska and about 400 km north-northeast from the Aleutian Islands (Fig. 1.1). Island area at present is 109 km<sup>2</sup>. Climates are maritime; in 1981-2010, average temperature ranged from -6.5 to 10.4 °C, while average annual rainfall and snowfall were 605 mm and 1567 mm respectively (http://w2.weather.gov/climate/xmacis.php?wfo=pafc). The island is snow-covered in the winter. Summer vegetation is mainly forb-graminoid tundra (Fig. 1.1c) with prostrate shrub willow (*Salix*) (< 20 cm) and Ericaceae, as well as Poaceae, Cyperaceae, Apiaceae, and other forbs. The vertebrate fauna is depauperate: Arctic fox (*Vulpes lagopus*) and Pribilof Island shrew (*Sorex pribilofensis*) are present today, fur seals (*Callorihinus ursinus*) are abundant on the coasts, and reindeer (*Rangifer tarandus*) were introduced to the island in 1911 AD (Scheffer 1951). Woolly mammoth (*Mammuthus primigenius*) was present until 5600 yr BP (Graham *et al.*, 2016), and polar bear fossils (*Ursus maritimus*) are dated to 4800 and 4400 yr BP (Veltre et al. 2008). Humans apparently first arrived in 1787 AD (Veltre and Veltre 1981).



Figure 1.1 Map of Beringia and St. Paul Island, edited from Graham *et al.* (2016). Plot a) shows current continents (dark gray) and the past location of the Bering Land Bridge (light gray) with red box indicating St. Paul Island. Plot b) shows the location of Lake Hill on St. Paul Island. Plot c) shows a photo of Lake Hill and its crater to indicate topography and adjacent tundra community. The photo was taken in July, 2013 by Yue Wang.

Table 1.1 Radiocarbon dates and other age controls for *Bacon* age model for lake cores from Lake Hill, St. Paul Island. Previously published sample dates (sample IDs 01-07) are from Graham *et al.* (2016)

Sample ID	AMS Lab ID	LacCore Section ID	Section Depth (cm)*	MCD (cm)	Material Dated	<sup>14</sup> C Age (BP)	Calibrated Age (BP) <sup>#</sup>
01	UCIAMS-128455	LAHI13-1B-1B-1	40.5	21.5	Bryophyta, cf. Polytrichopsida <sup>†</sup>	$225 \pm 15$	305 - 0
02	UCIAMS-128456	LAHI13-1A-2L-1	62.0	181.0	Bryophyta, cf. <i>Brachythecium</i> <sup>†</sup>	2,585 ± 15	2,755 - 2,720
03	UCIAMS-128457	LAHI13-1D-2B-1	77.5	274.5	leaf skeleton fragment, cf. $Salix^{\dagger}$	3,280 ± 20	3,565 - 3,455
04	A	niakchak Tephra		294	N/A	N/A	$3,595\pm4$
05	UCIAMS-128458	LAHI13-1B-6L-1	29.0	489.0	leaf/stem fragment, cf. Salix <sup>†</sup>	$4,575 \pm 20$	5,435 - 5,080
06	UCIAMS-128459	LAHI13-1D-5B-1	45.0	535.0	leaf fragments	$5,\!380\pm20$	6,280 - 6,030
07	UCIAMS-128460	LAHI13-1B-8L-1	87.5	738.5	Bryophyta, cf. Polytrichopsida <sup>†</sup>	9,990 ± 30	11,615 - 11,280
08	UGAMS-24340	LAHI13-1B-9L-1	34.5	802.0	dicot leaf	$10,260 \pm 40$	11,1818 - 12,158
09	UGAMS-22547	LAHI13-1D-10L-1	35.5	1,037.5	spore cases	$11,\!680 \pm 50$	13,411 – 13,592
10	UGAMS-24030	LAHI13-1A-11L-1	56.5	1,081.5	cladoceran chitin	$12,010 \pm 50$	13,743 - 14,018
11	UGAMS-22548	LAHI13-1A-12L-1	47.5	1,169.5	chironomid pupae and head capsule chitin	12,570 ± 65	14,457 – 15,171
12	UGAMS-22549	LAHI13-1A-13L-1	22.5	1,205.5	chironomid pupae and head capsule chitin	13,160 ± 60	15,587 – 16,041
13	UGAMS-22550	LAHI13-1A-13L-1	38.5	1,221.5	chironomid pupae and head capsule chitin	13,330 ± 55	15,829 - 16,233
14	UGAMS-22551	LAHI13-1A-13L-1	38.5	1,221.5	plant fragments	$14,000 \pm 40$	16,764 - 17,192
15	UGAMS-24032	LAHI13-1A-14L-1	8.5	1,257.5	plant fragments	$14,140 \pm 50$	17,013 - 17,434
16	UGAMS-24031	LAHI13-1A-14L-1	8.5	1,257.5	cladoceran chitin	$14,170 \pm 50$	17,064 - 17,464
17	UGAMS-22553	LAHI13-1A-13L-1	61.5	1,309.5	chironomid pupae and head capsule chitin	13,310 ± 70	15,778 – 16,231
18	UGAMS-22552	LAHI13-1A-13L-1	61.5	1,309.5	plant fragments	$14,090 \pm 45$	16,943 - 17,379
19	UGAMS-24033	LAHI13-1A-14L-1	89.5	1,337.5	plant fragments	$15,350 \pm 50$	18,494 - 18,757

\* Depths expressed as cm below sediment surface

<sup>#</sup> Range defined by  $2\sigma$ ;

<sup>†</sup> Dated materials modifications from Graham et al., 2016;

MCD: mean composite depth;

UCI: University of California, Irvine;

UG: University of Georgia, Center for Applied Isotope Studies.

Lake Hill is a closed circular volcanic lake, about 200 m wide and 1.3 m deep

(Fig. 1.1). Three cores were taken from the lake center in March 2013 under a ~30 cm ice

layer, and a master composite profile was built from the three cores (Graham et al.,

2016). All depths are reported here as master composite depth (MCD) and represent centimeters below the sediment-water interface. The age model is based on 18 radiocarbon dates from plant fossils and aquatic invertebrates chitin, and one tephra date at 3595±4 yr BP corresponding to the Aniakchak eruption (Kaufman et al. 2012) (Table 1.1). The tephra and upper six radiocarbon dates were previously published by Graham *et al.* (2016); the lower twelve dates are new to this study (Table 1.1). *Bacon* 2.2 was used to create the age-depth model (Blaauw and Christen 2011), and the parameters were set as values in Table S1.1 in Appendix S1. All ages are reported here as calendar years before AD 1950 (yr BP) unless otherwise noted.

We surveyed modern vegetation and took modern soil pollen samples in July 2013, focusing on eleven sites including graminoid-forb tundra in wetlands near Lake Hill and sparsely vegetated tundra on the barren rock ground at the top of the hill (Table S2.2). For macrobotanical fossil analysis, 2-cm<sup>3</sup> sediment samples were selected approximately every 10 cm. A total of 84 samples were intensively analyzed for macrobotanical remains. An additional 55 samples underwent cursory inspection and were not further analyzed due to a paucity of botanical material. Plants were identified according to pictures and resources listed in Appendix S3 (Fig. S3.1).

We counted fossil pollen and spores following a slightly modified version of methods described in Graham *et al.* (2016). For sediments between 671 and 893 cm, where pollen and spore concentration was low, heavy liquid separation using sodium polytungstate (SPT) was applied to enrich pollen and spore concentrations and facilitate counting, following a protocol developed at the University of Maine (Nurse, pers. comm.). We analyzed 134 1-cm<sup>3</sup> samples for pollen and spores. Taxon abundances were

calculated as percentages relative to a sum of total pollen and spores. Fossil pollen and spore assemblages were divided into zones using the stratigraphically constrained ordination technique (CONISS), implemented in *rioja* in R (Grimm 1987, Juggins 2015). The minimum dissimilarity between each fossil pollen sample and its closest modern pollen analog was calculated as a squared chord distance (SCD), using analogue in R (Williams et al. 2001, Simpson 2007). For the modern-analog analyses, the modern pollen and spore dataset consisted of the 11 soil surface pollen assemblages from St. Paul and 64 modern pollen assemblages from mainland Alaska (Anderson and Brubaker 1986); Whitmore *et al.*, 2005) assigned to tundra types based on the North American Seasonal Land Cover vegetation classification (Anderson, 1976). The threshold for nonanalog communities was set as 0.36, calculated based on minimum SCDs and receiver operating characteristic (ROC) analysis (Gavin et al. 2003, Oswald et al. 2003, Wahl 2004) and a comparison of St. Paul modern pollen assemblages to modern mainland Alaskan tundra pollen assemblages. The rationale here is that St. Paul modern pollen assemblages already differ compositionally from mainland counterparts and thus St. Paul assemblages would have to exceed this to be considered non-analogue. We used principal component analysis (PCA) to analyze the major trends in pollen and spore composition with ggbiplot in R (Vu 2011), and included only pollen and spores from plant taxa with a maximum abundance higher than 2% and at least 5 occurrences in the combined fossil and modern datasets.

Taking advantage of published genome sequences, we used a shotgun sequence approach to sedaDNA analyses to test hypotheses about the presence of *Picea*, *Betula* and *Salix*. *Alnus* was not examined, as there is currently no publicly available *Alnus* reference

genome. This approach is more sensitive to presence of DNA in mixed samples than metabarcoding approaches, as DNA fragments from across the genome can be used to confirm a taxon's presence in a sedaDNA extract. The sedaDNA sequence dataset of Graham et al. (2016) was supplemented with sequence data from 21 additional samples and two additional negative extraction controls, following the methods described there (Table S4.3). A slightly modified version of the bioinformatic pipeline outlined in Graham et al. (2016) was followed. The filtered sequence data from each sample was independently mapped to five reference genomes (three Picea, one Salix, one Betula; Table S4.4). The mapped sequence reads were filtered by two methods to remove spurious alignments. First, for the *Salix* and *Betula* alignments, we removed reads that mapped to both genomes. Second, for all alignments, we compared the remaining mapped sequences to the NCBI nucleotide database (release date, 2015/11/01) and removed sequences that either uniquely hit or had a higher-score hit to a non-Pinales (*Picea*), non-Saliceae (*Salix*), or non-Betulaceae (*Betula*) sequence in the NCBI database. For these three taxa to be considered present in a Lake Hill sample, the proportion of sequences assigned to each woody plant taxon in a given sample had to be greater than the proportion observed in the negative extraction controls. This allowed us to assess potential background contaminating DNA that may be present in reagents or the laboratory. Furthermore, after aligning reads to reference genomes, we assessed whether characteristic ancient DNA damage patterns were present. Ancient DNA is often characterized by short fragment lengths (<100 base pairs [yr BP]) and by changes to the DNA molecules manifesting either as incorrectly copied sequences due to cytosine deamination at the ends of DNA molecules, or as depurination-induced fragmentation

(Dabney et al. 2013). We also assessed the ancient DNA damage patterns in the control samples aligned to the *Picea* and *Betula* reference genomes (Birol et al. 2013, Nystedt et al. 2013). Novel sequencing data are available from the Short Read Archive (SRA), under BioProject PRJNA320875, with SRA accessions: SRR3992608-SRR3992630.

#### RESULTS

#### Age model and stratigraphy

The Bacon 2.2 age-depth model converged successfully (Fig. 1.2). The lowest radiocarbon date is at 1337.5 cm (core basal depth is 1341 cm) has a calibrated age of 18,494 – 18,757 yr BP, indicating that the Lake Hill core spans from the end of the LGM to present. The basal date is younger than reported in Colinvaux (1981), in which Colinvaux estimated that the basal sediments at 1460 cm corresponded to the mid-Wisconsin interstadial (~25,000 yr BP), based on pollen stratigraphy and a bulk-sediment radiocarbon date of 17,800 radiocarbon yr BP at 1007 cm. The age model reported here and Colinvaux (1981) also differ over the timing of the major sedimentary transition (at 550 cm in Colinvaux (1981) and 503 cm in the new cores), which consists of a shift from sandy sediments to algal gyttja, accompanied by a decrease in magnetic susceptibility and increase in organic carbon content. This transition was dated to 10,900 calendar yr BP (9500 radiocarbon yr BP) in Colinvaux (1981) based on bulk sediment dates and to 5600 calendar vr BP in the new cores, based on AMS dates of terrestrial macrofossils Graham et al. (2016). The age model reported here should replace that of Colinvaux (1967a, 1981), due to the use here of more precise AMS dating methods, dating of substrates less subject to contamination by old carbon, and the greater number of radiocarbon dates.



Figure 1.2 *Bacon* age–depth model for Lake Hill (Blaauw and Christen, 2011). Upper left: the MCMC iterations, showing a stationary distribution with little structure among iterations. Upper middle: prior (gray curve) and posterior (filled gray curve) distribution of accumulation rates. The prior for mean accumulation rate was set to 0.1 cm/yr. Upper right: the prior and posterior probability distributions for memory (i.e., autocorrelation strength). Lower plot: the age–depth model. Individual radiocarbon dates are shown in probability density functions of calibrated ages. The gray area indicates the uncertainty envelope of the age model with dashed lines indicating 95% confidence intervals.

The uppermost sediments (0 to 500 cm) are a dark olive massive gyttja with occasional fibrous materials and plant macrofossils. Between 500 and 717 cm, sediments shift to a silty gytta. Below 717 cm to base, sediments are predominantly mineral silts, with interbedded tephras and sand layers (Table S5.5, Fig. S5.2). Lacustrine conditions are indicated throughout the core by the presence of freshwater diatoms and cladocerans, although they are in very low concentrations between ~800 and 1000 cm (~12,000 to 13,300 yr BP) (Wooller *et al.*, unpub.).

#### Vegetation History since the Last Glacial Maximum

The modern vegetation survey indicates forb-graminoid tundra with prostrate *Salix* and mosses. *Salix* and *Carex* are the most abundant shrub and graminoid taxa, respectively; *Achillea borealis* and *Angelica lucida* are the most abundant forb taxa; and whereas mosses are ubiquitous, *Sphagnum* is rare on the island (Fig. S6.3) (Macoun, 1899). No trees are present, and all shrubs are lower than 20 cm (Fig. 1c). *Alnus* and *Betula* pollen occur in modern soil samples (Fig. S6.3), despite their absence in our floristic survey and historic surveys of the Pribilofs (Colinvaux 1967a).

The fossil pollen record from St. Paul Island comprises 43 pollen and spore types (Fig. 1.3, Table S7.6), encompassing seven woody taxa – *Salix*, Ericaceae, *Alnus, Betula*, *Picea, Pinus*, and *Populus*, 32 herbaceous taxa, and four spore types – *Equisetum*, *Lycopodium*, *Polypodium*, and *Sphagnum*. Poaceae is the most abundant pollen type, averaging 20% throughout the core, and *Salix* is the most abundant shrub pollen type, averaging 14%. Of the herbaceous pollen taxa, 22 are minor, with a maximum pollen percentage <3%. Minimum SCDs are consistently high (> 0.21), indicating vegetation communities that differ from the mainland of North America. Non-analog communities are present before the Holocene (SCDs>0.36, Fig. 1.3).

The fossil pollen record indicates stable forb-graminoid tundra with a minor shrub component on St. Paul Island during the last 18,000 years (Fig. 1.3). CONISS analyses divide the Lake Hill pollen and spore record into four zones (Fig. 1.3). Zone 1, from 18,200 to 13,800 yr BP, is characterized by high Poaceae and fluctuating *Salix* pollen abundances from the last glacial period until several centuries prior to the Younger Dryas (YD) onset at 12,900 yr BP. Arctic forbs such as *Saxifraga, Artemisia*, and *Ranunculus*  have elevated abundances, with Amaranthaceae and *Solidago*-type abundant until 16,700 yr BP. Equisetum is rare before 14,790 yr BP, but increases to 23% by the end of Zone 1, possibly indicating an increase in moisture availability. Zone 2, from 13,800 to 10,600 yr BP, is characterized by moderate Poaceae, Cyperaceae, and Salix pollen abundances during most of the YD. *Picea* and *Pinus* pollen are found in low abundances (<5%) between 13,250 and 11,850 yr BP, interpreted as originating from long-distance transport. All *Pinus* grains are poorly preserved and present only as bladders or bodies with one bladder. The high abundances of *Picea*, *Alnus*, and *Betula* occur only when total pollen accumulation rate is lower than 5000 grains/cm<sup>2</sup>/yr (Fig. S8.4), further suggesting a non-local source. Zone 3, representing most of the Holocene (10,610 to 690 yr BP), is characterized by higher forb diversity and moderate Poaceae, Cyperaceae, Salix, Artemisia, and Apiaceae pollen abundances. Woolly mammoth extinction at 5600 yr BP (Graham et al., 2016) is in the middle of Zone 3, and shows generally small vegetation changes, consisting of gradual increases in Poaceae and Cyperaceae pollen abundances, a gradual decline in Artemisia, and a rapid drop then gradual recovery of Apiaceae. Abundances of minor forb taxa and *Equisetum* also increase after 5600 yr BP. The main feature of Zone 4, from 690 yr BP to the present, is abundant *Equisetum*, interpreted to indicate a wet environment with well-drained substrate. Salix declines, while Ericaceae, Caryophyllaceae, and Brassicaceae increase, and other forbs are in low abundances or absent.



Figure 1.3 Vegetation history of St. Paul Island from 18,000 yr BP to the present, based on pollen diagram from Lake Hill showing relative pollen abundances for all taxa (%), pollen accumulation rates for long-distance-transport taxa (grains/cm<sup>2</sup>/yr), total pollen accumulation rates (grains/cm<sup>2</sup>/yr), sedimentation rate (cm/yr), and minimum squared chord distances. Green shading indicates woody taxa interpreted to be locally present on St. Paul Island and the southern margin of the Beringian Land Bridge, while brown shadings indicate pollen types interpreted as false presences, i.e. due to long-distance transport. Dark red shadings indicate woody Rosaceae taxa, yellow shadings indicate herbaceous taxa, and gray colors indicate Pteridophyte taxa and *Sphagnum*. Pollen and spore taxa abundances are calculated as percent abundances of total pollen and spore types. Cross signs (+) indicate percentage <1%. Red lines indicate the division of the pollen record into four zones by CONISS in R package *rioja* (Grimm 1987, Juggins 2015). The blue dashed line represents the timing of woolly mammoth extinction estimated at 5,600 yr BP (Graham *et al.*, 2016). Minimum SCDs show the dissimilarity between each fossil sample and its closest analog in the modern pollen reference dataset.



Figure 1.4 Principal component analysis (PCA) for fossil pollen samples from Lake Hill and modern North America tundra pollen assemblages. Plot a) shows variance analysis for all taxa, and plot b) shows all the pollen assemblages, including fossil St. Paul assemblages, modern St. Paul assemblages, and modern mainland assemblages. Principal component 1 (PC1) explains 16.1% of the variance in the pollen data, while PC2 explains 10.2% of the variance. Classification of pollen assemblages is based on different procedures for fossil and modern assemblages: fossil samples are classified by CONISS zones (zones 1 to 4); modern pollen samples from St. Paul are given their own group; mainland pollen types are classified into five groups based on North American Seasonal Land Cover vegetation classification for their location (Anderson, 1976): herbaceous Alpine tundra, herbaceous arctic tundra, herbaceous arctic tundra with low/dwarf shrubs, tall/low shrubs/tundra/spruce, and woody arctic tundra/tall/low/dwarf shrubland. The fossil sample at 11,320 BP in CONISS zone 2 is outside the bounds of plot b located at the point of (-5.8, 9.5). Ellipses are drawn for each group with 68% (1σ) variance.

PCA analysis indicates two main components for the modern and fossil pollen assemblages (Fig. 1.4), with PC1 explaining 16.1% of the variance in pollen abundances and PC2 explaining 10.2%. There is low compositional overlap in the pollen assemblages between modern mainland tundra sites and St. Paul sites. The closest mainland counterparts are from herbaceous Arctic tundra (Fig. 1.4). The mainland tundra assemblages have higher abundances of pollen from woody taxa, including *Picea, Betula,* Ericaceae, and *Alnus,* while the St. Paul assemblages have a higher diversity of forb taxa and higher *Salix* abundances. The St. Paul modern assemblages are the closest analogs for the St. Paul fossil assemblages. Together, the PCA and SCDs analyses indicate that the vegetation communities on St. Paul have been compositionally distinct from modern mainland tundra communities throughout the past 18,000 years.

#### Assessing local presence of woody taxa on St. Paul Island

The combination of pollen, macrobotanical fossils, and sedaDNA analyses allow strong inferences about local species presence. There is no evidence that *Picea* has been present on St. Paul, or in its near vicinity, for the last 18,000 years. No Picea macrofossils are found in the sediments (Fig. 1.5), and *Picea* is absent from St. Paul today based on our survey and a historical vegetation survey (Macoun 1899) (Fig. S6.3). Picea pollen abundances are highest during the YD, at 7%, when Picea pollen accumulation rates are very low (averaging 31 grains/cm<sup>2</sup>/vr) (Fig. 1.3), suggesting that this peak is caused by decreased local pollen productivity and intensified atmospheric circulation, resulting in a higher proportion of exotic pollen. This finding matches Colinvaux's (1981) report of *Picea* pollen between 706 and 1160 cm at high relative abundances but low concentrations. Analyses from the Galapagos Islands also show that the relative mixture of local vs. long-distance components in pollen assemblages is highly sensitive to changes in local pollen productivity (Van der Knaap et al. 2012). SedaDNA also does not support *Picea* presence. Either the same proportion or fewer DNA fragments are identified as *Picea* in the samples as in the negative controls (Fig. 1.5), suggesting that the few fragments that map to either *Picea* genome are indistinguishable from background noise. This inference is supported by the short length of these

molecules (<35 bp), which can lead to erroneous read mapping due to low information content (Fig. 1.6). Further, these DNA fragments do not exhibit typical aDNA damage patterns, such as elevated deamination rates at the ends of molecules (Fig. 1.6, S9.5), suggesting that these are not true *Picea* aDNAs (Dabney et al. 2013).

Similarly, we find no evidence supporting the presence of *Betula* and *Alnus* on St. Paul during and after the LGM. *Betula* and *Alnus* pollen are consistently present but at low accumulation rates and relative abundances, varying around 65 and 125 grains/cm<sup>2</sup>/yr, and 4% and 8%, lower than the typical thresholds for local presence of 30% and 20% (Anderson & Brubaker, 1986) (Fig. 1.3, 1.5). No *Betula* or *Alnus* are found in the modern and historical vegetation surveys, nor any *Betula* or *Alnus* macrofossils in the sediments (Fig. 1.5). SedaDNA data for *Betula* also indicate local absence, with inferred *Betula* DNA in the samples at concentrations ~1-2 orders of magnitude lower than those found in the negative extraction controls (Fig. 1.5). We find no evidence of DNA damage patterns in the inferred *Betula* DNA, suggesting, as for *Picea*, that these are not truly *Betula* aDNAs, but are rather false matches to the *Betula* reference genome (Fig. 1.6, S9.5). Hence, as with *Picea*, multiple lines of evidence indicate that neither *Betula* nor *Alnus* were locally present on St. Paul Island and the southern Beringia for the last 18,000 years.

The processes that can influence aggregate stability have been recognized for many years (Six et al. 1998). These include the activities of soil fauna and microorganism, processes associated with plant roots, physical processes such as wetting and drying or freeze-thaw cycles, cultivation and other agricultural practices, and formation of inorganic cements. At a more basic level, aggregation is closely linked to

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the addition and decomposition of organic matter and the surface chemistry of clay minerals. In particular, microaggregates (those  $<250 \ \Box$ m) develop through formation of clay-polyvalent metal cation-organic matter (C-P-OM) complexes; C-P-C and OM-P-OM complexes can also play a role (Edwards and Bremner 1967). Tisdall and Oades (1982) introduced the concept of a hierarchy of aggregate classes differentiated by size as well as binding mechanism. Individual mineral grains and aggregates  $<20 \ \Box$ m are bound together in 20-250  $\Box$ m made up of smaller aggregates bound by fungal hyphae, roots, etc.

Aggregate stability is not a simple property, because it represents resistance to aggregate breakdown through several distinct processes, categorized by Le Bissonnais (1996) as slaking, physico-chemical dispersion, differential swelling, and mechanical stress. Each process can result in a particular degree of disaggregation and produce breakdown products (smaller aggregates and mineral particles) of differing size; in addition, groups of aggregates within the same soil horizon may respond differently to each process. Tisdall and Oades (1982) described soil behavior in which macroaggregates rapidly broke down through slaking while microaggregates resisted slaking but could be disrupted by ultrasound. Le Bissonnais (1996) characterized the differing stability and breakdown products of aggregates in two soils to slaking, mechanical stress (stirring), and rainfall impact. Other researchers have analyzed the response of aggregates to varying levels of mechanical stress as applied by ultrasound (e.g. (Park et al. 2004, Yu et al. 2014)).



Figure 1.5 Pollen, macrobotanical fossils and sedaDNA of *Picea*, *Betula*, *Alnus*, and *Salix* from Lake Hill. For each genus, solid curves represent pollen percent abundances of total pollen and spore sum, vertical bars represent the concentration of sedaDNA fragments matched to that taxon, and the horizontal bar above each solid curve indicates the presence or absence of macrobotanical fossils. In the sedaDNA plots, the dashed line illustrates the average concentration of sedaDNA fragments found in the negative extraction controls. In the macrofossil bars, empty space indicates that no plant macrofossils were found at these depths. Of these taxa, macrofossils were only found for *Salix*, which is also present on the island today.



Figure 1.6 Ancient DNA damage profiles of fragments inferred to have originated from *Picea* (I-III), *Betula* (IV-VI), and *Salix* (VII-IX) from the Lake Hill core samples. Panels I-III are based on alignment to the *Picea abies* genome. Panels I, IV, and VII show distributions of DNA fragment lengths. Panels II, V, and VIII show the proportions of deaminated sites at the 5' and 3' ends of the molecules. Ancient DNA is characterized by elevated rates of cytosine to thymine misincorporations at the 5' end and a corresponding elevation in the rate of guanine to adenine at the 3' end. Panels III, VI, and IX show the frequency of the four bases (guanine (G), adenine (A), cytosine (C) and thymine (T)) around the 5' and 3' ends of the DNA molecules. Depurination-induced fragmentation, a characteristic of aDNA, is indicated by elevated frequencies of G and A immediately upstream of the 5' end and corresponding increases of C and T immediately downstream of the 3'end of DNA molecules. These aDNA damage patterns are consistent with the presence of authentic *Salix* aDNA, but not the presence of authentic *Picea* or *Betula* aDNA.

In contrast to *Picea*, *Betula* and *Alnus*, we find multiple lines of evidence supporting the continuous presence of *Salix* on St. Paul Island during the past 18,000 years. Salix arctica and Salix reticulata are present today on the island as low-stature shrubs (1-20 cm tall) and are moderately common (Fig. S6.3). Fossil pollen abundances are higher than the other three woody taxa and similar to modern *Salix* pollen abundances on St. Paul (Fig. 1.5, S6.3). Macrobotanical fossils of Salix, including stem (wood) and fragmentary, generally skeletonized leaves, are common in the Holocene sediments (Fig. 1.5, S3.1). The dearth of Pleistocene macrobotanical fossils is probably due to the poorer preservation associated with the sandy late-glacial sediments, but may indicate sparser plant densities. Concentrations of inferred Salix DNA in the samples are always higher than in the negative extraction controls (Fig. 1.5), often by an order of magnitude. The presence of authentic *Salix* sedaDNA in the samples is further supported by DNA damage patterns, including typical deamination and depurination-induced fragmentation signatures (Fig. 1.6), which are not observed in the negative extraction controls (Fig. S8.5).

Besides the taxa discussed above, *Pinus* and *Populus* pollen grains are also found in the core (Fig. 1.3). However, their pollen abundances are even lower than 5%, which is the usual threshold for local deposition of *Pinus* and *Populus* pollen, thus these pollen types also likely source from non-local plant populations. The period of elevated *Pinus* abundances (up to 3.4%) corresponds to the period of elevated *Picea* abundances during the YD, suggesting a common distant source.

#### DISCUSSION

#### Refugia, cryptorefugia, and pseudorefugia

This multiproxy study provides unusually strong evidence that Picea, Betula, and Alnus were not present in the Lake Hill watershed and, presumably, on St. Paul Island for the last 18,000 years. Fossil pollen abundances of *Picea*, *Betula*, and *Alnus* are low and consistent with long-distance transport, and no presence was detected in sedaDNA, macrobotanical fossils, and contemporary vegetation. Establishing the absence of these genera is a negative result, but an important one. When constraining biogeographic models of the climatic and historic controls on past species range shifts, confidently establishing the absence of a species at a location is as important as establishing its presence. Multiple lines of evidence can indicate the presence of past refugia, including species distribution models, phylogeographic surveys, molecular, micro- and macrofossils (Hu et al. 2009, Gugger et al. 2010, Gavin et al. 2014, Tollefsrud et al. 2015). However, no single contemporary or fossil indicator is a definitive indicator of past presence or absence, creating the possibility of cryptorefugia and pseudorefugia. Plant macrofossils are usually definitive evidence of presence within a watershed, but little can be inferred from their absence (Gavin et al. 2014). Fossil pollen data are better able to capture spatiotemporal distributions of plant abundances and less able to definitively establish range limits, despite long-standing efforts to identify signals of range limits or species establishment. Ancient DNA has revealed cryptic refugia, but can be subject to challenges of contamination (Birks et al. 2012) or post-depositional mobilization within a stratigraphic profile (Haile et al. 2009). Contemporary phylogenetic surveys that indicate high genetic diversity or unique genetic markers have been
interpreted as signs of cryptic populations (McLachlan et al. 2005), but not all genetic markers are equally informative (Tzedakis et al. 2013), and phylogeographic inferences about post-glacial range dynamics of plant species can be confounded by introgression (Saeki et al. 2011, Thomson et al. 2015). Therefore, multi- proxy studies such as this one are needed confidently to establish the absence or presence of taxa.

Our results also suggest that Picea, Alnus, and Betula were not present on the south-central BLB, although this conclusion relies on indirect inference. Lake Hill is an unusually-sheltered site (Fig. 1.1) with a continuously present lake since the LGM (Graham *et al.*, 2016, Wooller *et al.*, unpub.), so local site conditions were favorable for shrub establishment. No dispersal barrier existed at the LGM to prevent these taxa from reaching the St. Paul region. Hence, the absence of Alnus, Betula, and Picea suggests that regional climates on the southern coastal BLB prevented establishment by these taxa. Elias and Crocker (2008) note that island remnants of Beringia may be unrepresentative of vegetation at lower elevations. However, elevational gradients as a strong filter on species presence is unlikely given that Lake Hill currently is only 37 m above sea level. Given that sea level at the LGM was 130 m lower than present (a high-end estimate) and a normal lapse rate of 6.4 °C/1000 m, the maximum elevational and temperature difference between Lake Hill and low-lying sites would have been 170 m and only 1.1°C. This temperature difference is ecologically meaningful, but does not seem sufficient to preclude the local establishment of woody shrubs or trees such as *Betula*, *Picea*, or *Alnus* at Lake Hill if they had been present in the regional species pool. Insofar as Lake Hill is representative of environments along the southern coastal BLB, then this region appears to have not been a glacial refugium for these taxa.

The proximity of *Picea*, *Alnus*, and *Betula* populations to this site remains unclear. Low pollen productivity and stronger atmospheric circulation during the YD likely enabled enhanced long-distance components of pollen. Colinvaux (1981) proposed two long-distance sources of *Picea* pollen: south of continental ice sheets, with pollen transported by episodically strong spring winds, or from restricted relict populations on Beringia, such as the old delta of the Yukon-Kuskokwim system on the south Beringia coast. *Picea* forest is found around Lake Baikal in southeastern Siberia during the Bølling-Allerød warming (Shichi et al. 2009), pollen sites with abundant *Alnus* occur in eastern Siberia after the LGM (Brubaker et al. 2005), and *Pinus pumila* is found in the Upper Kolyma region during the LGM (Anderson et al. 2010), making eastern and northeastern Siberia a potential source for woody taxa pollen on St. Paul Island. Eastern Beringia is also a likely refugium for *Picea glauca* (Brubaker et al. 2005, Anderson et al. 2006), and pollen evidence is consistent with at least scattered *Betula* presence in some habitats across Beringia (Brubaker et al. 2005).

# Vegetation history in southern coastal Beringia after the LGM

The Lake Hill record suggests prostrate shrub-graminoid tundra on St. Paul Island after the LGM, with varying proportions of graminoids and forbs and persistent presence of *Salix* and Ericaceae, presumably in prostrate form. The plant community appears to have been mostly stable, except for *Equisetum* peaks that may indicate wetter conditions before the YD and after 690 YR BP. This prostrate shrub-graminoid tundra is similar to graminoid-forb tundra found at other glacial-aged sites in south-central Beringia (Colinvaux 1967b, Ager 2003, Ager and Phillips 2008). The persistence of this dry tundra over the whole period of record may have been facilitated by soils that today are thin and well-drained, with little paludification and underlain by a volcanic and porous substrate.

This inference suggests that southern Beringia was covered by graminoid-forb tundra under cold and dry climates during the past 18,000 years. Mesic shrub tundra has been proposed as a glacial refugium for woody taxa and a source of wood fuel for ancient people in Beringia (Hoffecker et al. 2014). Evidence includes mesic beetle fossils, relatively abundant *Betula* pollen, and *Sphagnum* spores (Elias et al. 1996, Elias et al. 1997). Ager (2003, 2009), however, has argued that graminoid-forb-*Salix* tundra vegetation associated with cold and dry climate extended into the lowlands of Beringia during the LGM. Our results support the latter hypothesis by showing that LGM Beringia was primarily covered by graminoid-forb tundra with shrub elements present in prostrate form or in restricted habitats, but does not rule out the possibility of localized areas of mesic shrub tundra in now-submerged portions of Beringia.

# Trophic consequences of megafaunal extinction

One debate has centered on whether arctic herbaceous tundra, with its low productivity, can provide sufficient forage to support extensive Pleistocene megafauna populations (Elias and Crocker 2008). It is known, however, that the St. Paul Island ecosystem sustained an isolated woolly mammoth population from ca. 14,500 yr BP, when the island became isolated from the mainland, until 5600 yr BP (Graham *et al.*, 2016). This persistence of woolly mammoth indicates that prostrate shrub and graminoid tundra, even on a small island (109 km<sup>2</sup>), can provide sufficient food resources to sustain a grazing megaherbivore population for thousands of years. The lack of major change in vegetation composition on St. Paul Island at the time of the woolly mammoth extinction around 5600 yr BP (Graham *et al.*, 2016) suggests that the vegetation on St. Paul Island was less affected by megafaunal grazing than has been shown in more temperate ecosystems (Gill et al. 2009). One likely reason for this difference is that fire, which drives megaherbivore-biomass feedbacks that amplify vegetation turnover in temperate and subtropical ecosystems, is effectively absent from St. Paul Island (initial surveys of the Lake Hill core for sedimentary charcoal indicated essentially zero charcoal). Vegetation composition also appears to have been minimally affected by climatic changes during the last deglaciation and Holocene, likely because of the barriers to immigration for thermophilous taxa.

However, the trophic effects of the woolly mammoth extinction may manifest as small changes in tundra composition and substantial changes in rates of sediment erosion and mobilization. The observed increase in forb abundance (from 28% to 38% in the sum of forb taxa abundance after the extinction) could indicate a release from megaherbivore suppression, consistent with evidence that herbs were a major dietary resource for megafaunal grazers (Willerslev *et al.*, 2014). The megafaunal extinction event also closely coincides with a significant sedimentary transition at 5.03 m (a shift from organic-rich silts to gyttja), which may indicate that mammoths locally increased erosion rates in the Lake Hill crater (Graham *et al.*, 2016).

# Chapter 2 Mechanistic modeling of environmental drivers of woolly mammoth carrying capacity and extinction on St. Paul Island, AK

# **INTRODUCTION**

Teasing apart the climatic and anthropogenic causes of the global wave of late Quaternary megafaunal extinctions is complicated in many regions by their joint association with human presence and rapid climate change (Barnosky et al. 2004). On St. Paul Island, Alaska, however, a population of woolly mammoth survived until to 5600±100 yr BP, long after mainland populations died out. Moreover, there is no sign of humans on St. Paul until 1787 AD (Veltre and Veltre 1981), making the island a natural system for studying the processes governing the persistence and extirpation of a large vertebrate species in a small refuge. Here, we mechanistically test hypotheses about the drivers of extinction for the St. Paul population, establish the limiting factors to mammoth population size, and estimate the carrying capacity of St. Paul Island over the last 17,000 years.

Woolly mammoths were widely distributed across the Northern Hemisphere, but continental populations disappeared between 14,000 and 13,200 yr BP, perhaps surviving until 10,500 yr BP in North America (Guthrie 2006, Haile et al. 2009). Formerly located on the south edge of the Bering land bridge, St. Paul Island became isolated between 14,700 and 13,500 yr BP as sea levels rose with the last deglaciation; the island continued to shrink until about 6000 yr BP (Graham et al. 2016) (Fig. 2.1). St. Paul and Wrangel Island (where mammoths survived until 4020 yr BP) are the last known refuges for woolly mammoth (Guthrie 2004, Vartanyan et al. 2008).



Figure 2.1 Map of St. Paul Island, Alaska, redrawn from Google Earth and Graham et al. (2016). Plot (a) shows St. Paul Island at present, with the locations of crater lakes (small red circles) and freshwater lagoons (blue ellipses). Plot (b) shows the changes in island area (green) changes during the past 15,000 years due to rising sea level during the last deglaciation (Graham et al. 2016).

Various causes of extinction for the St. Paul population have been hypothesized but are inconsistent with the available physical evidence (Graham et al. 2016), including predation by humans or polar bears, volcanic eruptions, or increased winter snowpack. Graham et al. (2016) instead proposed that the St. Paul mammoth extirpation was caused by a freshwater shortage, in turn caused by long-term sea level rise combined with drought or depletion of freshwater resources by the mammoths. The freshwater scarcity hypothesis, however, was based primarily on temporal correlations established among multiple proxies (Graham et al. 2016).

Niche Mapper is a mechanistic bioenergetic model that simulates the energetic balance of an animal given its morphological, physiological and behavioral traits and the

ambient microenvironment (Porter et al. 2006, Porter and Mitchell 2006). From this information, Niche Mapper can simulate the metabolic rate, dietary requirement and water use that an animal needs to maintain its body core temperature and body mass (Mathewson et al. 2016). Because Niche Mapper minimally relies on empirical estimates of species niches from spatial data, the model should in principle provide a reliable way to predict an animal presence/absence, population performance and species distribution. Kearney et al. (2010) used Niche Mapper to predict the current and future distributions of an Australian gliding possum, indicating large range contractions under a 3 °C warming scenario. Mathewson and Porter (2013) used Niche Mapper to test the fasting ability of polar bears, predicting a successful 120-day fast survival under current climate conditions but 15-18% male mortality if ice-free periods increased to 180 days in length. Niche Mapper also has been used to predict American pika activity time and distribution under past and future climate scenarios, indicating that pikas could partially buffer rising temperatures through behavioral changes, resulting in 8-19% less habitat loss than predictions based on empirical fits to annual temperature alone (Mathewson et al. 2016).

St. Paul is an excellent system to model the direct effects of environmental variations and bottom-up controls on megafaunal energetics and extinction. The only other known terrestrial mammalian species on St. Paul co-occurring with mammoths were Arctic fox (*Alopex lagopus pribilofensis*) and Pribilof Island shrew (*Sorex pribilofensis*), ruling out the need to consider predator-prey dynamics or other species interactions. Vegetation on St. Paul has been stable, consisting of a low-stature herbaceous or dwarf shrub tundra for the last 17,000 years (Wang et al. 2017), while the restricted area of St. Paul rules out seasonal migration as an adaptive response to

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environmental variations. Finally, detailed proxy work on timing of mammoth extinction and paleoenvironmental change, at St. Paul and nearby, provides good records to drive and assess Niche Mapper simulations (Praetorius et al. 2015, Graham et al. 2016).

Here, we use the mechanistic model Niche Mapper to simulate woolly mammoth energetic and mass balance and maximum population abundances under varying environmental conditions and declining habitat area from the last glaciation to the Holocene, aiming to test alternative hypothesized drivers of the extinction event, including direct temperature regulation of metabolic rates, dietary requirements, and freshwater supply. Using the Niche Mapper simulations of metabolic rate, individual dietary requirement, and freshwater requirements, we estimate island carrying capacity based on vegetation productivity simulated by LPJ-GUESS and simple scenarios of freshwater supply. We also simulate mammoth energetics and mass during fasting periods and test their sensitivity to hair density, a key control on rates of heat loss.

# **MATERIALS AND METHODS**

#### Niche Mapper overview

Niche Mapper consists of two sub-models: a microclimate model and a bioenergetics model that incorporates biophysical, morphological, and behavioral processes (Mathewson et al. 2016). The microclimate model calculates hourly environmental conditions at the animal height including air temperature, wind speed, relative humidity, and solar radiation. The bioenergetics model then uses this information, along with morphological, physiological, and behavioral information about the animal to estimate hourly energetic demands for the animal interacting with its environment.

For endotherms, metabolic heat production is a primary mechanism for maintaining an optimal core body temperature. Niche Mapper calculates metabolic rates based on a heat balance equation in which the animal's metabolic heat production must equal the net heat loss to its microenvironment, thereby maintaining a stable core temperature (Mathewson et al. 2016). The simulated metabolic rate is then compared to a target resting or active metabolic rate to assess the tolerance of an animal to its current climate. The resting metabolic limit represents a hard limit for survival; if the simulated metabolic rate drops below the resting metabolic rate, the animal dies. Active metabolic rate is akin to a thermal optimum; simulated metabolic rates that are beyond  $\pm 5\%$  of active metabolic rate are taken to indicate stressful conditions in which animals must take behavioral and/or physiological thermoregulatory actions to avoid hypothermia or overheating (Mathewson and Porter 2013).

Based on the metabolic rate required for an animal, e.g. a mammoth, to maintain its body temperature in its microclimate, Niche Mapper then calculates the vegetation and freshwater consumption of individual mammoths. Vegetation consumption is calculated as the mass of wet vegetation that animal must consume per year to meet its simulated hourly metabolic requirements, based on information about the animal's diet and digestive efficiency and user assumption about its activity level above resting when active. Freshwater consumption is calculated as the difference between the water available from food and metabolic water production and water loss by evaporation and excretion.

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Figure 2.2 Experimental design based on Niche Mapper and LPJ-GUESS. Niche Mapper requires three sets of inputs: climate variables, animal biophysical traits, and island physical characteristics. Three sets of simulated and reconstructed paleoclimate variables are chosen to assess the different influences of climate reconstructions: TraCE-21ka simulations for the grid cell covering St. Paul Island (Liu et al. 2009, He et al. 2013), alkenone-reconstructed temperature (assumed to represent mean annual values) from the Gulf of Alaska with other variables held to modern values (Praetorius et al. 2015), and a combination of the Praetorius and TraCE-21ka variables. All climate variables are corrected to St. Paul Island climates based on contemporary NCDC observations from the NOAA St. Paul station. Niche Mapper outputs include metabolic rate, individual vegetation consumption, and individual freshwater consumption. Metabolic rate is compared to woolly mammoth metabolic limits to test whether rising temperatures directly caused mortality. The simulated vegetation consumption by individuals is used to calculate vegetation island carrying capacity, together with NPP from LPJ-GUESS and reconstructed island area. Individual freshwater consumption is used to calculate freshwater island carrying capacity, together with estimated surpluses in several freshwater resources. Climate inputs to LPJ-GUESS use the same sources and methods as for Niche Mapper.

### **Niche Mapper inputs**

In these experiments, Niche Mapper receives three categories of input data: woolly mammoth biophysical traits, physical characteristics of St. Paul Island, and paleoclimate variables from last glaciation to the present (Fig. 2.2). The time range is 22,000 yr BP to 1980 AD for simulations driven by TraCE-21ka climate variables and 17,000 to 680 yr BP for those driven by alkenone-reconstructed temperature.

# Woolly mammoth biophysical traits

Woolly mammoth traits (Table 2.1) are estimated from three sources: direct measurements of woolly mammoth fossils and hair samples, literature review, and biophysical properties of African and Asian elephants.

Adult weight is set to 6000 kg (McNeil et al. 2005) and average height at shoulder set to 3 m (Kubiak 1982). Density is 1,000 kg/m<sup>3</sup> calculated based on weight and height values. All trait estimates and simulations are for adults, with no attempt to simulate juvenile traits and physiology. In Niche Mapper, each body part is represented by a simple geometric shape. For woolly mammoth, the head and torso are modeled as elliptic cylinders, neck and legs are modeled as cylinders, and the proboscis is modeled as a truncated cone. The relative proportions of vertical diameter, horizontal diameter, and length of each body part are estimated from measurements of professional illustrations of woolly mammoth available at the University of Wisconsin-Madison Geology Museum that are based on fossil specimens there, then scaled to actual mammoth size using height at shoulder (Table 2.1, Table S10.6 in Appendix S10).

Variable	Value	Reference & Comments
Maximum body mass	6000 kg	McNeil et al., 2005, from mammoth fossils
Average height at shoulder	300 cm	Kubiak, 1982, from mammoth fossils
Fat mass as % of body mass	10%	Pond, 1978, from elephant
Standard metabolic rate	2331 W	Kleiber, 1947. The resting metabolic rate (RMR) is calculated as: RMR = 70*Weight <sup>0.75</sup> . The unit of calculated RMR is kcal/day.
Activity multiplier	2.3	Nagy, 2005. Activity multiplier = field metabolic rate (FMR) / resting metabolic rate (RMR). FMR is calculated as: FMR = 4.82*Weight <sup>0.734</sup> . The unit of calculated FMR is kJ/day.
Hair diameter	112.0 μm	University of Wisconsin-Madison Geology Museum
Hair length: legs	250.0 mm	Kubiak, 1982, from mammoth fossils
Hair length: head&neck	200.0 mm	
Hair length: torso	500.0 mm	
Hair length: tail	400.0 mm	
Fur depth: spring/fall	40.0 mm	University of Wisconsin-Madison Geology Museum
Fur depth: winter	50.0 mm	Hart 1956 from Arctic mammals
Fur depth: summer	30.0 mm	
Hair density	2.5 hairs/cm <sup>2</sup>	Kubiak, 1982, from mammoth fossils
Fur reflectivity: dorsal	0.30	University of Wisconsin-Madison Geology Museum
Fur reflectivity: ventral	0.29	University of Wisconsin-Madison Geology Museum
Core regular temperature	36.2 °C	Weissenbock et al., 2010, from elephant
Core max temperature	39.0 °C	Clarke & Rothery, 2007, from elephant
Core min temperature	35.0 °C	
Sweat OK?	No	-
Gut passage time	0.5 days	Martin & Hopkins, 1982, from elephant
Fecal water (%)	71	Benedict, 1936, from elephant
Urea in urine (%)	16	Kiso et al., 2013, from elephant
Digestive efficiency	0.44	Benedict, 1936, from elephant
Diet: % protein	15	Heiskari et al., 1988, from tundra herbivores
Diet: % fat	5	-
Diet: % carbohydrate	50	Oechel and Billings, 1992
Diet: % dry matter	50	-
Dive to cool?	No	-
Seek ground shade?	No	-
Seek wind protection?	No	No forest on the island
0 1 1 1 1 1 0	No	No forest on the island

Table 2.1 Selected Woolly mammoth biophysical parameters. See Appendix S10 for full list of parameters used in St. Paul Niche Mapper simulations.

Fur properties are reconstructed from the literature and measurements of

specimens housed at the University of Wisconsin-Madison. We directly measured hair

diameter, average fur depth, and fur reflectivity (dorsal and ventral) (IDs) and assumed that measured fur depth represented an annual average. Estimates of hair lengths for individual body part are from fossil specimens in University of Wisconsin-Madison Geology Museum (Table 2.1, Table S10.6). Seasonal changes in fur depth are estimated from prior studies of contemporary arctic mammals that summer fur is about half as thick as winter fur (Hart, 1956). Hair density is set as 2.5 hairs cm<sup>-2</sup> based on Kubiak (1982), where woolly mammoth hair density is estimated as 2-3 hairs cm<sup>-2</sup>. We also conduct sensitivity tests for this trait using 2 hairs cm<sup>-2</sup>, 3 hairs cm<sup>-2</sup>, and 0.15 hairs cm<sup>-2</sup>. This last value is based on hair densities for African and Asian elephants (Myhrvold et al. 2012).

All other biophysical traits are inferred from African and Asian elephants (Table 2.1, Table S10.6), including core body temperature (Weissenbock et al. 2010) and digestive efficiency (Benedict 1936). Dietary composition of tundra plants is from Oechel and Billings (1992) and Heiskari et al. (1988). We use two different target metabolic rates for our Niche Mapper simulations. For nocturnal and crepuscular hours, the mammoths were assumed to be inactive. For these hours, Niche Mapper was seeking a metabolic rate equal to resting metabolic rate (RMR), the minimal amount of energy expenditure to maintain cellular function. Mammoth RMR was calculated using Kleiber's law, where an animal's resting metabolic rate scales to the 0.75 power of animal mass (Kleiber 1947). During diurnal hours, Niche Mapper was seeking to find a heat balance with a metabolic rate (FMR) calculations (Nagy 2005). The activity multiplier is calculated as the ratio of FMR to RMR, which results here in an estimate of 2.3 (Table 2.1). In the fasting sensitivity experiments, we lowered the activity multiplier to 1 in order to decrease woolly

mammoth energy requirements to a minimum state. When body mass is lower than 1.5 times of the structural body mass (mass of skeleton and organs), the woolly mammoth is considered to be in 'poor condition' (Mathewson and Porter 2013) and at risk of death.

For every hour of the day, Niche Mapper performs a heat balance calculation to find the metabolic rate that allows the mammoth to maintain its body temperature in that hour's environmental conditions. If the metabolic rate that satisfies the heat balance is outside of  $\pm$ %5 of the target metabolic rate (i.e., RMR during nocturnal or crepuscular hours or RMR\*activity multiplier during diurnal hours), thermoregulatory actions are taken to prevent overheating or hypothermia, such as seeking shade or lying down. If thermoregulatory options cannot allow a heat balance to be reached within  $\pm$ %5 of the target metabolic rate closest to the target is returned as the final metabolic rate for the hour. In our Niche Mapper simulations, a metabolic rate lower than RMR is taken to indicate mammoth mortality and local population extirpation.

#### St. Paul Island Area and Physical Characteristics

St. Paul Island was isolated from the Bering Land Bridge between 14,700 and 13,500 yr BP due to sea level rise after the last glacial period (Graham et al. 2016). The island is predominantly composed of layered restingtic lava flows and intercalated sedimentary beds (Mungoven 2005). Graminoid-forb tundra is the major vegetation community covering the island (Wang et al. 2017). Island area is 110 km<sup>2</sup> and average elevation is 37 m (Mungoven 2005); we use Graham et al. (2016) to estimate island area between 14,700 yr BP and present.

Physical characteristics are estimated based on island soil and substrate (Table S10.6). Soil thermal conductivity is set to 1.02 W m<sup>-1</sup> °C<sup>-1,</sup> based on the soil thermal conductivity of the active soil layer in the Alaskan tundra biome (Hinzman et al. 1991). Vegetation reflectivity is set to 0.2, i.e. 20%, representing the albedo of tundra (Weller and Holmgren 1974). Substrate density and specific heat are set to 3000 kg m<sup>-3</sup> and 1400 J kg<sup>-1</sup> K<sup>-1</sup>, based on the properties of restingt on the island (Mungoven 2005).

#### Climate reconstructions from 17,000 to 0 yr BP

Niche Mapper requires seven climate and land surface variables: monthly maximum and minimum of daily average temperature, relative humidity, cloud coverage, wind speed, monthly snow presence/absence, surface albedo, and percent of unit area that acts like a free water surface (Porter and Mitchell 2006). We develop three alternative sets of climate inputs to Niche Mapper. For the first set (called TraCE-21ka), we use climate simulations from the TraCE-21ka transient climate experiment for the last 22,000 years, in the grid cell containing St. Paul Island (cell centroid: 57 °N, 190 °E, resolution  $3.75 \circ \times 3.6 \circ$ ) (Liu et al. 2009, He et al. 2013). TraCE-21ka is a synchronously coupled atmosphere-ocean general circulation model simulation using the Community Climate System Model 3 (CCSM3), with archived data stored as decadal averages on Earth System Grid at National Center for Atmospheric Research (NCAR) (https://www.earthsystemgrid.org/project/trace.html). Climate variables are corrected to St. Paul Island values using historical island observational averages (see below). The simulated climate, however, shows an unusual trend in the North Pacific and Alaska, in which average annual temperatures were higher than present by 6 °C during the last

glacial period (22,000 to 13,880 yr BP) then drop to nearly -1 °C in the deglaciation and Holocene (after 13,870 yr BP, Fig. S11.6). This warm glacial anomaly is caused by an enhanced upper-air planetary wave structure around the Laurentide Ice Sheet and a consequent strengthening of the Aleutian Low and northwards advection of surface air (Otto-Bliesner et al. 2006). However, the warmer-than-present simulation contrasts with widespread evidence that Alaska and North Pacific were cooler than present during the LGM (Elias 2001, Praetorius et al. 2015) and, as we show, the physiological constraints of woolly mammoths. Hence, we develop a second set of climate drivers based on alkenone-based temperature reconstructions in coastal marine sediments from the Gulf of Alaska (Praetorius et al. 2015). The alkenone-based temperature record, with a resolution of nearly 150 years, suggests annual temperature between -6 and -1 °C from 17,000 to 12,000 yr BP, rising to nearly 0 °C in the Holocene (Fig. S11.6). In this second set, we hold all other climate variables constant to historical observational averages for St. Paul (see below) for 1949 – 1990 AD by National Climatic Data Center (NCDC) (Fig. S11.6). In order to test the sensitivity of Niche Mapper to non-temperature climate variables, we create a third set of input climate variables (called hybrid), which combines the alkenonereconstructed temperature with other variables from the TraCE-21ka simulations.

TraCE-21ka simulated decadal seasonal data from 22,000 yr BP to 1980 AD, including minimum/maximum/average daily temperature, averages of relative humidity, cloudiness, wind speed, and precipitation. We downscale these to monthly data using the method in Lorenz et al. (2016) and follow several steps to correct the modeled grid cell to to St. Paul Island climates. First we obtain historical climate observational data from NCDC for the St. Paul station (ID: GHCND: USW00025713, coordinates: 57.15528N,

#### 170.22222W, 10.7 m), obtaining data for 1949 to 1990 AD

(https://www.ncdc.noaa.gov/cdo-web/). Second, we correct TraCE-21ka simulated seasonal data against station seasonal data, by simulated paleo climate – (or /) simulated modern climate + observed island observations. This correction assumes that the difference for temperature (or ratio for relative humidity, cloudiness, wind speed, and precipitation) between the regional maritime climate simulated by TraCE-21ka and St. Paul island climate is constant over time, a standard assumption in change-factor debiasing (Wilby et al. 2004). This assumption may not hold, particularly given the changing mixture of land and ocean in this grid cell during the submergence of the Bering Land Bridge during the last deglaciation. Third, TraCE-21ka seasonal minima and maxima relative humidity, cloudiness, and wind speed are calculated from the corrected seasonal averages, assuming that the ratio of simulated min/max to mean values should be the same as the ratio of observed min/max to mean values. Finally, the corrected TraCE-21ka simulated seasonal data are downscaled to monthly data by station seasonal and monthly minima, maxima, and means from daily observations, using the method in Lorenz et al. (2016) in which the difference among the three consistent months is minimized by Lagrange multiplier.

Annual alkenone-reconstructed temperatures from the Gulf of Alaska (Praetorius et al. 2015) are also corrected to St. Paul temperatures based on modern observations. This approach assumes that the annual and monthly variance in temperature is constant through time, as is the difference between sea surface temperatures in the Gulf of Alaska and temperatures on St. Paul Island. The land surface inputs to Niche Mapper (snow absence/presence, albedo, and percent unit area that acts like a free water surface) are estimated based on minimum and maximum temperatures. We set snow as absent when the monthly minimum of daily average temperatures is > 0 °C, completely present when the monthly maximum of daily average temperatures is < 0 °C, and partially present otherwise. We set albedo to 0.20 based on reported tundra values (Weller and Holmgren 1974) when snow is absent or to 0.78 when the island is completely covered by snow (Weller and Holmgren 1974). Finally, we set albedo to an intermediate value of 0.5 when the island is covered by tundra and snow at the same time. The percent area acting like a free water surface is also estimated from snow coverage: the free water is set as 15% when snow is absent from St. Paul, based on soil survey maps by United States Department of Agriculture (USDA) (Mungoven 2005), to 100% when the island is completely snow-covered, and to 50% when the island is partly covered by snow.

In the fasting experiments, the fast period was prescribed to be January, February, March, and April, when snow depths are deepest (>200 cm) in modern NCDC observations. The actual fasting period for woolly mammoths is unknown and wear patterns on tusks indicates that mammoths were able to use their tusks to scrape aside snow and access buried vegetation. Alkenone-reconstructed temperatures are used as the climate input for the hair density and fasting experiments. Climate at 17,390 yr BP and 680 yr BP are chosen to represent glacial period and Holocene scenarios.

# LPJ-GUESS and vegetation island carrying capacity

Island vegetation productivity is estimated using LPJ-GUESS, a dynamic vegetation and ecosystem model that predicts vegetation structure, composition, and productivity based on input macroclimates, atmospheric CO<sub>2</sub>, and other environmental variables (Smith et al. 2001). Most climate inputs to LPJ-GUESS, including monthly averages in temperature and cloudiness, are the same as the input to Niche Mapper. Monthly mean precipitation, which LPJ-GUESS requires but Niche Mapper does not, is estimated and corrected using methods described above. Atmospheric CO<sub>2</sub> concentrations are from the EPICA ice core in Antarctica (Monnin et al. 2004). Nitrogen deposition is set as 0.4 kg N ha<sup>-1</sup> yr<sup>-1</sup>, the pre-industrial value of nitrogen deposition in Arctic tundra (Holland et al. 1999).

Net solar radiation is calculated using the equations in LPJ-GUESS based on orbital insolation parameters including eccentricity, obliquity and precession degrees. Orbital parameters for each time period are from Berger (Berger 1978) using the R package palinsol (Crucifix 2016) (Table S12.7b). Equations in LPJ-GUESS are as below:

$$rs = (c + d * ni) * (1 - beta) * Qo * \cos Z * k$$

in which rs is instantaneous net downward shortwave radiation flux, c, d and beta are empirical constants, ni is the input of proportion of bright sunshine, and k is conversion factor from solar angular units to seconds (Prentice *et al.*, 1993). Qo is the solar radiation at the atmosphere, calculated as:

$$Qo = \frac{Qoo * \left(1 + 2 * eccentricity * \cos\left(2 * pi * \frac{i + 0.5}{365}\right)\right)}{rho * rho}$$

in which Qoo is the solar constant, i is the julian day (Prentice *et al.*, 1993). rho is Earthsun distance calculated as:

$$rho = \frac{1 - eccentricity * eccentricity}{1 + eccentricity * \cos(\frac{pi}{2} - varpi)}$$

in which varpi is the true solar longitude of the perihelion (Berger, 1978).

Z is the solar zenith angle, i.e. the angular distance between the sun's rays and the local vertical, calculated as:

$$\cos Z = \sin(lat) * \sin(delta) + \cos(lat) * \cos(delta) * \cos(h)$$

where lat is the grid latitude, h is the hour angle (Prentice *et al.*, 1993). delta is the solar declination (angle between orbital plane and the Earth's equatorial plane), calculated as:

$$delta = obliquity * \cos(2 * pi * \frac{i + 10.5}{365})$$

Three plant functional types (PFT) in LPJ-GUESS were allowed to exist on St. Paul, based on the fossil pollen data: low shrub summergreen (*Salix* or Ericaceae), prostrate shrub, and graminoid (Wang et al. 2017). PFT parameters are based on values developed for LPJ-GUESS simulations in the Barents Region (Wolf et al. 2007) (Table S12.7a). Island primary net productivity is simulated at a temporal resolution of every 1000 years, in which the climate inputs were averaged from 200 years of TraCE-21ka simulations and 500 years of alkenone-based temperature reconstructions, due to different temporal resolutions.

Vegetation island carrying capacity is calculated from net primary productivity, island area, mammoth foraging efficiency, and the dietary requirement of individual mammoths simulated from Niche Mapper, as follows:

 $VICC = \frac{\frac{NPP (kg \ C^{-1} \ m^{-2})}{Carbon} * \frac{Dry \ Biomass}{Wet \ Biomass}}{Individual \ Diet (kg \ wet \ biomass \ mammath{matharrow} the matharrow \ mat$ 

where VICC stands for vegetation island carrying capacity. NPP simulated by LPJ-GUESS as kg C yr<sup>-1</sup> m<sup>-2</sup> whereas dietary requirements in Niche Mapper are expressed as kg wet biomass. To convert, we estimate 0.5 as the proportion of carbon in the dry matter of arctic tundra (Oechel and Billings 1992) and 0.25 as the proportion of dry matter in wet vegetation (Shipley and Vu 2002). Foraging efficiency is estimated at 0.08, based on the grazing efficiency of modern African elephants in grasslands (Bliss and Richards 1982).

# Evaporation and freshwater island carrying capacity

We develop three estimates of freshwater carrying capacity based on different assumptions about the freshwater resources available to St. Paul mammoths: 1) the precipitation minus evaporation (P-E) surplus for only the area covered by the crater lakes and their watersheds, 2) the P-E surplus for the crater lakes and coastal lagoons, and 3) all P-E surplus on St. Paul Island is available to the mammoths (Fig. 2.1a). Currently, St. Paul has three crater lakes, all in the interior and at higher elevations. Lake Hill, the deepest, has a water depth of 1.3 m, so these are likely semi-permanent freshwater resources from the glaciation to the Holocene. The coastal lagoons are freshwater at present, but may have been vulnerable to seawater intrusion. The total island freshwater carrying capacity is an upper-end estimate that assumes that woolly mammoths can access every drop of surplus freshwater on St. Paul island and ignores any loss by runoff or belowground transport. There are no aboveground streams on St. Paul (Graham et al. 2016) but there likely is subsurface loss of freshwater. Two kinds of evaporation are calculated: potential evapotranspiration (PET) from terrestrial surfaces and actual evapotranspiration (AET) from lake surfaces. Potential evaporation is calculated based on monthly temperature, wind speed at the height of 1.5 m, landscape albedo, shortwave radiation, dew point, elevation, and soil water capacity, using the method and scripts in Dobrowski et al. (2013). Shortwave radiation is from modern meteorological observations (Reed 2003). Dew point is calculated from mean temperature and relative humidity (Lawrence 2005) as follows:

$$t_d = t - \left(\frac{100 - RH}{5}\right)$$

in which  $t_d$  is the dew point, t is mean temperature, and RH is relative humidity. Elevations and soil water capacities are from the USDA soil survey (Mungoven 2005): the crater lakes elevation is 100 m, lagoons and island elevations are 37 m, soil water capacity in the watershed around crater lakes is 75 mm, and lagoons and total island soil water capacities are 110 mm. All climate variables are from the same sources as for Niche Mapper and LPJ-GUESS.

Freshwater island carrying capacity is then calculated by the total freshwater supply on St. Paul, divided by the freshwater consumption of individual mammoths: *WICC* 

$$=\frac{(P(mm) - AET(mm)) * area_{lake}(m^2) + (P(mm) - PET(mm)) * area_{watershed}(m^2)}{Individual \ Freshwater \ (kg \ mammoth^{-1} \ yr^{-1})}$$

in which WICC represents freshwater island carrying capacity. Freshwater supply and WICC is calculated separately for the crater lakes, the crater lakes and lagoons, and the whole island.

# RESULTS

# Hair density and fasting experiments

Hair density and temperature seasonality strongly influence woolly mammoth metabolic rates and mass balance, with high hair density and summer temperatures leading to lower metabolic rates, lower energy requirements, and no strong thermoregulatory behaviors (Fig. 2.3a). Conversely, winter temperatures and low hair density lead to higher metabolic rates and higher energy requirement, and active thermoregulatory behaviors must be invoked (Fig. 2.3b). In the summer, metabolic rates for all hair densities fall within  $\pm 5\%$  of active metabolic rate, suggesting that woolly mammoth energy requirements and activities in the summer are not strongly sensitive to hair density, although woolly mammoths may have been close to the 95% discomfort level in the summer. In the absence of much shade on treeless St. Paul, the main summer cooling mechanism available to mammoths would have been to stand or lie in the lakes. In the winter, however, simulated metabolic rates are 15% higher than 105% of active metabolic rate, suggesting that the woolly mammoth would have had to remain very active in the cold winters, and would have burned energy at a high rate.

A combination of high metabolic heat production requirements in cold winters and a fasting period would have led to high weight loss of woolly mammoth (Fig. 2.3c, d), particularly at lower hair densities. During the last glacial period, woolly mammoths reach poor condition by the fourth month regardless of hair density, suggesting that they could not survive four-month fasting periods. However, they can survive three-month fasts when their hair density is 2.5 or 3 hairs cm<sup>-2</sup>, or survive the first two months with a hair density of 2 hairs cm<sup>-2</sup>. In the Holocene, woolly mammoths with hair density >2 hairs cm<sup>-2</sup> lose little weight in the first three months. They can even remain in good condition through the four-month fasting period if hair density is 3 hairs cm<sup>-2</sup> or higher. Assuming the hair density of modern elephants (0.15 hairs cm<sup>-2</sup>), modeled mammoths lost heat energy and body mass at a high rate, reaching poor condition after 1 month of fast in the last glacial period and two months of fast during the Holocene.



Figure 2.3 Sensitivity analyses assessing effect of hair density on metabolic rates (a,b) and weight loss of woolly mammoth during the fasting period (c,d). Panels (a) and (b) show simulated daily metabolic rate in the summer (a) and winter (b) based on reconstructed temperatures for the last 17,000 years. Panels (c) and (d) show body mass of woolly mammoth during a prescribed four month fasting period, set to January to April during the late glacial period (set here to 17,390 yr BP) and the late Holocene (680 yr BP). Results are shown for four hair densities: 0.15 hairs cm<sup>-2</sup> (dark yellow dots), 2 hairs cm<sup>-2</sup> (dark red dots), 2.5 hairs cm<sup>-2</sup> (dark red dots), and 3 hairs cm<sup>-2</sup> (dark blue dots). The red dashed lines in panels (a,b) indicate 5% upper and lower boundaries to active metabolic rates. The red dotted lines in panels (c,d) indicate the weight of woolly mammoth in 'poor condition', set at 1.5 times structural body mass (Mathewson and Porter 2013).



Figure 2.4 Summary of driver variables and outputs based on Niche Mapper and LPJ-GUESS. Driver variables include CO<sub>2</sub> concentration (Monnin et al. 2004), island area (Graham et al. 2016), and monthly mean temperature, precipitation, relative humidity, cloudiness, wind speed, and potential evapotranspiration (Dobrowski et al. 2013). Left column: all climate driver variables are from TraCE-21ka simulations (Liu et al. 2009). Right column: temperature reconstructions are based on alkenone time series from the Gulf of Alaska (Praetorius et al. 2015) with other climate drivers held constant to values based on NCDC observations for the St. Paul station for 1949 – 1990 AD. Niche Mapper outputs include annual metabolic rates (red lines); dietary requirements (green lines), and freshwater requirements (blue lines) for individual adult mammoths. Red dashed lines represent 5% upper and lower boundaries to active metabolic rates. Red dotted lines represent resting metabolic rate. Net primary productivity is simulated by LPJ-GUESS. From the individual requirements and estimates of island area and freshwater availability, the island carrying capacity is estimated for vegetation (green lines) and for the crater lakes, lagoon, and the whole island (blue lines).

## **Metabolic** rate

Simulated metabolic rates closely track, and are inversely proportional to, temperature (Fig. 2.4), because in Niche Mapper endotherms increase their metabolic rate to maintain a constant core body temperature under cold temperatures. Simulated metabolic rates are always much higher than resting metabolic rate, for both the TraCE-21ka and alkenone-based experiments, indicating that metabolic failure due to too-high temperatures is not a likely cause of extinction. Simulated metabolic rates are also usually within  $\pm 5\%$  of active metabolic rate, suggesting that for most times, woolly mammoth were not at risk of overheating or hypothermia. However, in the TraCE-21ka experiments, metabolic rates are close to the lower 95% boundary of active metabolic rate during the LGM and fall below it during the simulated warm spike between 14,600 and 14,100 yr BP (Fig. 2.4). The out-of-range metabolic rates suggest that woolly mammoths on the southern coastal Bering Land Bridge would have been dangerously close to overheating at the LGM, an unlikely finding that further suggests that the warm glacial anomaly simulated by CCSM3 is incorrect. Conversely, simulated metabolic rates at 17,000 yr BP are near the upper 105% threshold in the alkenone-based experiment, suggesting that glacial temperatures were at the lower edge of the comfort zone for woolly mammoths. For the next 17,000 years in the alkenone-based experiment, simulated metabolic rates are well within  $\pm 5\%$  of active metabolic rate, suggesting that woolly mammoths were able to tolerate the thermal environment on St. Paul during the late Pleistocene and Holocene.

For all variables simulated by Niche Mapper, the results for hybrid climate drivers (alkenone-based temperatures with other variables from TraCE-21ka) are essentially identical to those for the alkenone-based experiment (Fig. 2.4, S11.6), highlighting the strong sensitivity of Niche Mapper in this Arctic setting to temperature variations and minimal sensitivity to other climatic variables. We do not discuss these results further.

#### Island vegetation carrying capacity

Woolly mammoths were predicted to consume 118 to 140 kg day<sup>-1</sup> (43,110 to 51,150 kg yr<sup>-1</sup>) of wet biomass under the TraCE-21ka experiment and 129 to 138 kg day<sup>-1</sup> (47,150 to 50,240 kg yr<sup>-1</sup>) for the alkenone-based experiment (Fig. 2.4). Rate of vegetation consumption is inversely proportional to metabolic rate and temperature (Fig. 2.4), because woolly mammoth needs more dietary calories to provide the energy needed to maintain core temperatures in colder ambient climates.

Simulated island NPP under both climate drivers is always <0.3 kg C m<sup>-2</sup> yr<sup>-1</sup>, consistent with simulated arctic tundra net primary productivity in northwestern Europe (Fig. 2.4) (Wolf et al. 2007). In both experiments, NPP increases from the last glacial period into the Holocene, controlled by CO<sub>2</sub> concentrations and temperature. In both simulations, NPP dips at 12,000 yr BP, due to lower temperatures (and, in TraCE-21ka, lower precipitation) during the Younger Dryas, but the temperature decrease and simulated NPP dip is higher for the alkenone-based experiment. The simulated vegetation composition under both climate drivers is prostrate shrub tundra and graminoid tundra with no low shrub summergreen, falling within the bounds prescribed by independent pollen and macrofossil evidence (Wang et al. 2017).

Vegetation island carrying capacity decreases after 14,000 yr BP due to shrinking island area, falling to < 150 individuals by the middle Holocene (Fig. 2.4). Though simulated per area NPP in both climate experiments increases over the same interval, the loss of island area dominates (Fig. 2.4), causing total island productivity to decrease. The decreasing total island vegetation productivity limits woolly mammoth population size on the island and causes (in the alkenone-based experiment) the island carrying capacity to decrease from around 3000 individuals at 14,000 yr BP to less than 500 at 9000 yr BP, and keeps decreasing to the range of 160 to 125 after 6000 yr BP.

## Island freshwater carrying capacity

Woolly mammoths are simulated to consume 137-163 L day<sup>-1</sup> per individual of freshwater under both sets of climate drivers. In Niche Mapper, rate of freshwater consumption is closely governed by metabolic rate (Fig. 2.4), because higher metabolic rates translate into higher rates of vegetation consumption, waste excretion, and respiratory evaporation. Water loss through evaporation and excretion is higher than water gain through dietary sources, causing higher rates of freshwater consumption. Hence, simulated freshwater consumption tracks temperature closely and inversely (Fig. 2.4), and closely tracks simulated metabolic rates and individual vegetation consumption.

The three freshwater supply scenarios result in very different estimates of woolly mammoth carrying capacity on St. Paul. At one extreme, the Niche Mapper simulations suggest that only two to three individuals could have been supported by the water surplus for permanent crater lakes (Fig. 2.4). The carrying capacity associated with the coastal lagoons is intermediate, varying between 140 and 260 individuals, depending on

precipitation and evaporation. The total island carrying capacity is over 20,000 individuals at 14,000 yr BP when the island is just isolated from the mainland. Carrying capacity drops to 1000 individuals by the middle Holocene due to island area decrease. However, this last scenario unrealistically assumes that all water was available to mammoths. In reality, the crater lakes and coastal lagoons would have been the primary water sources for mammoths, and these lakes are easily influenced by sea level, seawater intrusion, and hydrological variability. In these scenarios, the total island freshwater surplus is closely governed by island area, while the surpluses for the crater lakes and lagoons are nearly constant.

# DISCUSSION

# Synthesis: joint limitation of vegetation and freshwater on woolly mammoth populations and persistence

These simulations suggest that for most of St. Paul's history, freshwater availability and forage would have alternated as the primary constraint on mammoth population size (Fig. 2.5). Prior to island isolation, low temperatures and productivity would have been the primary limits on megafaunal populations. Just after island formation at 13,500 yr BP, St. Paul could have potentially supported a population of around 200 woolly mammoths, limited by freshwater surpluses in the lagoonal water sources, although this constraint is likely too severe given the likelihood of additional now-submerged freshwater basins. However, during the Holocene, as island area shrinks, vegetation NPP re-emerges as a critical limiting factor and island carrying capacity



declines to 180 in 7000 yr BP. As a result, island carrying capacity keeps gradually declining to at most 140 individuals at 6000 yr BP, when island size stabilizes (Fig. 2.5).

Figure 2.5 Summary diagram showing joint limits of vegetation and water resources on island carrying capacity for woolly mammoths. Green line represents island vegetation carrying capacity, blue lines represent the freshwater carrying capacities associated with the lagoon (upper curve) and crater lakes (lower curve), while the dashed black line represents the joint minimum island carrying capacity. All carrying capacities are calculated based on alkenone-reconstructed temperatures and modern NCDC observations. 'Lagoon loss' indicates a hypothesized scenario in which freshwater resources in coastal lagoons are no longer available for consumption.

These simulations also suggest that the St. Paul mammoth population was highly dependent on freshwater resources in the coastal lagoons and susceptible to their loss (Fig. 2.5, 'lagoon loss' scenario). The coastal lagoons on the island today are shaped by coastal sediment transport and their age and permanence is unknown; no cores have been

collected from them. If these paleo coastal lagoons or fresh lakes were ever lost due to seawater intrusion, storm overwash, or hydrological variability and drought (Graham et al. 2016), then the St. Paul mammoth population could have been forced to rely on the smaller upland crater lakes (Fig. 2.1). In this way, the mammoth population could quickly switch from being forage-limited to freshwater limited, triggering a collapse to just a few individuals (Fig. 2.5).

# **Testing the Niche Mapper simulations**

The results from this mechanistic modeling are consistent with the evidence presented by Graham et al. (2016) that depletion and degradation of unstable freshwater resources on St. Paul Island could have caused the extinction of woolly mammoths at 5600+/-100 yr BP, while also suggesting that forage availability was a critical co-limiting factor. The model and proxy work offer complementary insights. The modeled low population size and vulnerability to freshwater resources, including declining abundances of planktonic diatoms, increases in conductivity-tolerant cladoceran, increased <sup>18</sup>O in aquatic invertebrate chitin at the lake site, and increased <sup>15</sup>N from mammoth collagen (Graham et al. 2016). The Graham et al. (2016) study focused on the evidence for reduced water quality and availability at the Lake Hill crater lake, while this study suggests that the crater lakes themselves could have only supported only a few individuals; the coastal lagoons were the critical freshwater resource.

Niche Mapper can make no definitive estimates of extinction timing, but extinction timing is already well constrained by the sedimentary ancient DNA, sediment fossil coprophilous spores, and woolly mammoth fossil radiocarbon dates on the island (Graham et al. 2016). The LPJ-GUESS simulations are also consistent with the fossil pollen record from St. Paul. Both the pollen data and LPJ-GUESS indicate stable vegetation composition during the last 18,000 years (Graham et al. 2016, Wang et al. 2017). LPJ-GUESS also indicates stable net primary vegetation productivity, except the abrupt collapse around 12,000 yr BP under alkenone-reconstructed cooling event, while the pollen data show decreased very low pollen accumulation rates during the Younger Dryas (<5000 grain cm<sup>-2</sup> yr<sup>-1</sup>, Wang et al. 2017), consistent with the LPJ simulations. Hence, proxy data and the simulations are in good agreement, and each provides useful complementary sources of information about the potential drivers for the extinction event.

The modeled estimates of rates of freshwater consumption are also consistent with independent evidence. The closest living equivalents to woolly mammoth, African and Asian elephants, consume 70 to 200 L day<sup>-1</sup> freshwater (Benedict 1936), consistent with the simulated mammoth consumption of 90 to105 L day<sup>-1</sup> freshwater. This loss of mammoth and survival of fox and shrew is consistent with a control of body size on extinction risk (McKinney 1997); the fox and shrew populations on St. Paul would have less intensive water needs and hence would have been less limited by freshwater supply.

This paper is the first to suggest that the Younger Dryas, occurring soon after island isolation, may have created a major population bottleneck for the stranded St. Paul woolly mammoth population. Here, diminished forage availability rather than freshwater resources would have been the critical control. The simulated extinction, however, is inconsistent with the demonstrated survival of the St. Paul population, suggesting errors in the environmental driver datasets or that the real-world mammoth populations had more resilience to reduced forage availability than the simulated individuals. No independent evidence on St. Paul yet exists to support or refute this bottleneck. However, on the mainland genome work suggests that steppe bison experienced a severe population bottleneck at the end of the Pleistocene but recolonized the mainland of North America then by dispersal to Beringia through the Ice Free Corridor (Heintzman et al. 2016). Similarly, phylogenetic analysis also indicates that cave lion populations in Beringia survived a geographically widespread genetic bottleneck sometime after 48,000 yr BP by re-invasion and re-expansion of another haplotype (Barnett et al. 2009).

# Arctic tundra, fasting, and megafauna persistence

It's remarkable that woolly mammoths persisted as long as they did on St. Paul Island, given its small size. The LPJ-GUESS and Niche Mapper simulations suggest that by the middle Holocene, the herb-graminoid tundra vegetation on St. Paul could have supported about 100 individuals. For modern elephants, a population of 100-200 individuals can survive with a low (<1%) risk of extinction for 100 years (Sukumar 1993). Thus the island woolly mammoth population might have been stable with low risk of extinction during the early Holocene, though the population size would have been close to the minimum viable population. Without immigration, the risk of species extinction increases when population size decreases due to demographic stochasticity, environmental stochasticity, natural catastrophes, and genetic stochasticity (Shaffer 1981). Hence, by the middle Holocene, this small population of woolly mammoths would have been highly susceptible to extinction in the middle Holocene. The long survival of woolly mammoth on St. Paul Island suggests that these populations can persist on prostrate shrub tundra and graminoid tundra for millennia, even though net primary productivity is low. Both graminoids and forbs are in megafaunal diets (Willerslev et al. 2014). Dry tundra, including prostrate shrub tundra and graminoid tundra dominated by graminoids and forbs, is suggested to be the critical habitat for Beringian megaherbivores in the glaciation (Zimov et al. 1995), and the expansion of boreal shrubs and forests are proposed as a driver of megafaunal extinction on the Beringian mainland (Elias and Crocker 2008, Hoffecker et al. 2014). On St. Paul Island, mammoth persistence may have been possible in part because woody plants were not able to colonize St. Paul after isolation (Wang et al. 2017), causing its vegetation to remain dominated by graminoids and forbs without any low or tall woody taxa.

The Niche Mapper simulations suggest that if woolly mammoths cannot eat, e.g. due to snow burial, they can fast for only two to three months in the winter. Today, St. Paul is covered by snow ranging up to 280 cm for six to seven months (November to May). Hence, the St. Paul mammoths must have been able to access food under the snow. Long-distance seasonal migration has been proposed for woolly mammoth to overcome winter food shortages, similar to African elephants (Olivier 1982, Vereshchagin and Baryshnikov 1982), but this strategy was not available to the isolated St. Paul Island population. Woolly mammoth has tusks longer than 3 m (Vereshchagin & Baryshnikov 1982), and Kubiak (1982) argued that these long tusks assist woolly mammoth in feeding by stripping bark from trees, scraping and breaking ice in snowless winter, and removing snow cover. However, there is no forest on St. Paul Island for woolly mammoth to bark, and the striations on the tusks of woolly mammoth fossils from other sites do not indicate

wear from snow shoveling (Guthrie 1982). Windblown winter range is another possibility for woolly mammoth to get vegetation in fasting period (Guthrie 1982). This hypothesis is possible on St. Paul Island, considering the high surface winter speed as fast as 8 m/s in the winter based on NCDC observation. Regardless of exact mechanism of access, the fasting limits suggested by the Niche Mapper simulations suggest that woolly mammoths had to have had access to forage in the winter months.

# Mechanistic models of the late Pleistocene extinctions

To our knowledge, this work is the first to use a mechanistic bioenergetics model to test hypotheses about the climatic drivers of Pleistocene megafaunal extinction. Human population growth and population dynamics models have been used to study the influence of hunting on end-Pleistocene megafauna extinctions (Alroy 2001), but these models did not include any climate or ecosystem changes. Population dynamics models are also used to test the influences of habitat change on species extinction risk (Keith et al. 2008), but the work focuses on population dynamics instead of species ecophysiology. Species distribution models are widely used to evaluate extinction risk under climate change (Thomas et al. 2004, Nogues-Bravo et al. 2008), but face challenges due to the non-analog climate (Fitzpatrick and Hargrove 2009), the lack in mechanistic underpinning, and species interactions (Phillips et al. 2006). LPJ-GUESS has been used to simulate paleovegetation in northern Eurasia, suggesting open and treeless vegetation in glacial period and implicating megafauna extinction caused by the decrease in mesophilous herbs NPP after the LGM (Allen et al. 2010), but the work did not involve animal simulation and the influence of vegetation was not tested directly. A new

extension of LPJ-GUESS to include a physiological grazer population model is highly promising for future work (Pachzelt et al. 2013, Pachzelt et al. 2015). Niche Mapper also has its limitations and in particular does not account for predator-prey, competition, or other animal species interactions, but these were unlikely to be critical processes for woolly mammoth in the St. Paul Island ecosystem. Prior Pleistocene simulations with Niche Mapper have focused on the habitat availability of still-extant species (Mathewson et al. 2016). The use of Niche Mapper here provides a new way to test hypotheses about extinction drivers besides the traditional mechanistic analysis of population dynamics. Its good congruence with the available observational data, and its generation of new hypotheses that can be tested through new data campaigns, suggests that its application elsewhere is promising.
# Chapter 3 Testing hypotheses about climatic and vegetation controls on woolly mammoth distributions and declines in North America during the last deglaciation

# INTRODUCTION

Woolly mammoth (*Mammuthus primigenius*) is an iconic representative of the diverse guilds of terrestrial vertebrate megafauna that roamed the planet at the end of the Pleistocene. Ever since Cuvier first recognized that some fossils represented now-extinct species (Cuvier 1833), scientists have sought to understand the environmental, ecological, and anthropogenic processes that drove many species, particularly large terrestrial vertebrates, to extinction during the last 40,000 years. In North America, 35 megafaunal genera went to extinction between 13,800 and 11,500 yr BP (Faith and Surovell, 2009). Here we use a combination of mechanistic mammal and vegetation models to test hypotheses about climatic and vegetation drivers of woolly mammoth range shifts, population declines, and extinction in North America.

At the end of the Pleistocene, woolly mammoths were distributed across the high latitudes of the Northern Hemisphere (Stuart, 1991). In North America, late Pleistocene woolly mammoth fossils have been found in the subarctic region (Alaska and northern Eurasia) and the midwestern and northeastern United States (Smith and Graham 2017). The species evolved multiple adaptations to subarctic and boreal climates, including small ears and tails to minimize heat loss, a thick layer of subcutaneous fat to function as an insulator and energy reservoir, and long thick fur (Fisher et al. 2012, Lynch et al. 2015). Woolly mammoths were mixed graze-browsers (Bliss and Richards 1982), although their diet was dominated by graminoids and herbs (Stuart et al. 2004, Schwartz-Narbonne et al. 2015). Populations on the North America mainland went extinct between 14,000 and 10,500 yr BP (Guthrie 2006, Haile et al. 2009), while relict populations survived on St. Paul Island and Wrangel Island until the middle Holocene (Vartanyan et al. 2008, Graham et al. 2016).

Hypothesized causes for the Pleistocene extinctions invoke climate change, human pressures, or some combination of the two (Barnosky et al., 2004; Guthrie, 2006; Nogues-Bravo et al., 2008). The earliest date of human arrival south of the Laurentide and Cordilleran Ice Sheets remains disputed, but human presence by 15,000 yr BP is now indicated by archeological evidence of pre-Clovis and Clovis culture at several sites (Goebel et al. 2008, Waters et al. 2011). Hence, human arrival appears to precede the wave of extinctions in the Americas by several thousand years (Emery-Wetherell et al. in review), and the presence of kill sites demonstrate an active hunting culture, particularly with the advent of Clovis culture and toolkits (Barnosky et al. 2004). Multiple abrupt changes in climate, including a shift to warmer conditions during the Bølling-Allerød period between 14,700 and 12,800 yr BP and abrupt cooling during the Younger Dryas (YD) period between 12,800 and 11,700 yr BP (Shakun et al. 2012), occurred in the Northern Hemisphere and North America during the window of megafaunal extinction, although the timing of these climate changes may vary by a few centuries across latitudes and regions; rates and magnitudes vary as well (Gonzales and Grimm 2009, Shakun et al. 2012, Watson et al. in prep.). Changing climates may have affected mammoth

distributions mainly indirectly, through changes in vegetation composition and distribution (Stuart et al. 2004, Schwartz-Narbonne et al. 2015). The effects of climate change and human hunting on megafaunal populations may have been synergistic. For example, Nogues-Bravo *et al.* (2008) used an environmental niche model and a simple woolly mammoth-hunter population model to predict the combined effects of climate change and humans on woolly mammoth retreat to Siberian refugia during the end Pleistocene and ultimate extinction. They showed a drastic decrease in climatically suitable areas for woolly mammoth in Eurasia during the late Pleistocene-Holocene transition, making woolly mammoth more vulnerable to the increasing hunting pressure from human populations.

In recent work, we adapted the mechanistic model Niche Mapper to model the controls on woolly mammoth populations on St. Paul Island, AK (Wang *et al.*, in prep.). We mechanistically estimated mammoth metabolic rates, dietary and freshwater requirements, and island carrying capacity to test hypotheses that woolly mammoth extinctions were driven by temperature rises, vegetation loss, and drought. These results showed that adult mammoths could have fasted for only 2-3 months, indicating a need to access snow-buried forage. An initial carrying capacity of several hundred individuals was limited by freshwater resources during deglaciation. During the Holocene vegetation net primary productivity increased, but shrinking island area lowered carrying capacity to ~100 individuals. Only 2-3 individuals could have been sustained by the freshwater surplus in crater lakes, making this population highly dependent on coastal freshwater sources.

In this work, we extend our work with Niche Mapper and LPJ-GUESS to simulate the shifting distributions of suitable habitat for woolly mammoths in North America since the Last Glacial Maximum (LGM) and to test hypotheses about alternative possible environmental controls. In these simulations, woolly mammoth habitat is modeled to be limited by four environmental factors: 1) temperature, which controls woolly mammoth heat balance and metabolic rate, which acts as a fundamental constraint on animal distributions (Kearney et al., 2010; Mathewson et al., 2016), 2) vegetation carrying capacity, which is determined by the simulated biomass consumption rates of individual woolly mammoths and simulated vegetation net primary productivity (NPP) of plant functional types (PFTs) likely to have been foraged by mammoths, 3) open vegetation habitat, and 4) ice sheet extent. These analyses purposefully do not include anthropogenic factors nor do these analyses test the relative merits of anthropogenic and environmental causal hypotheses. Rather, we seek instead to assess the degree to which environmental factors alone could have caused shifts in woolly mammoth distributions and maximum population sizes, identify time periods and regions where changing environments may have led to reduced range areas and population sizes (and hence incerase vulnerability to extinction), identify potentially critical areas of blockage, and assess the relative importance of multiple environmental factors.

# METHODS

# Overview

Niche Mapper is a mechanistic niche model that simulates animal energetic balances for animals in energetic equilibrium with their ambient environment (Porter and Kearney 2009, Mathewson et al. 2016). Niche Mapper receives inputs of biophysical traits and environmental variables (representing both climatic and habitat characteristics), and uses these to simulate 1) the heat transferred between the animal body and outside environment and 2) the metabolic rate and individual vegetation consumption needed to maintain animal body core temperature (Fig. 3.1). LPJ-GUESS, a dynamic vegetation and ecosystem model (Smith et al. 2001), is used to predict vegetation annual NPP and foliar projective cover (FPC) based on input macroclimates, atmospheric CO<sub>2</sub>, orbital insolation parameters, nitrogen deposition, and other environmental variables. All Niche Mapper and LPJ-GUESS simulations are driven by downscaled climate simulations (0.5° grid) for North America from the SynTrace CCSM3 simulations (Lorenz et al., 2016; Liu et al., 2009). We then extract or calculate four mechanistic biogeographic limits to mammoth distributions – metabolic rate, vegetation carrying capacity, open vegetation and ice sheet extent – to simulate the availability of suitable habitats in continental North America (172.25°W to 48.25°W, 79.75°N to 10.25°N) every 1000 years since 21,000 yr BP. We identify time periods of reduced habitat availability and key environmental constraints on mammoth distributions in North America during the last 21,000 years.



Figure 3.1 Experimental design. Niche Mapper receives inputs about animal biophysical traits, geological substrate, and climate (monthly minima and maxima of daily averages for temperature, relative humidity, cloud coverage and wind speed) to simulate metabolic rate and vegetation consumption for individual woolly mammoths. LPJ-GUESS uses climate, nitrogen deposition, soil texture, CO<sub>2</sub>, and orbital insolation parameters to simulate annual net primary productivity (NPP) and foliar projective cover (FPC) of each plant functional type (PFT). From the Niche Mapper and LPJ-GUESS output, we use four factors to identify regions with suitable habitats for woolly mammoth: 1) metabolic rate > resting metabolic rate (RMR) of woolly mammoth, in which vegetation carrying capacity is calculated from forage NPPs and individual vegetation consumption, 3) open vegetation (defined as open grasslands and open forests), and 4) an ice-free land surface.

#### **Biophysical traits and Physical variables**

We established woolly mammoth biophysical traits based on prior work on St.

Paul Island (Wang et al., in prep); key traits include core temperature, metabolic rate, and

shade access. Physical variables relevant to Niche Mapper energetic balance modeling

mostly represent geological substrate and include soil thermal conductivity, substrate reflectivity, substrate density, and substrate specific heat. We treated geological substrate simply, by assuming that environmental characteristics are the same across the entire continent and through the past 21,000 years. Average characteristics are based on rock and soil properties in North America (http://www.engineeringtoolbox.com/) (Table S13.8). In order to test the sensitivity of Niche Mapper to geological substrate, we ran experiments in which each environmental variable was individually changed, i.e. one variable was changed at a time in each experiment, from zero to the largest possible value (http://www.engineeringtoolbox.com/) (Table S13.8). These results showed little influence of geological substrate on woolly mammoth heat balance, with little change in simulated metabolic rates within the tested values (Fig. S15.7). For elevation, we upscaled the data in WorldClim version 1.4 (Hijmans et al. 2005) from a 30"x30" latitude/longitude grid to a 0.5°x0.5° latitude/longitude grid by calculating the mean from the values of finer 30"x30" latitude/longitude grids within the coarser 0.5°x0.5° latitude/longitude grid.

# Vegetation

We used LPJ-GUESS 4.0 to simulate annual net primary productivity (NPP) and foliar projective core (FPC) for 20 plant functional types (PFTs) (Table S14.9). LPJ-GUESS is a dynamic vegetation and terrestrial ecosystem model that predicts vegetation structure, composition, and productivity given information about monthly climate variables, atmospheric CO<sub>2</sub>, nitrogen deposition, solar degrees, and soil characteristics (Smith et al. 2001). We ran LPJ-GUESS simulations on a 4°x4° latitude/longitude grid instead of the standard  $0.5^{\circ}x0.5^{\circ}$  latitude/longitude grid in order to reduce computational time, then downscaled the simulated annual NPP and FPC to  $0.5^{\circ}x0.5^{\circ}$  latitude/longitude grid by bilinear interpolation.

Environmental drivers include monthly temperature, monthly precipitation, monthly cloud coverage, atmosphere CO<sub>2</sub>, nitrogen deposition, orbital insolation parameters, and soil texture. Monthly temperature and precipitation are from the Lorenz et al. (2016) downscaling of decadal seasonal TraCE-21ka paleoclimate simulations to a 0.5° grid and monthly values. The TraCE-21ka simulations use the Community Climate System Model 3 (CCSM3), which is a synchronously coupled atmosphere-ocean general circulation model, and prescribed transient forcings for orbital parameters, atmospheric greenhouse gas concentrations, ice sheet extent and height, and meltwater pulses (Liu et al. 2009, He et al. 2013). Archived data are stored as decadal seasonal averages in a  $\sim 3.75^{\circ}$  x3.75° latitude/longitude grid on the Earth System Grid at the National Center for Atmospheric Research (NCAR) (https://www.earthsystemgrid.org/project/trace.html). Lorenz et al. (2016) did not downscale monthly cloud cover, which is a required input for LPJ-GUESS, so here we estimate monthly cloud coverage by downscaling from TraCE-21ka decadal seasonal averages to monthly averages using the method in Lorenz *et al.* (2016) used for precipitation. This approach minimizes the differences of paleo/modern anomalies among the three consistent months via a Lagrangian multiplier, in which the modern cloud coverage is drawn from observed monthly averages from 1901 to 2015 AD in Climate Research Unit (CRU) climate dataset version 3.24 (Harris et al. 2014). We then used for LPJ-GUESS a 100-year average of decadal monthly values for every 1000 years. Atmosphere  $CO_2$  concentrations are from the EPICA ice core, binned by averaging for each 100-year time period (Table S14.9) (Monnin et al. 2004). Monthly nitrogen depositions are held constant through time and are set to pre-industrial 1850AD deposition calculated from the ACCMIP database (Lamarque et al. 2013), including dry/wet depositions of NH<sub>x</sub> and NO<sub>y</sub>. Nitrogen deposition rates are up-scaled as the average from XX degree grid cells to the LPJ-GUESS simulation grid of  $4^{\circ}x4^{\circ}$  latitude/longitude. Soil texture for each grid cell is estimated based on Zobler (1987) work and is held constant for all time periods.

Net solar radiation is calculated using a modified form of the equations built into LPJ-GUESS, which I altered to account for changes in the orbital insolation parameters eccentricity, obliquity and precession. Orbital parameters for each time period are from Berger (1978) using the R package *palinsol* (Crucifix 2016) (Table S14.9). Equations in LPJ-GUESS are as below:

$$rs = (c + d * ni) * (1 - beta) * Qo * \cos Z * k$$

in which *rs* is instantaneous net downward shortwave radiation flux, *c*, *d* and *beta* are empirical constants, *ni* is the input of proportion of bright sunshine, and *k* is the conversion factor from solar angular units to seconds (Prentice *et al.*, 1993). *Qo* is the daily downward shortwave radiation through the atmosphere, in LPJ-GUESS it's calculated as:

$$Qo = Qoo * \left(1 + 2 * 0.01675 * \cos\left(2 * pi * \frac{i + 0.5}{365}\right)\right)$$

in which Qoo is the solar constant (1360 W m<sup>-2</sup>), 0.01675 is the current orbital eccentricity, and *i* is the julian day (Prentice et al. 1993). I modified the equation as:

$$Qo = \frac{Qoo * \left(1 + 2 * eccentricity * \cos\left(2 * pi * \frac{i + 0.5}{365}\right)\right)}{rho * rho}$$

based on Berger (1978) work, where rho is Earth-sun distance calculated as:

$$rho = \frac{1 - eccentricity * eccentricity}{1 + eccentricity * \cos(\frac{pi}{2} - varpi)}$$

in which varpi is the true solar longitude of perihelion (Berger, 1978).

*Z* is the solar zenith angle, i.e. the angular distance between the sun's rays and the local vertical, calculated as:

$$\cos Z = \sin(lat) * \sin(delta) + \cos(lat) * \cos(delta) * \cos(h)$$

where *lat* is the grid latitude, *h* is the hour angle (Prentice *et al.*, 1993). In LPJ-GUESS, *delta* is the solar declination (angle between orbital plane and the Earth's equatorial plane), and is calculated as:

$$delta = obliquity * \cos(2 * pi * \frac{i + 10.5}{365})$$

For PFT traits, we used estimated values used in the global version (Sitch et al. 2003). To the global version, we added PFTs representative of vegetation in cold environments, including high shrub evergreen, high shrub summergreen, low shrub evergreen, low shrub summergreen, graminoid-forb tundra, prostrate dwarf tundra, and cushion forb/lichen/moss tundra (Table S14.9) (Wolf et al. 2007, Tang et al. 2016). For drought tolerance in each PFT, we used values from Allen *et al.* (2010) and soil moisture preferences from Kaplan *et al.* (2003) for the additional cold-environment PFTs.

We simulated annual NPP and FPC of each PFT in the grid of 4°x4° latitude/longitude in the continent of North America every 1,000 years since 21,000 yr BP. Then we downscaled the results by bilinear interpolation to the 0.5°x0.5° grid used for the Niche Mapper simulations. In addition to the LPJ-GUESS simulations of past vegetation, we also used prior pollen-based reconstructions of tree coverage in North America between 14,000 yr BP and 9000 yr BP. Tree coverage reconstructions are from Williams *et al.* (2011) work, which used the modern analog technique to estimate woody cover from fossil pollen records.

### **Climatic inputs to Niche Mapper**

The climate inputs to Niche Mapper are 1) monthly minima and maxima of daily averages in temperature, wind speed, cloud coverage and relative humidity, and 2) monthly means of snow presence/absence, surface albedo, maximum shade percentage and percent of unit area acting like a free water surface. All input datasets and Niche Mapper simulations used the 0.5°x0.5° grid. Monthly temperatures minima and maxima and monthly average wind speed are from Lorenz et al. (2016). Monthly average cloud coverage is downscaled from TraCE-21ka simulations (as described above), in which modern relative humidity is calculated from observed temperature and vapor pressure in CRU 3.24 (New et al. 2002). The relationships among relative humidity, temperature, and vapor pressure are estimated from daily observations for 1981 – 2010 AD from 100 stations across North America in National Climatic Data Center (NCDC) (https://www.ncdc.noaa.gov/). Monthly minima and maxima for wind speed, cloud coverage and relative humidity are estimated from the CCSM3 monthly averages and modern relationships between averages, minima, and maxima from 1981 - 2010 AD 100 station daily observations. This estimation assumes that the ratio between averages, minima, and maxima are constant across North America and into the past. We used these fairly simple procedures for estimating minima and maxima in wind speed, cloud coverage and relative humidity because prior sensitivity experiments with Niche Mapper suggest that the energetic balance of woolly mammoths is mainly sensitive to temperature, and less so to other climatic variables (Wang et al. in prep.). As for the climate inputs to LPJ-GUESS, we used 100-year averages of the CCSM3 decadal values for the Niche Mapper simulation for each time period.

We estimated monthly snow presence/absence, surface albedo, and percent of unit area acting like a free water surface based on monthly min/max temperatures using the method in Wang *et al.* (in prep). Surface vegetation albedo is calculated as the weighted average of albedo for each PFT, in which PFT albedo is from Houldcroft *et al.* (2009) and the weighting for each PFT is based on its proportional coverage downscaled to 0.5°x0.5° latitude/longitude from the LPJ-GUESS simulations. Monthly maximum shade is estimated from the coverage of forest in each grid cell: maximum shade is set as 100% through the whole year when the sum coverage of all the forest PFTs is larger than 10% (FAO 1998); otherwise the maximum shade is set as 1%.

# Modeling habitat availability

We used four variables to predict the distribution of available habitat for woolly mammoths: metabolic rate, vegetation carrying capacity, open vegetation, and ice sheet extent. A location was classified as suitable habitat for woolly mammoth when: 1) simulated metabolic rate is higher than resting metabolic rate (RMR); 2) vegetation carrying capacity is higher than minimum population density; 3) vegetation was either open forests or open grassland; and 4) the location is ice-free. Simulated metabolic rate is from Niche Mapper output, while RMR is calculated based on mammoth weight (Kleiber 1947). The resting metabolic limit represents a hard limit for survival; if the simulated metabolic rate drops below the resting metabolic rate, the animal dies. Vegetation carrying capacity is calculated as:

$$VCC = \frac{\frac{Forage NPP (kg C^{-1} m^{-2})}{Carbon} * Foraging Efficiency}}{\frac{Dry Biomass}{Vet Biomass}} * Foraging Efficiency}$$

where VCC represents vegetation carrying capacity, and forage NPP is the sum NPP of all the plant functional types available to grazers as forage, including  $C_3$  grass,  $C_4$  grass, high shrub evergreen, high shrub summergreen, low shrub evergreen, low shrub summergreen, graminoid-forb tundra, and prostrate dwarf tundra. PFT-level NPPs are simulated by LPJ-GUESS as kg C yr<sup>-1</sup> m<sup>-2</sup>, whereas vegetation consumption from Niche Mapper is expressed as kg wet biomass. To convert, we estimated 0.5 as the proportion of carbon in dry plant matter and 0.25 as the proportion of dry plant matter in wet biomass (Shipley and Vu 2002). Foraging efficiency is estimated at 0.08, based on the grazing efficiency of modern African elephants in grasslands (Bliss and Richards 1982). Minimum vegetation carrying capacity is prescribed as  $6.25 \times 10^{-4}$  individuals km<sup>-2</sup>, calculated from estimates of the minimum viable population size for elephant populations of 100 individuals (Sukumar 1993) divided by the area available for a population to forage, which is here calculated as the square of an estimated migration distance of 400 km for woolly mammoth (Hoppe et al. 1999). Open vegetation is calculated as the sum of the fractional coverage for tree PFTs and the limit to availability is based on two thresholds. The first threshold assumes that mammoths could have survived in open grassland and open forest vegetation (also known as woodlands or parklands) and is set to

total arboreal PFTs fractional coverage < 40%, based on the definition of open forests from FAO (1998). The second threshold restricts woolly mammoths to open grasslands only and is set to total tree FPC <10%, based on the FAO (1998) definition of open grasslands. Ice sheet extent is downscaled to  $0.5^{\circ}x0.5^{\circ}$  latitude/longitude from ICE-6G (VM5a) (Argus et al. 2014, Peltier et al. 2015).

# RESULTS

# **Metabolic rate**



Figure 3.2 Simulated woolly mammoth metabolic rate (MJ yr<sup>-1</sup>) in North America every 1000 years from 21,000 to 1000 yr BP. Dashed line presents the resting metabolic rate (RMR) of woolly mammoth, which sets a fundamental lower limit to distribution.

Generally, simulated metabolic rates are higher in the high latitudes than in the low latitudes, and are higher in the glacial period than in the Holocene (Fig. 3.2). This relationship occurs because mammoths and other endotherms thermoregulate in part by maintaining a higher metabolic rate in colder environment, to offset higher rates of heat loss to the ambient environment. Metabolic rates range from 50,340 to 193,940 MJ yr<sup>-1</sup>

at 21,000 yr BP, higher than the range of 44,070 to 183,840 MJ yr<sup>-1</sup> at the early Holocene, 10,000 yr BP. Metabolic rates are 44,070 to 120,420 MJ yr<sup>-1</sup> in the latitudes below 30°N across all simulated time periods, versus 133,410 to 193,940 MJ yr<sup>-1</sup> in the latitudes above 60°N. In Alaska, simulated metabolic rates go the opposite direction than expected, from 142,230 MJ yr<sup>-1</sup> at 21,000 yr BP to 149,020 MJ yr<sup>-1</sup> at 10,000 yr BP, because CCSM3 simulates too-high temperatures in this region at the last glacial maximum, which is in turn produced by an enhanced atmosphere wave structure by the North American ice sheets (Otto-Bliesner et al. 2006, Wang et al. in prep). All simulated metabolic rates north of 23°N are higher than the woolly mammoth RMR of 72,880 MJ yr<sup>-1</sup>. This suggests that RMR and rates of heat loss sets a fundamental ecophysiological constraint on woolly mammoth distributions and prevents them from occupying tropical regions.

# Forage NPP, individual vegetation consumption and vegetation carrying capacity

Simulated NPP of PFTs available as forage ranges from zero to 0.8 kg C m<sup>-2</sup> yr<sup>-1</sup>, and is generally higher during the glacial period, when open vegetation is more widely distributed than in the Holocene (Fig. 3.3). Woolly mammoth forage includes grass (C<sub>3</sub> type and C<sub>4</sub> type) and shrubs. Forage vegetation is distributed in the tropics south of 23°N, in Alaska, and along the southern margin of the ice sheets before 18,000 yr BP, and expands northwards between 15,000 and 12,000 yr BP as temperatures rise and ice sheets retreat. In the Holocene, forage vegetation is mainly limited in Colorado Plateau and Great Plains and the latitudes higher than 60°N (Fig. 3.3). However, this simulation by LPJ-GUESS fails to accurately represent the development of the open grasslands in the Great Plains during the early Holocene (Fig. 3.10) (Williams et al. 2009). In northeastern and midwestern United States, average forage NPP is 0.01 kg C m<sup>-2</sup> yr<sup>-1</sup> at 21,000 yr BP, and increases until 12,000 yr BP, peaking at around 0.03 kg C m<sup>-2</sup> yr<sup>-1</sup>. Forage NPP then quickly decreases to less than 0.01 kg C m<sup>-2</sup> yr<sup>-1</sup> after 10,000 yr BP (Fig. 3.3).



Figure 3.3: As Figure 3.2, for the NPP sum for all PFTs that might serve as forage for woolly mammoths (kg C  $m^{-2}$  yr<sup>-1</sup>), including C<sub>3</sub> grass, C<sub>4</sub> grass, high shrub evergreen, high shrub summergreen, low shrub evergreen, low shrub summergreen, graminoid-forb tundra, and prostrate dwarf tundra.



Figure 3.4: As Figure 3.2, for the simulated consumption of plant biomass (kg yr<sup>-1</sup>) by an individual adult woolly mammoth. Consumption rates are the highest in areas of colder temperatures, where rates of heat losses are highest.

Trends in the rates of vegetation consumption by individual woolly mammoths are similar to those for simulated metabolic rate. Individual vegetation consumption is higher in the high latitudes (143,950 to 212,300 kg yr<sup>-1</sup> for latitudes above 60°N) than in the low latitudes (48,160 to 131,820 kg yr<sup>-1</sup> for latitudes below 30°N), and is higher during the last glacial period (48,660 to 212,300 kg yr<sup>-1</sup> in 21,000 yr BP) than the Holocene (48,160 to 201,250 kg yr<sup>-1</sup> in 10,000 yr BP) (Fig. 3.4). This pattern results from the higher rates of energy consumption needed to support a higher metabolic rate. Simulated vegetation carrying capacity, however, is governed by forage NPP and limited

by forage plant functional types distribution (Fig. 3.5). Forage NPP and vegetation carrying capacity during the glacial period is high in the tropics, Alaska, and along the southern margin of the Laurentide Ice Sheets, while in the Holocene, simulated vegetation carrying capacity is high in the Colorado Plateau and Great Plains and Arctic. In the northeastern and midwestern US, including New England states, Mid-Atlantic states, East North Central area, and West North Central area, average vegetation carrying capacity increases from 0.05 individuals km<sup>-2</sup> at 21,000 yr BP to around 0.15 individuals km<sup>-2</sup> between 15,000 and 12,000 yr BP, and quickly decreases to around 0.05 individuals km<sup>-2</sup> after 11,000 yr BP. Based on the assumption that woolly mammoths can migrate up to 400 km for forage, the forage in an area of migration (400 km x 400 km) in the Midwestern and northeastern US can support 7800 woolly mammoth in 21,000 yr BP, and the carrying capacity increases to 23,000 individuals in 15,000 to 12,000 yr BP, but quickly decreases to 7070 individuals by the early Holocene. However, these carrying capacity estimates are also subject to the caveat that LPJ-GUESS does not capture the development of Great Plains during the early Holocene. The population size is always higher than the minimum viable population of 100 (Sukumar 1993). In Alaska, vegetation carrying capacity decreases quickly from 0.12 individuals km<sup>-2</sup> in 21,000 to 15,000 yr BP to 0.08 individuals  $\text{km}^{-2}$  after, meaning that in the migration area of 400 km x 400 km in Alaska, the maximum woolly mammoth population size by forage quickly decreases from 19,240 to 12,120 individuals after 15,000 yr BP.



Figure 3.5: As Figure 3.2, for the vegetation carrying capacity (individuals 100km<sup>-2</sup>) that is calculated from the sum NPP for forage PFTs and individual biomass consumption by individual woolly mammoths.

# Forest and open land

In the LPJ-GUESS simulations, forests expand northward as ice sheets retreat and temperatures increase from the last glacial period to the Holocene. The areal extent of open land area remains extensive south of the ice sheets between 21 and 14,000 yr BP, but then shrinks as forests expand. Because forests expand more quickly than the ice sheets retreat, the overall area of open vegetation quickly declines between 14,000 and 11,000 yr BP, with open land available in the ice-free corridor at 13,000 years ago (Fig. 3.6). After 11,000 years ago, the simulated distribution of open land is limited to the

Colorado Plateau and Great Plains and the north of the continent (Fig. 3.6), but, as noted above, this simulation fails to capture the observed establishment of open grasslands in the continental interior (Fig. 3.10). In the midwestern and northeastern US, tree coverage is less than 10% before 16,000 yr BP, then increases to around 24% in 15,000 to 12,000 yr BP, and jumps to more than 50% in the Holocene. In Alaska, tree coverage expands from 27% in the east at 21,000 yr BP to 55% by 14,000 yr BP, but then shrinks to around 34% by the middle Holocene.



Figure 3.6: As Figure 3.2, for percent tree cover, as simulated by LPJ-GUESS, based on the sum of FPCs for all forest PFTs.



Woolly mammoth potential distributions and range contraction

Figure 3.7: Simulated distribution of suitable habitat for woolly mammoths in mainland North America every 1,000 years since 21,000 yr BP using a habitat suitability threshold of tree coverage <40%. Dark red indicates areas of modeled suitable habitat for woolly mammoth. Other colors indicate which factors limit habitat suitability elsewhere. Grey indicates limitation by ice sheets; blue indicates limitation by vegetation carrying capacity and lack of open vegetation; dark green indicates limitation by metabolism and lack of open vegetation; green indicates limitation by metabolism and vegetation carrying capacity; dark yellow indicates limitation by lack of open vegetation; yellow indicates limitation by metabolism; orange indicates limitation by vegetation carrying capacity. The <40% threshold for forest cover is based on a Forest Resources Assessment (FRA) definition of open forests, FAO, 1998) and the assumption that woolly mammoths could have survived in open grasslands, parklands, and woodlands.



Figure 3.8: As Figure 3.7, with a more restrictive vegetation threshold that limits mammoths to open vegetation with forest cover <10% (threshold based on FRA definition of open vegetation, FAO, 1998).

When open vegetation is defined as forest coverage less than 40%, woolly mammoth suitable habitat occurs in small pockets in Alaska and in a broad band south of the ice sheets before 17,000 yr BP (Fig. 3.7). The area of suitable habitat shifts northwards as ice sheets start to retreat between 16,000 yr BP and 14,000 yr BP with little change in the availability of southern habitat. Between 13,000 yr BP and 10,000 yr BP when the ice-free corridor opens, the simulated distribution mammoth habitat keeps expanding to the north but shrinks in the south, resulting in 70% loss and final disappearance of most open vegetation in latitudes below 60°N, except the refugia in Colorado Plateau and Great Plains (Fig. 3.7, 3.9a). At the same time, the area of available habitat increases in the high latitudes (north of 60°N) and the ice-free corridor opens, but the LPJ-GUESS simulations suggest that the ice-free corridor was partially blocked to grazers by forest establishment by 12,000 years ago (Fig. 3.7, 3.6). These findings thus suggest that declining availability of open vegetation may have contributed to woolly mammoths range contractions, population declines, and extinction between 13,000 yr BP and 10,000 yr BP.



(a) Woolly mammoth habitat area (Open vegetation: forest coverage < 40%)



(b) Woolly mammoth habitat area (Open vegetation: forest coverage < 10%)

Figure 3.9: Habitat area in the south and north of  $60^{\circ}$ N respectively since 21,000 yr BP. The red line indicates simulated habitat area south of  $60^{\circ}$ N, while blue indicates habitat area north of  $60^{\circ}$ N. Panels (a) and (b) show habitat areas using different open land thresholds: (a) uses the open forest threshold with forest coverage < 40%; (b) uses the open vegetation threshold with forest coverage < 10%.

When open vegetation is defined as tree coverage less than 10%, the availability of suitable habitat for woolly mammoths is much more limited. At the last glacial period, available habitat is limited to a few pockets in Alaska, the western US, and along the southern edge of the ice sheets. Area of available habitat quickly decreases from 807,800 km<sup>2</sup> in 14,000 yr BP to 23,500 km<sup>2</sup> in 11,000 yr BP, equivalent to a 97% loss of habitat in the south (Fig. 3.8, 3.9b). Although the habitat area expands in the high latitudes north of 60°N after 12,000 yr BP and the ice-free corridor between the Cordilleran and Laurentide ice sheets opens at the same time, the LPJ-GUESS simulations suggest that few open grasslands would have been available to support mammoth passage through the corridor. Hence, woolly mammoths are simulated to experience large range contractions in the continent of North America between 14,000 yr BP and 11,000 yr BP, limited by the availability of open vegetation.

The estimated distribution of available habitat changes, however, when we used pollen-based reconstructions of vegetation (Fig. 3.10). These reconstructions also show the development of forest in the northeastern and midwestern US after 14,000 yr BP (Fig. 3.10a), which excludes woolly mammoth from the region (Fig. 3.10b, 3.10c). However, the Great Basin, Colorado Plateau, and Great Plains continue to provide open habitat for woolly mammoth in the Pleistocene-Holocene transition, and in which contrasts with LPJ-GUESS simulations where the Great Plains are dominated by closed forest after 11,000 yr BP (Fig. 3.6). Also, the ice-free corridor is occupied by a mixture of open and closed forests, which differs from LPJ-GUESS simulations of close forests in the ice-free corridor. In the high latitudes north of 60°N, open land/forest habitat expands after 14,000 yr BP as ice sheets melt.



(c) Woolly mammoth open vegetation habitat (forest coverage < 10%)

Figure 3.10: Reconstructions of forest coverage and areas of available habitat for woolly mammoths in North America between 14,000 yr BP and 9000 yr BP based on reconstructions of tree cover using fossil pollen data calibrated to contemporary tree cover estimates from the AVHRR sensor (Williams *et al.* (2011). Panel (a) shows the reconstructed woody cover, while (b) and (c) are habitat reconstructions using the 40% and 10% thresholds as, respectively, Figure 3.7 and 3.8.

# DISCUSSION

# Trends in available habitat area

A key question is whether the ice-free corridor in 13,000 yr BP had suitable open vegetation to support woolly mammoth populations and help them escape to the north. The corridor opens at 13,000 yr BP at the time of habitat loss in the south and opening in the north. The LPJ-GUESS simulations indicate that the corridor would have been covered by closed forest between 12,000 and 10,000 yr BP, which could have blocked or limited woolly mammoth migration northward. However, phylogeographic work shows that the corridor supported bison migrating from the south to the north and helped bison population survive the megafauna extinction event in 13,000 yr BP (Heintzman et al., 2016). Previous pollen records also indicate that the ends of the corridor are open covered by tundra and grasslands (Williams 2003, Dyke 2005, Williams et al. 2009, Williams et al. 2011). Here we are not arguing for a total blockage by vegetation of the corridor for mammoth migration, but we propose that the window for mammoth to escape northward may have been quite short, perhaps closing by 12,000 yr BP due to forest expansion and development (Williams et al. 2009), and human arrival and hunting through the corridor may have made the route perilous for mammoth population to traverse. Hence, our findings suggest that 13,000 to 11,000 years ago was the critical window for mammoths, when trees had expanded northwards enough to begin reducing the area of availability habitat, but with only minimal retreat of ice sheet extent and an escape corridor that may have been partially blocked by establishing forests and human populations.

Our simulated habitat change and suggestion are consistent with the vertebrate fossil record. Woolly mammoth fossil sites are densely distributed in the grasslands and parklands of the western Midwest before 14,600 yr BP, but the number of sites declines quickly between 12,800 and 11,500 yr BP (Widga et al., 2017). In the northeastern United States, mammoth fossils mainly date back to 14,000 to 12,000 yr BP, and the youngest mammoth fossil date falls between 12,500 and 12,000 yr BP (Boulanger & Lyman, 2014). The regional extirpation in the Midwest and Northeast is consistent with the simulated habitat decrease and disappearance in this work. Yet the mechanisms of the extinction remain unclear. This work only explored climate and vegetation role in the woolly mammoth distribution and extinction, and does not make direct statements about human role. Humans were at least partially implicated in mammoth mortality, given the evidence for human hunting during the extinction event, such as the Manis mastodon site in Washington in 13,800 yr BP (Waters *et al.*, 2011) and the several thousand-year overlap between human arrival and megafauna persistence throughout the Americas (Johnson *et al.*, 2013)(Emery-Wetherell et al. in review). The relative importance of

# **Importance of environmental drivers**

Among the explored four factors controlling woolly mammoth distribution – metabolic rate, vegetation carrying capacity, open vegetation, and ice sheet extent, open vegetation and ice sheet extent exert the biggest influence on habitat availability, effectively setting the southern and northern edges of the distribution. Metabolic rate and vegetation carrying capacity are less important in determining the habitat area. This suggests that direct climatic controls on woolly mammoth distributions were relatively weak, but instead influenced mammoth distributions by controlling vegetation openness.

Open vegetation provides mammoths with grass and forb forage and open routes for movement (Bro-Jorgensen 2008, Willerslev et al. 2014). Previous work suggests that the spread of forest and loss of grasslands in 13,800 to 9000 yr BP contributed to woolly mammoth extinctions in northern Eurasia (Kuzmin 2010). Ancient DNA analyses of plant diversity in subarctic/arctic region suggests that the development of woody shrublands around 10,000 yr BP contributed to the collapse of megafaunal herbivore populations in the high latitudes (Willerslev et al. 2014). Yet other work indicates that the spread of shrub-grassland vegetation between 15,700 and 14,800 yr BP did not create the expansion of woolly mammoth in Eurasia, but rather the loss of open vegetation at the onset of Allerød at 14,000 yr BP leads to the extinction event (Stuart 2005). My work indicates that in the latitudes south of 60°N in North America, vegetation carrying capacity is not a limiting factor. However, shift from open parklands to closed forest may have been a critical factor in excluding woolly mammoth from much of the eastern US. Specific ecological mechanisms may have included stronger competition from other grazing herbivores in close forest (Yansa and Adams 2012) and limits to movement and migration imposed by forests (Bro-Jorgensen 2008).

Previous pollen-based reconstructions of past vegetation change supports the simulated loss of open land in the latitudes south of 60°N in 13,000 to 10,000 yr BP in North America. Biome reconstruction inferred from pollen records indicate that mixed parkland shrank between 14,000 yr BP and 12,000 yr BP, while cool mixed forest expanded in the midwestern and northeastern US. These reconstructions also suggest

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that taiga developed in the open corridor between 12,000 yr BP and 10,000 yr BP (Williams et al. 2004, Strong and Hills 2005, Williams et al. 2009, Blarquez and Aleman 2015). Yet other works point out the role of megafauna in maintaining landscape openness and suggest that loss of open habitat is amplified by megafauna extinction and the release of tree taxa from browsing and trampling by now-extinct megaherbivores (Gill et al. 2009, Johnson 2009). Hence, there may have been a positive feedback between open habitat loss and megafauna extinction in which rising temperature triggers the expansion of forest and the loss of open habitat, leading to megafauna population decrease, including woolly mammoth, and accelerating the speed of open habitat loss as a result of release from browsing pressure.

Previous phylogeographic research and fossil work, however, indicate the possibility of the corridor serving as a dispersal route for other grazing herbivores in late Pleistocene. Phylogeographic analysis of bison suggests the corridor serving as a route for bison dispersal from south to north in 13,000 yr BP (Heintzman et al. 2016) to help bison survival in the widespread megafauna extinction event. Pollen analysis indicates possible herb tundra expansion from the north and alpine/shrub tundra from the south in the corridor in 13,000 to 12,000 yr BP (Williams 2003, Dyke 2005, Williams et al. 2009, Williams et al. 2011). But there is no fossil evidence of woolly mammoth presence in the corridor in 13,000 to 10,000 yr BP (Smith and Graham 2017, Emery-Wetherell et al. in review). Further work is needed to explore the role of the corridor in the dispersal of megafauna in Pleistocene-Holocene transition.

#### Colorado Plateau and Great Plains as refugia for woolly mammoth survival

Previous works found fossils of mammoths dating back to 6840±270, 8030±200, and 9880±300 yr BP in Utah (Stuart 1991) and 5260±330, 5980±300, and 9520±530 in Arizona respectively (Stafford et al. 2016). Although it is unclear whether these fossils represent woolly mammoth or other mammoth species (like *M. columbi*), they indicate that Colorado Plateau and Great Plains serve as refugia for mammoths for nearly 5000 years after the widespread mammoth extinction in North America between 12,000 and 10,800 yr BP (Graham 2001). This work indicates that the open land in Colorado Plateau and Great Plains, including open boreal forest, parkland, xerophytic grassland and steppe indicated by simulations in this work and pollen records in previous works (Thompson et al. 1993), supported survival of a few mammoth populations into the Holocene. The high latitudes (north of 60°N) could have been Holocene refugia for woolly mammoth, but they apparently never successfully arrived and established there.

The mechanisms of the mammoth final extinction in Colorado Plateau and Great Plains need further exploration. Humans arrive in Colorado Plateau at least in 10,000 yr BP (Schwinning et al. 2008, Powell and Smiley 2016), excluding human hunting as the extinction driver. Vegetation is composed of parklands, grasslands and steppe (Thompson et al. 1993), continuing to provide enough forage to woolly mammoth, thus vegetation is not the extinction driver. Climate is warmer and drier in 6000 yr BP than in 9000 yr BP indicated by development of xerophytic taxa in pollen records (Thompson et al. 1993). The warmer and drier climate may lead to freshwater shortage in Colorado Plateau and Great Plains and thus triggers woolly mammoth extinction in this region, but more works are needed.

#### Limitations to work and next steps

Changes in habitat and loss of open vegetation between 12,000 and 10,000 yr BP is here posited as a key driver for declines in mammoth range areas and may have contributed to the eventual extinction. However, LPJ-GUESS appears to have overestimated forest coverage and underestimated open vegetation, particularly in the Great Plains and the Great Basin (Fig. 3.10). This overestimation may have several possible causes. One likely factor is the difficulty of accurately capturing grass-fire feedbacks in LPJ-GUESS and other dynamic vegetation models en(Hickler et al. 2004, Baudena et al. 2015). In LPJ-GUESS, fire resistance of each PFT is set by users, while fire frequency, fire spread, and fire intensity are calculated by the model and are connected with fuel moisture of different PFTs. Grasses, as the main fuel load, promote fire and quickly regrow after fires, which lets grasses outcompete trees in areas of high fire frequency. However, grasses are outcompeted by trees when water availability is high, which in turn limits fire spread and fire intensity in areas of high fuel moisture (Baudena et al. 2015). This positive feedback between fire and grass is difficult to capture precisely, which can cause open vegetation, including grassland, parkland, and savannas, to be seriously underestimated in LPJ-GUESS simulations. Baudena et al. (2015) used LPJ-GUESS and other dynamic global vegetation models to simulate African grasslands, parklands and savannas and compared the results with observational data sets, finding that LPJ-GUESS does not predict any savannas in mesic environment when rainfall >  $900 \text{ mm yr}^{-1}$ .

A secondary factor may be the simple simulation of nitrogen deposition used here, which was held constant, and may have been set too high in the paleovegetation simulations. Nitrogen deposition limits woody PFTs and favors grass as a release from suppression of tree shading as woody PFTs have lower growth efficiency due to higher C cost by stem production (Smith *et al.*, 2014). The nitrogen deposition input here is set to pre-industrial values (Lamarque et al. 2013). But the nitrogen deposition before the Holocene is generally lower than the nitrogen level in 1850 AD, and abruptly decreased during the YD (Wolff, 2013). The higher nitrogen inputs in the LPJ-GUESS simulations might have shifted vegetation structure from open land to forest between 12,000 and 10,000 yr BP, leading to the underestimation of open vegetation in the Midwest and Northeast of United States and in the ice-free corridor.

All the uncertainties in LPJ-GUESS above contribute to a larger decline in woolly mammoth habitat, an increase in the vulnerability of mammoth population to extinction, and amplifying the role of open vegetation in the extinction event.

Niche Mapper also may not fully capture the heat balance of woolly mammoths because it does not fully capture all biophysical and morphological traits of woolly mammoth. Although Niche Mapper simulates animal heat balance in a comprehensive way by calculating heat generation and loss from the core body, subcutaneous fat, and hair in each body part separately (Porter and Kearney, 2009), there are still some traits that woolly mammoth evolved specifically for cold environment but cannot be tested in Niche Mapper, which is designed for general animal species. For example, woolly mammoth has multiple layers of hairs, in which the undercoat is composed of thinner, shorter, but denser wools, and this undercoat reduces heat loss and keeps woolly mammoth warm in the cold environment (Kubiak, 1982). Additionally, the small ears of mammoths help reduce heat loss by minimizing vein surface to the atmosphere (Kubiak, 1982). By not including these traits, which help woolly mammoth to reduce heat loss, causes the simulated metabolic rates to be too high, because woolly mammoth needs to be more active to keep core temperatures within a zone of tolerance. The incomplete description of cold-adapted traits in the Niche Mapper simulations also weakens the importance of temperature as a control on species distributions, reducing the sensitivity of woolly mammoth to temperature changes during the Pleistocene-Holocene transition.

The paleoclimatic simulations and proxies are not in good agreement in Alaska and Beringia at the last glacial maximum. TraCE-21ka simulations suggest warmer temperatures in the last glaciation in Alaska and Beringia. This is caused by ICE-5G ice sheet used in CCSM3 (Otto-Bliesner et al. 2006). The tall ice sheets in the north of North America lead to enhanced wave structure in the upper air and a large anticyclone, deeper Hudson Bay high and Aleutian low, and intensified North Pacific suyr BPolar ocean gyre in the surface. These together enhance surface warmer air advection poleward to Alaska and Beringia, resulting in about 3°C warmer temperature in the last glaciation than in the Holocene for Beringia. Conversely, alkenone reconstructions suggest a relatively 4°C cooler last glaciation, compared to the Holocene, in southern coastal Alaska (Praetorius et al. 2015), and fossil beetle assemblages also indicate a 6.4°C colder-than-present LGM in eastern Beringia (Elias 2001). This difference in glacial temperatures between CCSM3 and proxies is leads to inaccuracies in the LPJ-GUESS vegetation simulations, which indicate closed forest development during the glacial period, while previous pollen records that tundra is the main vegetation type in Beringia in the last ice age (Ager and

Phillips 2008, Elias and Crocker 2008, Hoffecker et al. 2014). Thus, it's not plausible to analyze the woolly mammoth habitat in Alaska and Beringia only under CCSM3 modeled climate. More proxy-reconstructed temperatures or new paleoclimatic simulations in Alaska are needed to analyze the habitat distribution in Beringia in future work.

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## **APPENDICES**

Appendix S1 Parameters for Bacon2.2 age model

Parameters	Values
Accumulation Rate	0.1 cm/yr
Minimum Depth	-10 cm
Maximum Depth	1400 cm
Section Length	20 cm
Calibration Curve	IntCal13

Table S1.1 Parameters for *Bacon*2.2 age model

## Appendix S2 GPS locations of modern vegetation survey sites

Site ID	Latitude (degree)	Longitude (degree)
01	57.2263	-170.1361
02	57.2265	-170.1368
03	57.2268	-170.1376
04	57.1808	-170.1754
05	57.1763	-170.1761
06	57.1790	-170.1830
07	57.2046	-170.2153
08	57.2034	-170.2181
09	57.2029	-170.2190
10	57.1773	-170.2491
11	57.1791	-170.2472

Table S2.2 GPS locations of sites for modern vegetation surveys and pollen surface

samples

## Appendix S3 Salix and macrobotanical fossils identification

To identify wood macrobotanical fossils, we thin-sectioned all recovered stem material, mounting the sections on glass slides, analyzing the structure under a compound microscope. The anatomical characteristics were compared using keys to North American Woods and the InsideWood database, along with modern reference material collected on St. Paul Island. *Salix* was assigned particularly on the basis of intervessel pitting, the perforation plates, ray type, and ray-vessel pitting. Leaf fossils were identified mainly from the more complete skeletons with relatively intact venation, some of which also retained portions of the cuticles. These were then compared with the more fragmentary material. Besides *Salix*, we also compared the leaf venation patterns to reference samples and images of *Empetrum*, *Betula*, *Alnus*, *Vaccinium*, *Viburnum*, *Cornus*, *Arctous*, and *Arctostaphylos*. These are all common shrub plant taxa in Alaska. Even though our leaf material is fragmentary, *Salix* is the best fit. The epidermal tissue was mounted on glass slides for examination under high magnification, particularly the type of stomata.

Figure S3.1 We show some examples of wood assigned to *Salix* in Photos I – III, in which Photo I shows a transverse section, including a branch trace, at 40x magnification; Photo II is a radial longitudinal section showing a heterocellular ray, with coarse ray-vessel pitting at 600x magnification; and Photo III shows a vessel element with a simple perforation plate and alternate intervessel pitting at 600x magnification. Leaf epidermal tissue with stomata from sample at 161 cm is shown in Photo IV, at 600x. Finally, we also thin-sectioned some of the skeletonized leaf veins of better preserved specimens (Photo V – VIII), and compared the extent of scarification and general structure to *Salix* modern reference specimens that we collected on St. Paul Island (Photo IX – XII).



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Appendix S4 Sedimentary ancient DNA reference genomes and metadata for the Lake Hill core samples

Table S4.3 Sedimentary ancient DNA metadata for the Lake Hill core samples, following the conventions of Graham *et al.* (2016). Reads were aligned to the *Picea abies*, *Picea glauca* 1, *Picea glauca* 2, *Betula*, and *Salix* genomes. JK-EC26, JK-EC35, PH-EC31, and PH-EC32 were negative extraction controls and were not included in calculating the total number of reads passing all filters and unique hits to Pinales, Betulaceae, or Saliceae.

	Core drive	Composite			Short Read		
Sample	and	depth	Extraction	Duplicate	Archive	Raw reads	Pre-alignment
extract ID	depth (cm)	(cm)	method	type	accession	(N)	filtered reads (N)
JK-EC26	N/A	N/A	2		SRR3992608	162815	41973
JK-EC35	N/A	N/A	2		SRR3992609	1538400	342645
PH-EC31	N/A	N/A	2		SRR3480229	3031456	640440
PH-EC32	N/A	N/A	2		SRR3480230	2999470	637115
PH117	1B-1B_23-25	5	3		SRR3480250	2624866	1190488
PH117	1B-1B_23-25		3	3	SRR3480262	1546627	1165576
PH183	1B-1B_23-25		2	1	SRR3480274	1360888	1013990
PH116	1D-2B_67-69	265	3		SRR3480285	2815434	2292387
PH116	1D-2B_67-69		3	3	SRR3480290	1592397	1419174
PH182	1D-2B_67-69		2	1	SRR3480291	1903215	1630223
PH149	1D-3B_78-80	373	2		SRR3480292	1548841	1229432
PH180	1D-4B_70-72	461	2		SRR3480293	819851	685836
PH178	1D-4B_74-76	465	2		SRR3480231	1550231	1293035
PH179	1D-4B_78-80	469	2		SRR3480232	890555	698981
PH181	1D-4B_82-84	473	2		SRR3480233	1793201	1508100
PH173	1D-4B_86-88	477	2		SRR3480234	1615950	1300565
PH177	1B-6L_16-18		2	2	SRR3480235	1702243	1388792
PH081	1B-6L_20-22	481	1		SRR3480236	1383862	1148299
PH081	1B-6L_20-22		1	4	SRR3480246	2646418	2081478
PH155	1B-6L_20-22		2	1	SRR3480247	2283504	1915099
PH170	1D-4B_90-92		2	2	SRR3480248	1977174	1635223
PH156	1B-6L_24-26	485	2		SRR3480249	2244033	1838207
PH157	1B-6L_28-30	489	2		SRR3480252	1899646	1542441
PH144	1B-6L_32-34	493	2		SRR3480253	1898912	1557930
PH167	1D-5B_4-6	495	2		SRR3480254	1039816	839378

PH145	1B-6L_36-38	497	2		SRR3480255	1874093	1605589
PH172	1D-5B_8-10	499	2		SRR3480256	1143472	904922
PH142	1B-6L_40-42	501	2		SRR3480257	1712023	1375242
PH143	1D-5B_12-14	503	2		SRR3480258	1899306	1507506
PH168	1B-6L_44-46	505	2		SRR3480259	1545972	1129917
PH148	1D-5B_16-18	507	2		SRR3480260	1506286	1272153
PH169	1B-6L_48-50	509	2		SRR3480261	1962200	1555729
PH150	1D-5B_20-22	511	2		SRR3480263	2280866	1861240
PH175	1B-6L_52-54	513	2		SRR3480264	1904279	1532538
PH152	1D-5B_24-26	515	2		SRR3480265	1952201	1557679
PH171	1B-6L_56-58	517	2		SRR3480266	913972	709089
PH153	1D-5B_28-30	519	2		SRR3480268	2160992	1709759
PH176	1B-6L_60-62	521	2		SRR3480269	1014129	846864
PH154	1D-5B_32-34	523	2		SRR3480270	2646256	2174973
PH174	1B-6L_64-66	525	2		SRR3480271	1693131	1333499
PH118	1D-5B_36-38	527	3		SRR3480272	1347732	541821
PH146	1D-5B_36-38		2	1	SRR3480273	2163477	1769481
PH147	1D-5B_40-42	531	2		SRR3480275	1861236	1526209
PH121	1D-5B_60-62	551	3		SRR3480276	1881195	432449
PH186	1D-5B_60-62		2	1	SRR3480277	1473388	1226704
PH082	1A-6L_61-63	579	1		SRR3480278	3756988	1959968
PH082	1A-6L_61-63		1	4	SRR3480279	997409	721167
PH083	1B-7L_46-48	599	1		SRR3480280	2736871	1975051
PH083	1B-7L_46-48		1	4	SRR3480281	1048022	837030
PH119	1B-7L_66-68	619	3		SRR3480282	1820890	631216
PH184	1B-7L_66-68		2	1	SRR3480283	1452248	1131785
PH151	1D-6B_37-39	679	2		SRR3480284	2420661	2093086
PH120	1D-6B_77-79	719	3		SRR3480286	1892497	495780
PH120	1D-6B_77-79		3	3	SRR3480287	1402382	1140268
PH185	1D-6B_77-79		2	1	SRR3480288	1167953	992655
PH194	1D-6B_77-79		2	1	SRR3480289	1674680	1342342
JK143	1D-7L_47-49	786	2		SRR3992620	1264021	903198
JK219	1B-9L_25-27	794	2		SRR3992629	1280866	975859
JK144	1B-9L_29-31	798	2		SRR3992624	1463928	1015509
JK220	1B-9L_33-35	802	2		SRR3992630	1783167	1235118
JK221	1B-9L_37-39	806	2		SRR3992610	1593300	1097332
JK145	1B-9L_41-43	810	2		SRR3992625	2206147	1620936
JK222	1B-9L_45-47	814	2		SRR3992611	1351355	975634
JK223	1B-9L_49-51	818	2		SRR3992612	1368293	1010344
JK146	1B-9L_53-55	822	2		SRR3992626	1419163	974484
JK224	1B-9L_57-59	826	2		SRR3992613	1268443	911082
JK225	1B-9L_61-63	830	2		SRR3992614	1492768	1060628
JK147	1B-9L_65-67	834	2		SRR3992627	1496536	1100866

JK226	1B-9L_69-71	838	2	SRR3992615	1205564	916659
JK148	1B-9L_77-79 1A-10L_35-	846	2	SRR3992628	1043838	629809
PH187	37 1A-10L 39-	996	2	SRR3992616	1646635	800700
PH188	41 1D-10L 43-	1000	2	SRR3992617	1375555	813339
PH189	45 1A-11L 83-	1046	2	SRR3992618	1509127	951672
PH190	85 1A-12L 50-	1109	2	SRR3992619	1430053	946464
PH191	52 1A-13L 53-	1173	2	SRR3992621	1156401	709588
PH192	55 - 1A-14L 52-	1237	2	SRR3992622	1554025	1016729
PH193	54 –	1301	2	SRR3992623	1368570	836704
Total					130454367	91433172

Picea abies						
Reads that	Unique hits	Proportion of filtered reads				
pass all filters	to Pinales	Replicate	Mean	1 SD		
52	0	1.239E-03	7.861E-04	3.346E-04		
277	0	8.084E-04				
411	0	6.417E-04				
290	0	4.552E-04				
2	0	1.680E-06	4.991E-06	4.308E-06		
4	0	3.432E-06				
10	0	9.862E-06				
20	0	8.725E-06	5.870E-06	3.590E-06		
10	0	7.046E-06				
3	0	1.840E-06				
4	1	3.254E-06	3.254E-06			
2	0	2.916E-06	2.916E-06			
4	0	3.093E-06	3.093E-06			
5	0	7.153E-06	7.153E-06			
9	0	5.968E-06	5.968E-06			
2	0	1.538E-06	1.489E-06	6.908E-08		
2	0	1.440E-06				
4	0	3.483E-06	4.974E-06	5.349E-06		
2	0	9.609E-07				
5	0	2.611E-06				
21	0	1.284E-05				
5	0	2.720E-06	2.720E-06			
0	0	0.000E+00	0.000E+00			
1	0	6.419E-07	6.419E-07			
2	0	2.383E-06	2.383E-06			
1	0	6.228E-07	6.228E-07			

3	0	3.315E-06	3.315E-06	
2	0	1.454E-06	1.454E-06	
4	0	2.653E-06	2.653E-06	
21	0	1.859E-05	1.859E-05	
7	0	5.502E-06	5.502E-06	
15	0	9.642E-06	9.642E-06	
40	0	2.149E-05	2.149E-05	
18	0	1.175E-05	1.175E-05	
3	0	1.926E-06	1.926E-06	
4	0	5.641E-06	5.641E-06	
11	0	6.434E-06	6.434E-06	
7	0	8.266E-06	8.266E-06	
11	0	5.058E-06	5.058E-06	
22	0	1.650E-05	1.650E-05	
33	0	6.091E-05	3.187E-05	4.107E-05
5	0	2.826E-06		
8	0	5.242E-06	5.242E-06	
40	0	9.250E-05	4.747E-05	6.368E-05
3	0	2.446E-06		
8	0	4.082E-06	5.507E-06	2.016E-06
5	0	6.933E-06		
9	0	4.557E-06	4.668E-06	1.569E-07
4	0	4.779E-06		
120	0	1.901E-04	1.012E-04	1.257E-04
14	0	1.237E-05		
20	0	9.555E-06	9.555E-06	
4	0	8.068E-06	7.957E-06	4.577E-06
16	0	1.403E-05		
3	0	3.022E-06		
9	0	6.705E-06		
1	0	1.107E-06	1.107E-06	
6	0	6.148E-06	6.148E-06	
2	0	1.969E-06	1.969E-06	
2	0	1.619E-06	1.619E-06	
2	0	1.823E-06	1.823E-06	
12	0	7.403E-06	7.403E-06	
2	0	2.050E-06	2.050E-06	
7	0	6.928E-06	6.928E-06	
2	0	2.052E-06	2.052E-06	
3	0	3.293E-06	3.293E-06	
1	0	9.428E-07	9.428E-07	
1	0	9.084E-07	9.084E-07	
7	0	7.636E-06	7.636E-06	

2	0	3.176E-06	3.176E-06	
32	0	3.997E-05	3.997E-05	
6	0	7.377E-06	7.377E-06	
3	0	3.152E-06	3.152E-06	
6	0	6.339E-06	6.339E-06	
1	0	1.409E-06	1.409E-06	
21	0	2.065E-05	2.065E-05	
8	0	9.561E-06	9.561E-06	
714	1			

Picea glauca 1							
Reads that	Unique hits	Proportion of filtered reads					
pass all filters	to Pinales	Replicate	Mean	1 SD			
0	0	0.000E+00	3.415E-06	2.531E-06			
2	0	5.837E-06					
3	0	4.684E-06					
2	0	3.139E-06					
0	0	0.000E+00	9.862E-07	1.708E-06			
0	0	0.000E+00					
3	0	2.959E-06					
0	0	0.000E+00	8.483E-07	9.285E-07			
1	0	7.046E-07					
3	0	1.840E-06					
1	0	8.134E-07	8.134E-07				
1	0	1.458E-06	1.458E-06				
3	0	2.320E-06	2.320E-06				
0	0	0.000E+00	0.000E+00				
9	0	5.968E-06	5.968E-06				
1	0	7.689E-07	1.104E-06	4.746E-07			
2	0	1.440E-06					
2	0	1.742E-06	1.330E-06	1.363E-06			
0	0	0.000E+00					
1	0	5.222E-07					
5	0	3.058E-06					
4	0	2.176E-06	2.176E-06				
0	0	0.000E+00	0.000E+00				
1	0	6.419E-07	6.419E-07				
1	0	1.191E-06	1.191E-06				
0	0	0.000E+00	0.000E+00				
2	0	2.210E-06	2.210E-06				
1	0	7.271E-07	7.271E-07				
2	0	1.327E-06	1.327E-06				
17	0	1.505E-05	1.505E-05				
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5	0	3.930E-06	3.930E-06				
13	0	8.356E-06	8.356E-06				
3	0	1.612E-06	1.612E-06				
5	0	3.263E-06	3.263E-06				
2	0	1.284E-06	1.284E-06				
6	0	8.462E-06	8.462E-06				
4	0	2.340E-06	2.340E-06				
7	0	8.266E-06	8.266E-06				
5	0	2.299E-06	2.299E-06				
8	0	5.999E-06	5.999E-06				
1	0	1.846E-06	9.228E-07	1.305E-06			
0	0	0.000E+00					
2	0	1.310E-06	1.310E-06				
2	0	4.625E-06	3.943E-06	9.645E-07			
4	0	3.261E-06					
11	0	5.612E-06	6.273E-06	9.340E-07			
5	0	6.933E-06					
6	0	3.038E-06	2.116E-06	1.303E-06			
1	0	1.195E-06					
2	0	3.168E-06	4.235E-06	1.508E-06			
6	0	5.301E-06					
6	0	2.867E-06	2.867E-06				
0	0	0.000E+00	1.621E-06	2.123E-06			
0	0	0.000E+00					
2	0	2.015E-06					
6	0	4.470E-06					
1	0	1.107E-06	1.107E-06				
1	0	1.025E-06	1.025E-06				
0	0	0.000E+00	0.000E+00				
0	0	0.000E+00	0.000E+00				
0	0	0.000E+00	0.000E+00				
1	0	6.169E-07	6.169E-07				
2	0	2.050E-06	2.050E-06				
0	0	0.000E+00	0.000E+00				
1	0	1.026E-06	1.026E-06				
0	0	0.000E+00	0.000E+00				
0	0	0.000E+00	0.000E+00				
1	0	9.084E-07	9.084E-07				
1	0	1.091E-06	1.091E-06				
0	0	0.000E+00	0.000E+00				
2	0	2.498E-06	2.498E-06				
2	0	2.459E-06	2.459E-06				

2	0	2.102E-06	2.102E-06	
2	0	2.113E-06	2.113E-06	
1	0	1.409E-06	1.409E-06	
1	0	9.835E-07	9.835E-07	
2	0	2.390E-06	2.390E-06	
192	0			

Picea glauca 2				
Reads that	Unique hits	Propor	tion of filtered rea	ds
pass all filters	to Pinales	Replicate	Mean	1 SD
1	0	2.382E-05	9.320E-06	1.015E-05
3	0	8.755E-06		
1	0	1.561E-06		
2	0	3.139E-06		
0	0	0.000E+00	2.916E-06	4.329E-06
1	0	8.579E-07		
8	0	7.890E-06		
2	0	8.725E-07	1.109E-06	1.244E-06
0	0	0.000E+00		
4	0	2.454E-06		
1	0	8.134E-07	8.134E-07	
2	0	2.916E-06	2.916E-06	
7	0	5.414E-06	5.414E-06	
2	0	2.861E-06	2.861E-06	
7	0	4.642E-06	4.642E-06	
1	0	7.689E-07	7.445E-07	3.454E-08
1	0	7.201E-07		
2	0	1.742E-06	1.744E-06	1.503E-06
0	0	0.000E+00		
3	0	1.566E-06		
6	0	3.669E-06		
3	0	1.632E-06	1.632E-06	
2	0	1.297E-06	1.297E-06	
3	0	1.926E-06	1.926E-06	
2	0	2.383E-06	2.383E-06	
2	0	1.246E-06	1.246E-06	
7	0	7.735E-06	7.735E-06	
1	0	7.271E-07	7.271E-07	
5	0	3.317E-06	3.317E-06	
12	0	1.062E-05	1.062E-05	
4	0	3.144E-06	3.144E-06	
18	0	1.157E-05	1.157E-05	

7	0	3.761E-06	3.761E-06	
18	0	1.175E-05	1.175E-05	
1	0	6.420E-07	6.420E-07	
6	0	8.462E-06	8.462E-06	
3	0	1.755E-06	1.755E-06	
6	0	7.085E-06	7.085E-06	
4	0	1.839E-06	1.839E-06	
20	0	1.500E-05	1.500E-05	
1	0	1.846E-06	1.488E-06	5.058E-07
2	0	1.130E-06		
6	0	3.931E-06	3.931E-06	
3	0	6.937E-06	3.876E-06	4.329E-06
1	0	8.152E-07		
8	0	4.082E-06	4.814E-06	1.036E-06
4	0	5.547E-06		
8	0	4.051E-06	3.817E-06	3.298E-07
3	0	3.584E-06		
1	0	1.584E-06	5.652E-06	5.752E-06
11	0	9.719E-06		
4	0	1.911E-06	1.911E-06	
0	0	0.000E+00	1.906E-06	1.756E-06
1	0	8.770E-07		
3	0	3.022E-06		
5	0	3.725E-06		
0	0	0.000E+00	0.000E+00	
1	0	1.025E-06	1.025E-06	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
1	0	9.113E-07	9.113E-07	
1	0	6.169E-07	6.169E-07	
2	0	2.050E-06	2.050E-06	
1	0	9.898E-07	9.898E-07	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
2	0	2.459E-06	2.459E-06	
0	0	0.000E+00	0.000E+00	
5	0	5.283E-06	5.283E-06	
0	0	0.000E+00	0.000E+00	

1	0	9.835E-07	9.835E-07	
2	0	2.390E-06	2.390E-06	
248	0			

	В	Setula		
Reads that	Unique hits	Propor	tion of filtered re	eads
pass all filters	to Betulaceae	Replicate	Mean	1 SD
51	0	1.215E-03	6.742E-04	4.001E-04
229	0	6.683E-04		
356	0	5.559E-04		
164	0	2.574E-04		
0	0	0.000E+00	1.187E-06	1.299E-06
3	0	2.574E-06		
1	0	9.862E-07		
3	0	1.309E-06	8.756E-07	3.778E-07
1	0	7.046E-07		
1	0	6.134E-07		
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
1	0	7.734E-07	7.734E-07	
0	0	0.000E+00	0.000E+00	
1	0	6.631E-07	6.631E-07	
0	0	0.000E+00	0.000E+00	0.000E+00
0	0	0.000E+00		
1	0	8.709E-07	7.086E-07	6.055E-07
3	0	1.441E-06		
1	0	5.222E-07		
0	0	0.000E+00		
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
3	0	2.181E-06	2.181E-06	
1	0	6.633E-07	6.633E-07	
11	0	9.735E-06	9.735E-06	
2	0	1.572E-06	1.572E-06	
2	0	1.286E-06	1.286E-06	
24	0	1.289E-05	1.289E-05	
5	0	3.263E-06	3.263E-06	
1	0	6.420E-07	6.420E-07	

2	0	2.821E-06	2.821E-06	
4	0	2.340E-06	2.340E-06	
0	0	0.000E+00	0.000E+00	
0	0	0.000E+00	0.000E+00	
11	0	8.249E-06	8.249E-06	
14	0	2.584E-05	1.377E-05	1.707E-05
3	0	1.695E-06		
3	0	1.966E-06	1.966E-06	
12	0	2.775E-05	1.387E-05	1.962E-05
0	0	0.000E+00		
6	0	3.061E-06	3.611E-06	7.769E-07
3	0	4.160E-06		
3	0	1.519E-06	1.954E-06	6.155E-07
2	0	2.389E-06		
116	0	1.838E-04	9.454E-05	1.262E-04
6	0	5.301E-06		
17	0	8.122E-06	8.122E-06	
0	0	0.000E+00	1.688E-06	1.519E-06
4	0	3.508E-06		
1	0	1.007E-06		
3	0	2.235E-06		
1	0	1.107E-06	1.107E-06	
1	0	1.025E-06	1.025E-06	
1	0	9.847E-07	9.847E-07	
1	0	8.096E-07	8.096E-07	
1	0	9.113E-07	9.113E-07	
0	0	0.000E+00	0.000E+00	
1	0	1.025E-06	1.025E-06	
4	0	3.959E-06	3.959E-06	
0	0	0.000E+00	0.000E+00	
1	0	1.098E-06	1.098E-06	
2	0	1.886E-06	1.886E-06	
2	0	1.817E-06	1.817E-06	
4	0	4.364E-06	4.364E-06	
5	0	7.939E-06	7.939E-06	
5	0	6.245E-06	6.245E-06	
1	0	1.229E-06	1.229E-06	
0	0	0.000E+00	0.000E+00	
2	0	2.113E-06	2.113E-06	
1	0	1.409E-06	1.409E-06	
24	0	2.361E-05	2.361E-05	
12	0	1.434E-05	1.434E-05	
344	0			

Salix					
Reads that	Unique hits	Propor	tion of filtered re	ads	Sample
pass all filters	to Saliceae	Replicate	Mean	1 SD	reference
0	0	0.000E+00	7.296E-07	1.459E-06	This study
1	0	2.918E-06			This study
0	0	0.000E+00			(2016) Graham et al.
0	0	0 000E+00			Graham et al. (2016)
	•				Graham et al.
136	22	1.142E-04	9.609E-05	5.103E-05	(2016) Graham et al.
158	23	1.356E-04			(2016) Craham at al
39	5	3.846E-05			(2016)
168	26	7 329E-05	5 298E-05	4 014E-05	Graham et al. (2016)
100	20	1.5271 00	0.2902 00	1.0112.05	Graham et al.
112	18	7.892E-05			(2016) Graham et al
11	1	6.748E-06			(2016)
14	2	1.139E-05	1.139E-05		Graham et al. (2016)
47	_		( 052E 05		Graham et al.
47	8	6.853E-05	6.853E-05		(2016) Graham et al.
59	9	4.563E-05	4.563E-05		(2016) Craham at al
7	1	1.001E-05	1.001E-05		(2016)
8	1	5 305E-06	5 305E-06		Graham et al. (2016)
0	1	5.505E 00	0.000100		Graham et al.
18	1	1.384E-05	1.124E-05	3.677E-06	(2016) Graham et al.
12	2	8.641E-06			(2016) Craham at al
226	36	1.968E-04	1.217E-04	8.639E-05	(2016)
407	71	1 955E-04			Graham et al. (2016)
107	/1	1.75512-04			Graham et al.
70	5	3.655E-05			(2016) Graham et al
95	11	5.810E-05			(2016)
62	7	3.373E-05	3.373E-05		Graham et al. (2016)
	,				Graham et al.
37	2	2.399E-05	2.399E-05		(2016) Graham et al.
74	3	4.750E-05	4.750E-05		(2016) Craham at al
18	4	2.144E-05	2.144E-05		(2016)
44	5	2 740E-05	2 740E-05		Graham et al. (2016)
	5	2.74012 05	2.7401 00		Graham et al.
14	1	1.547E-05	1.547E-05		(2016) Graham et al.
26	8	1.891E-05	1.891E-05		(2016)
51	3	3.383E-05	3.383E-05		Granam et al. (2016)
06	7	8 496F-05	8 496F-05		Graham et al.
30	/	0. <del>-</del> /0L-0J	0.47012-05		Graham et al.
94	8	7.389E-05	7.389E-05		(2016)

109	9	7.006E-05	7.006E-05		Graham et al. (2016)
229	18	1.230E-04	1.230E-04		Graham et al. (2016)
675	65	4 404F-04	4 404F-04		Graham et al.
075	05	5.12(E.0)	5.12CE 0C		Graham et al.
8	1	5.136E-06	5.136E-06		(2016) Graham et al.
200	22	2.821E-04	2.821E-04		(2016) Graham et al.
116	13	6.785E-05	6.785E-05		(2016) Graham et al
38	2	4.487E-05	4.487E-05		(2016)
132	15	6.069E-05	6.069E-05		(2016)
186	14	1.395E-04	1.395E-04		Graham et al. (2016)
323	39	5.961E-04	3.051E-04	4.115E-04	Graham et al. (2016)
25	3	1 413E-05			Graham et al. (2016)
20	5	5.045E.05	5.0455.05		Graham et al.
//	5	5.045E-05	5.045E-05		Graham et al.
11	4	2.544E-05	2.576E-05	4.594E-07	(2016) Graham et al.
32	3	2.609E-05			(2016) Graham at al
89	8	4.541E-05	4.558E-05	2.477E-07	(2016)
33	3	4.576E-05			Graham et al. (2016)
145	19	7.342E-05	7.255E-05	1.226E-06	Graham et al. (2016)
60	5	7 168E-05			Graham et al. (2016)
150	16	2.510E.04	1 2925 04	1 750E 04	Graham et al.
139	10	2.519E-04	1.202E-04	1.750E-04	Graham et al.
5	0	4.418E-06			(2016) Graham et al.
16	2	7.644E-06	7.644E-06		(2016) Graham et al.
40	10	8.068E-05	6.239E-05	5.953E-05	(2016) Graham et al
158	35	1.386E-04			(2016)
19	2	1.914E-05			(2016)
15	1	1.117E-05			Graham et al. (2016)
59	10	6.532E-05	6.532E-05		This study
23	2	2.357E-05	2.357E-05		This study
12	1	1.182E-05	1.182E-05		This study
26	2	2.105E-05	2.105E-05		This study
22	6	2.005E-05	2.005E-05		This study
45	5	2.776E-05	2.776E-05		This study
35	4	3.587E-05	3.587E-05		This study
27	6	2.672E-05	2.672E-05		This study
11	2	1.129E-05	1.129E-05		This study
29	3	3.183E-05	3.183E-05		This study
35	5	3.300E-05	3.300E-05		This study
88	18	7. <b>994E-05</b>	7.994E-05		This study

54	7	5.891E-05	5.891E-05	This study
22	1	3.493E-05	3.493E-05	This study
16	4	1.998E-05	1.998E-05	This study
41	2	5.041E-05	5.041E-05	This study
77	11	8.091E-05	8.091E-05	This study
17	1	1.796E-05	1.796E-05	This study
16	4	2.255E-05	2.255E-05	This study
89	12	8.754E-05	8.754E-05	This study
15	3	1.793E-05	1.793E-05	This study
5762	713			

Table S4.4 Data for the *Picea*, *Salix*, and *Betula* reference genomes used to assess the presence/absence of sedimentary aDNA from these groups in the Lake Hill core.

N			Assembly			
Name BL filt		BLAST filter	N	NCBI		
Comm on	Scienti fic		Name	Accession	Keference	
Norwa y spruce	Picea abies	Pinacea e	Pabies1.0		ftp://plantgenie.org/Data/ConGenIE/Picea_abies/v1.0/FASTA/G enomeAssemblies/ ; Nystedt <i>et al.</i> (2013)	
White spruce 1	Picea glauca	Pinacea e	PG29_V4	GCA_0004119 55.4	NCBI Assembly; Birol et al. (2013)	
White spruce 2	Picea glauca	Pinacea e	WS77111_ V1	GCA_0009666 75.1	NCBI Assembly	
Purple willow	Salix purpur ea	Saliceae	Spurpurea_2	89_v1.0	https://phytozome.jgi.doe.gov/pz/portal.html#!info?alias=Org_Sp urpurea	
Dwarf birch	Betula nana	Betulac eae	ASM3270 0v1	GCA_0003270 05.1	NCBI Assembly	

Depth (cm)	Age (yr BP)	Sediment type	Interpretation
0-34	present-440	yellow massive sand	Anthropogenic watershed erosion
35-500	460-5,490	dark olive massive gyttja, with occasional fibrous materials and plant macrofossils	Holocene lacustrine deposition
501-717	5,500-10,940	black silty gyttja	1
718-749	10,970-11,570	white laminated sand	Late glaciation lacustrine deposition
750-787	11,590-11,900	dark black massive sand	
788-961	11,900-13,060	white laminated sand and silt	Younger Dryas lacustrine deposition
962-1,000	13,070-13,320	dark grey laminated sand and silt	1
1,001-1,036	13,330-13,570	light yellow massive sand and silt	
1,037-1,341	13,570-18,200	white laminated sand and silt	Full-glacial lacustrine deposition

Table S5.5 Lithology information of cores at Lake Hill, St. Paul Island

Figure S5.2 Photo of composite cores from Lake Hill, St. Paul Island.



Figure S6.3 Modern vegetation survey and surface soil pollen assemblages on St. Paul Island, for 11 sampling sites. Except site 1, all sites were four 1 m quadrats, while site 1 was one 1 m quadrat because its vegetation is homogenous. The abundance of each taxon in each unit was ranked in five orders based on individual counts or coverage estimated visually: 1) uncommon/rare, <20% coverage or <10 count; 2) infrequent, 20-39% coverage or 10-24 count; 3) common, 40-59% coverage or 25-50 count; 4) sub-dominant, 60-79% coverage and 5) ubiquitous/dominant, 80% or greater coverage. The percent plant coverage for each site was calculated as the average of the four 1 m quadrats. Most moss spores were typically not identified in pollen analysis, with the exception of *Sphagnum*, and the absence of moss spores in the record was an artifact of the analysis. For each site, histograms at left indicate rank-order estimates of relative cover based on vegetation surveys, while the histograms at right indicate relative pollen

abundances. For purposes of visualization and comparison to the pollen data, the botanical survey results are grouped to the genus level. The 12<sup>th</sup> plot shows the averages calculated across all 11 sites.



7			Sit	e 8			Sit	e 9		Site	10		Site 1	1	Aver	age on th	he island
Polle	en Abundance (%)	Vegetation	n Ranks	Pollen A	Abundance (%)	Vegetation R	anks	Pollen Abundance (%)	Vegetation	Ranks	Pollen Abundance (%)	Vegetation Ran	nks Po	ollen Abundance (%)	Vegetation Rank	s P	Pollen Abundance (%)
	Sphagum	Bryophyta				Bryophyta			Bryophyta			Bryophyta			Bryophyta		
	Cyperaceae	Empetrum			Ericaceae	Poaceae sp.	2	Poaceae	Viola		Violaceae	Angelica	_	Anionana	Sphagum		Sphagum
1	Salix	Achillea				Conioselinum		Aniacasa	Achillea		Astaraasa	Conioselinum		Aplaceae	Carex		Cyperaceae
	Violaceae	Cerastium				Angelica		Aplacede	Artemesia	-	Asteraceae	Viola		Violaceae	Viola		Violaceae
	Equisetum	Carex			Cyperaceae	Equisetum			Arabis		Brassicaceae	Artemesia	-		Salix		Salix
	Lycopodium	Poaceae sp.			Poaceae	Achillea		Asteraceae	Angelica	-	Apiaceae	Achillea		Asteraceae	Angelica		Animana
	Alnus	Equisetum				Artemesia		71010100000	Conioselinum	-	- photode	Brassicaceae sp.		Brassicaceae	Conioselinum		Apiaceae
	Betula	Angelica			Apiaceae	Brassicaceae sp.			Cerastium		Carophyllaceae	Cerastium		Carophyllaceae	Empetrum		Ericaceae
	Apiaceae	Conioselinum				Aconitum			Lupinus		Fabaceae	Carex		Cyperaceae	Achillea		Asternason
	Asteraceae	Lupinus				Pedicularis			Luzula			Lupinus		Fabaceae	Artemesia		Asteraceae
	Brassicaceae	Viola				Viola		Violaceae	Polemonium			Poaceae sp.		Poaceae	Poaceae sp.		Poaceae
	Campanulaceae				Lycopodium			Alnus	Polygunum			Oxyris			Equisetum		Equisetum
	Ericaceae				Allius			Campanulaceae	Saxafraga		Saxifragaceae	Pedicularis			Cerastium		Carophyllaceae
	Fabaceae				Brassicaceae			Cyperaceae			Lycopodium	Valeriana		Caprifoliaceae	Honckenya		ourophynacouo
	Poaceae	5 4	3210	20 40 6	50 80 100			Ericaceae			Amaranthaceae			Equisetum	Lupinus		Fabaceae
	Polygonaceae							Polygonaceae			Campanulaceae			Lycopodium	Lathyrus		
	Rosaceae							Rosaceae			Cyperaceae			Alnus	Pedicularis		Scrophulariaceae
20 40	0 60 80 100							Salix			Ericaceae			Plantaginiaceae	Brassicaceae sp.		
						5 4 3	2 1 0	20 40 60 80 100			Poaceae			Ranuculaceae	Arabis		Brassicaceae
						5 4 5		20 40 00 00 100			Salix			Rosaceae	Cochlearia		Drassicaceae
										1 2 2 1 0	20 40 60 80 100			Salix	Draba		
										4 3 2 1 0	20 40 00 00 100			Savifranaceae		_	

Averaç	e on the is	sland
Vegetation Ranks	Polle	n Abundance (%)
Bryophyta		
Sphagum		Sphagum
Carex		Cyperaceae
Viola		Violaceae
Salix		Salix
Angelica		
Conioselinum		Apiaceae
Empetrum		Ericaceae
Achillea		
Artemesia		Asteraceae
Poaceae sp.	i i	Poaceae
Equisetum		Equisetum
Cerastium	-	Carophyllaceae
Honckenya		Carophynaceae
Lupinus		Fabaceae
Lathyrus	-	
Pedicularis		Scrophulariaceae
Brassicaceae sp.		
Arabis		Proceionona
Cochlearia		Diassicaceae
Draba	-	
Aconitum		Ranuculaceae
Corydelus		
Luzula		
Polemonium		
Androsace		Primulaceae
Hippuris	-	
Polygunum		Polygonaceae
Oxyris		
Rubus		Rosaceae
Saxafraga		Saxifragaceae
Valeriana		Caprifoliaceae
		Lycopodium
		Alnus
		Betula
		Amaranthaceae
		Campanulaceae
		Plantaginiaceae
		Rubiaceae

Saxifragaceae

5 4 3 2 1 0 20 40 60 80 100

5 4 3 2 1 0 20 40 60 80 100

IDENTIFIED CODE		Composite Depth-	Sample	Total	Smilto
I AHI I AHI12 1D 1D 1 W 10	Age(cal yr)	1	1D 2 1	204	1568
	-30.3	1	2.2	294	1508
LAHI LAHI 2 1D 1D 1 W 27	-55.5	3	3-2	291	1450
LAHI LAHI 3 1B 1B 1 W 31	-10	13	3-3	205	1430
LAHLI AHII 3-1B-1B-1-W-35	125.6	13	3-5	302	1783
LAHLI AHII 3-1B-1B-1-W-39	100 0	21	3-6	300	1329
LAHI-LAHII3-IB-IB-I-W-43	274.4	21	3-7	300	1/62
LAHI LAHI 3 1B 1B 1 W 47	3/0 2	29	3.8	300	1857
LAHI LAHI 3 1B 1B 1 W 51	420	33	3.0	206	1231
LAHI LAHI 2 1D 1D 1 W 67	602.8	40	2 12	290	401
LAHI LAHI 13 1B 1B 1 W 83	095.8	43	6.1	242	1885
	924.2	81	6.2	242	1310
LAHI LAHI 13 ID 1B 1 W 7	1366.1	07	6.3	203.3	1319
LAHI LAHI 2 ID 10 1 W 22	1570.5	112	6.4	297	700
LAHI LAHI 2 1D 1P 1 W 20	1749.0	113	6.5	300	1071
LAIII-LAIIII3-ID-ID-I-W-55	1/40.9	129	4.1	200	756
LAHI-LAHIIS-ID-ID-I-W-55	1985.0	143	4-1	200	/ 30
	2383.0	101	4-2	204	030
LAHI-LAHIIS-IA-2L-1-W-57	2/05.7	1//	4-5	201	907
	2013.0	200	4-4	202	509
LAHI LAHI 2 1D 2D 1 W 27	2923.4	209	4-5	302	598
LAHI-LAHII3-ID-2B-I-W-2/	3062.3	225	4-6	302	969
LAHI-LAHII3-ID-2B-I-W-43	3209	241	4-/	302	923
LAHI-LAHII3-ID-2B-I-W-59	3363.8	257	4-8	301	6/4
LAHI-LAHII3-ID-2B-I-W-/5	3507.2	2/3	4-9	300	1261
LAHI LAHI 2 1D 4L 1 W 25	35/4./	289	4-10	302	093
LAHI-LAHII3-IB-4L-I-W-55	3008.2	305	4-11	307	814
LAHI-LAHII3-IB-4L-I-W-5I	3/93.4	321	4-12	300	843
LAHI-LAHII3-IB-4L-I-W-6/	3935.9	337	4-13	300	620
LAHI-LAHII3-IB-4L-I-W-83	4081	353	4-14	304	1000
LAHI-LAHII3-ID-3B-I-W-/4	4207.8	369	4-15	296	1008
LAHI-LAHII3-IB-5L-I-W-I3	4367.2	385	4-16	303	842
LAHI-LAHII3-ID-4B-I-W-I0	4505.3	401	I	297	501
LAHI-LAHII3-ID-4B-I-W-I8	4570.2	409	5-1	300	607
LAHI-LAHII3-ID-4B-1-W-26	4645.8	417	2	294	810
LAHI-LAHII3-ID-4B-I-W-34	4/23.9	425	5-2	300	549
LAHI-LAHII3-ID-4B-I-W-42	4/96.8	433	3	301	703
LAHI-LAHII3-ID-4B-I-W-50	4862.7	441	5-3	300	634
LAHI-LAHII3-ID-4B-I-W-58	4928.6	449	4	300	702
LAHI-LAHII3-1D-4B-1-W-66	5002.4	457	5-4	300	709
LAHI-LAHI13-1D-4B-1-W-74	5078	465	5	300	696
LAHI-LAHII3-ID-4B-1-W-82	5150.9	473	5-5	300	558
LAHI-LAHII3-1B-6L-1-W-20	5222.9	481	6	300	687
LAHI-LAHI13-1B-6L-1-W-24	5259.5	485	7-1	302	429
LAHI-LAHI13-1B-6L-1-W-28	5296.5	489	5-6	300	591
LAHI-LAHI13-1B-6L-1-W-32	5359.5	493	7-2	294	334
1D-5B-4-6	5395.1	495	7-6	298	670

Table S7.6 Pollen cou	nts in Lake Hill	sediments for all	pollen types,	St. Paul Island

LAHI-LAHI13-1B-6L-1-W-36	5431.3	497	7	300	500
1D-5B-8-10	5467.2	499	7-7	302	707
LAHI-LAHI13-1B-6L-1-W-40	5503.1	501	7-3	292	624
LAHI-LAHI13-1D-5B-1-W-12	5538.9	503	5-7	300	674
1B-6L-44-46	5574.5	505	7-8	303	813
LAHI-LAHI13-1D-5B-1-W-16	5610.2	507	7-4	305	419
1B-6L-48-50	5646	509	7-9	298	511
LAHI-LAHI13-1D-5B-1-W-20	5683.1	511	8	300	307
1B-6L-52-54	5721.8	513	7-10	303	407
1D-5B-24-26	5760.4	515	7-5	300	346
1B-6L-56-58	5798.5	517	7-11	293	585
LAHI-LAHI13-1D-5B-1-W-28	5837.1	519	5-8	296	726
1B-6L-60-62	5875.7	521	7-12	295	409
LAHI-LAHI13-1D-5B-1-W-36	5991.4	527	9	300	316
LAHI-LAHI13-1D-5B-1-W-44	6154	535	5-9	298	636
LAHI-LAHI13-1D-5B-1-W-52	6321.1	543	10	301	295
LAHI-LAHI13-1D-5B-1-W-60	6491.3	551	5-10	300	417
LAHI-LAHI13-1D-5B-1-W-68	6689.3	559	11	300	589
LAHI-LAHI13-1A-6L-1-W-49	6887.9	567	5-11	301	554
LAHI-LAHI13-1A-6L-1-W-57	7095.7	575	12	301	221
LAHI-LAHI13-1A-6L-1-W-65	7308.8	583	5-12	301	606
LAHI-LAHI13-1A-6L-1-W-73	7524.9	591	13	300	278
LAHI-LAHI13-1B-7L-1-W-46	7780	599	5-13	300	237
LAHI-LAHI13-1B-7L-1-W-54	8036.1	607	14	300	148
LAHI-LAHI13-1B-7L-1-W-62	8280.4	615	5-14	300	324
LAHI-LAHI13-1B-7L-1-W-70	8522.1	623	15	300	182
LAHI-LAHI13-1B-7L-1-W-78	8757	631	5-15	300	360
LAHI-LAHI13-1B-7L-1-W-86	8957.9	639	16	300	154
LAHI-LAHI13-1D-6B-1-W-5	9156.8	647	5-16	300	500
LAHI-LAHI13-1D-6B-1-W-13	9362.6	655	2-1	300	152
LAHI-LAHI13-1D-6B-1-W-29	9785.5	671	2-2	300	197
LAHI-LAHI13-1D-6B-1-W-45	10217	687	H-3	304	294
LAHI-LAHI13-1D-6B-1-W-61	10607.5	703	2-4	300	590
LAHI-LAHI13-1D-6B-1-W-77	10989.4	719	2-5	300.5	568
LAHI-LAHI13-1B-8L-1-W-83	11316.8	734	H-6	291	2741
LAHI-LAHI13-1D-7L-1-W-12	11588.5	750	2-7	301	542
LAHI-LAHI13-1D-7L-1-W-28	11720.6	766	H-8	300	3822
LAHI-LAHI13-1D-7L-1-W-44	11853.6	782	H-9	294	4843
LAHI-LAHI13-1B-9L-1-W-30	11981	798	H-10	232	1547
LAHI-LAHI13-1B-9L-1-W-46	12098.3	814	H-11	295	1714
LAHI-LAHI13-1B-9L-1-W-62	12195.5	830	2-12	285	1635
LAHI-LAHI13-1B-9L-1-W-78	12305	846	H-13	300	3464
LAHI-LAHI13-1D-8L-1-W-39	12406.6	861	H-14	249	2871
LAHI-LAHI13-1D-8L-1-W-55	12512	877	H-15	266	1879
LAHI-LAHI13-1D-8L-1-W-71	12614.6	893	H-16	253	4536
LAHI-LAHI13-1A-9L-1-W-74	12825.4	925	10-1	245	5712
LAHI-LAHI13-1D-9L-1-W-44	13034.7	957	10-2	238	8642
LAHI-LAHI13-1A-10L-1-W-29	13249.4	989	10-3	258	2947
LAHI-LAHI13-1A-10L-1-W-36	13295.6	996	11-1	288	1992
LAHI-LAHI13-1A-10L-1-W-40	13322.3	1000	11-2	307	319
LAHI-LAHI13-1A-10L-1-W-53	13408	1013	10-4	308	326
LAHI-LAHI13-1D-10L-1-W-19	13462.3	1021	10-5	300	234
LAHI-LAHI13-1D-10L-1-W-27	13517	1029	10-6	301	253
LAHI-LAHI13-1D-10L-1-W-35	13572.2	1037	10-7	300	372
LAHI-LAHI13-1D-10L-1-W-43	13628.4	1045	10-8	297	692
LAHI-LAHI13-1D-10L-1-W-51	13687.2	1053	10-9	300	2221

LAHI-LAHI13-1A-11L-1-W-36	13750.5	1061	10-10	300	3536
LAHI-LAHI13-1A-11L-1-W-44	13812.9	1069	10-11	300	571
LAHI-LAHI13-1A-11L-1-W-52	13882.2	1077	10-12	309	1519
LAHI-LAHI13-1A-11L-1-W-60	13951.1	1085	10-13	310	2223
LAHI-LAHI13-1A-11L-1-W-68	14027.6	1093	10-14	299	804
LAHI-LAHI13-1A-11L-1-W-76	14118.7	1101	10-15	307	1221
LAHI-LAHI13-1A-11L-1-W-84	14210.5	1109	10-16	300	386
LAHI-LAHI13-1A-11L-1-W-92	14306.6	1117	9-1	300	1208
LAHI-LAHI13-1D-11L-1-W-33	14402.9	1125	9-2	316	1912
LAHI-LAHI13-1D-11L-1-W-41	14499.4	1133	9-3	311	2244
LAHI-LAHI13-1D-11L-1-W-49	14596.9	1141	9-4	312	1354
LAHI-LAHI13-1A-12L-1-W-27	14693.8	1149	9-5	311	934
LAHI-LAHI13-1A-12L-1-W-35	14789.2	1157	9-6	302	750
LAHI-LAHI13-1A-12L-1-W-43	14883.6	1165	9-7	306	1139
LAHI-LAHI13-1A-12L-1-W-51	15002.1	1173	9-8	300	1941
LAHI-LAHI13-1A-13L-1-W-6	15321.8	1189	9-9	307	1507
LAHI-LAHI13-1A-13L-1-W-14	15502	1197	9-10	310	675
LAHI-LAHI13-1A-13L-1-W-22	15688.2	1205	9-11	300	1573
LAHI-LAHI13-1A-13L-1-W-30	15912.8	1213	8-1	297	1489
LAHI-LAHI13-1A-13L-1-W-38	16205.8	1221	8-2	291	298
LAHI-LAHI13-1A-13L-1-W-54	16700.3	1237	8-3	293	472
LAHI-LAHI13-1A-13L-1-W-62	16891.9	1245	8-4	300	326
LAHI-LAHI13-1A-14L-1-W-5	17052.6	1253	8-5	300	1702
LAHI-LAHI13-1A-14L-1-W-13	17166.9	1261	8-6	302	546
LAHI-LAHI13-1A-14L-1-W-21	17282.4	1269	8-7	292	1328
LAHI-LAHI13-1A-14L-1-W-29	17334.9	1277	8-8	300	412
LAHI-LAHI13-1A-14L-1-W-37	17378	1285	8-9	300	767
LAHI-LAHI13-1A-14L-1-W-45	17421	1293	8-10	300	899
LAHI-LAHI13-1A-14L-1-W-53	17463.1	1301	8-11	301	912
LAHI-LAHI13-1A-14L-1-W-61	17505.7	1309	8-12	300	680
LAHI-LAHI13-1A-14L-1-W-69	17594.5	1317	8-13	300	1121
LAHI-LAHI13-1A-14L-1-W-77	17689.2	1325	8-14	300	481
LAHI-LAHI13-1A-14L-1-W-85	17877.6	1333	8-15	297	742
LAHI-LAHI13-1A-14L-1-W-93	18225.6	1341	8-16	300	1045

Co	prophilous spor	es		Shrub		Tree				
Podospora	Sordaria	Sporormiella	Populus	Salix	Ericaceae	Alnus	Betula	Picea	Pinus	
		12	3	32	13	13	7			
		10		36	14	8	5			
		6		24	17	10	4			
				37	26	11	2			
			3	43	11	19	6			
			2	21	26	10	10			
				29	24	14	7			
			1	36	20	12	3			
				32	14	9	8			
	2			101	8	15	8			
		1		70		11	10			
	1			40	6	15	9	0.5		
				17	4	29	3	2		
				54	11	14	7	2		
				50	4	17	4			
			2	13	13	12	19	1	1	
			1	24	4	5	8	1		
				18	5	22	5		1	
				21	23	39	8	1		

				34	8	16	3		1
				20	0	27	3		1
				29	3	27	4		1
				23	/	36	/		1
				48	5	24	14		
				23	18	29	5	1	
				19	4	16	5	1	
				37	7	19	4		
				41	7	28	1		
				47	6	7	16		
				44	19	31	16		1
				58	18	25	10		
				64	4	59	4		
				37	9	32	17		
				43	9	28	8		
				36	13	28	12		
			1	28	15	31	8		
	1			42	18	23	12		1
	1			41	8	23	10	1	1
				22	23	24	17	1	
				41	10	23	1/		
				41	10	28	9		
				45	13	19	14	2	
				30	0	28	15	2	
				46	9	24	6		
1				33	8	28	10		
				23	10	43	19	1	
				32	9	40	11		
				42	13	38	21		
				54	10	28	14		
		1		29	10	44	14		
				27	20	38	17		
	3			48	9	12	5		1
	1	5	1	49		9	13		
1		2		53	3	18	4		
				34		13	2		
				64	9	28	19		
	2			85	7	19	10	1	
	6			49	13	10	5		
	1			47	13	10	11		
1	2	5		57	11	26	17		
	1	1		22	7	16	10		
	5	4		50	8	29	11		
	4	3		71	8	34	15		
		5		71	9	15	7		
	2	2		78	16	22	2		
	2	5		70	10	10	13		
1	1	٥		76	12	19	10		
1	2	9		70	24	15	13		
2	5	0		10	24	10	0		
3	0	11		63	12	12	20		
	/	3		50	13	10	20		
2	8	5		47	8	12	25		
2	6	4		37	8	17	24		
3	4	6		48	20	6	7		
2	5	7		25	8	20	11		
5	4	14		46	10	10	10		
	7	6		27	14	17	13		
2	1	4		51	15	21	11		

1	8	9		37	3	10	17		
	4	8		29	20	9	16		
2	6	10	4	45	15	13	4		
	8	9	1	53	23	10	12		1
2	3	8		36	7	15	9	2.5	1
	3	8		13	2	2.0			
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		10	6	30	5	13	5	14	3
	2	10	0	50	17	11	20	14	9
	3	3		38	17	11	20	10	0
	4	0		12	4	4	5	10	5
		11		52	11	21	/	12	/
	2	9		44	5	20	23	11	9
		9		32	7	18	22	14	8
	6	11		43	5	13	19	8	9
		9		39	6	24	16	13	7
1	8	15		66	4	21	9	13	8
3	5	8		68	1	13	7	10	4
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3	8	10	1	77	7	22	14	18	8
5	3	9		95	8	14	8	2	3
	6	12		89	4	1	7		2
	2	9		32	5	7	8	2	
2	6	11		29	2	1	12		
	1	9		29	1	6	16	7	
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1	2	8		82	1		7		
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		1		54		3	1	2	
4	4	4		108	1	1	3		
		4		84	1	5	1		
	4	3		78		4	2		
3	2	3		65		2	4	1	
	3	6		44		6	1	2	
3	1	2		62		12	2		
		4		89		1	3	3	
1	1	4		53	8	11	13		
1	1	2	1	37		9	2		
2	3	3		26	2	1	9		
2	2	4		38	1	3	12		
	2	3		47	2	7	6		
1	2	2		62	3	3	7		
1	1	1		82	1	9	13		
	2	4		43		12	2		
	1	2		69		21	6		
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	2	2	54	1	12	8	1	
		4	8		18	9		

Herb							
Typha	Artemisa	Compositae undiff	Cyperaceae	Gramineae	Umbelliferae	Stellaria	Polemonium
	23	9	60	67	10	16	4
	30	8	50	70	13	13	3
	21	10	76	77	20	18	6
	27	6	45	67	14	12	2
	31	12	63	60	14	12	4
	23	9	54	59	13	20	3
	28	8	49	68	19	13	2
	35	14	52	70	11	12	6
	22	9	43	83	16	18	3
	19	5	16	24	73	1	
	16	11	20	28	55	3	1
	25	12	28	33	84		
	49	3	31	74	53	4	1
	38	5	36	50	57	3	1
	56	6	34	46	54	1	
	24	7	27	96	27		3
	42	10	49	52	42		4
	49	4	43	58	49	1	6
	42	4	62	37	43		3
	32	7	37	70	53		2
	56	4	44	55	52	1	3
	69	6	41	50	43		3
	56	4	27	45	56		2
	49	4	52	48	38		4
	78	2	64	40	40		1
	74		26	76	37		4
	61		31	37	50		2
	52	10	41	40	53		
	43	1	37	38	43		3
	62	2	37	41	25		2
	69	4	34	37	22		1
	71	11	43	27	27	5	4
	80	4	40	45	28	2	
	86	8	22	39	26	4	3
	66	1	43	33	38	1	3
	92	8	22	30	34	2	1
	71	6	44	16	45	2	
	83	14	22	26	32	1	3
	56	8	50	40	28	3	1
	87	10	13	46	35		3
	92	4	35	40	30	1	
	94	9	24	39	29	1	
	50	4	43	70	37	3	2
	80	6	23	19	46	2	1
	62	1	50	38	35		2
	86	6	26	27	23	2	
	65	10	16	37	48		

79	4	26	40	37	2	1
86	2	21	41	25	3	1
49	9	36	34	63	8	1
61	10	25	50	68		3
43	10	30	64	71	1	1
45	7	49	62	66	1	2
67	9	18	33	35		
28	2	35	46	54		
44	8	37	50	68	2	
29	22	47	54	47	1	1
49	3	35	30	35	3	1
53	20	34	27	85	3	
58	8	20	49	48		
48	10	23	15	53	2	
85	7	17	31	38		1
74	9	24	33	30	1	
83	9	13	25	29		1
41	6	34	42	34	1	
 81	5	13	21	27	1	
63	8	19	25	58	1	
 64	12	17	36	64		
57	15	20	25	83	1	
 45	7	11	50	95		
 38	14	13	27	120	4	
 38	11	22	72	67		
52	4	27	44	88	1	
33	11	29	58	77	1	
62	15	16	29	70	1	
23	5	36	57	78	3	
30	7	32	66	54	4	
23	9	49	55	69		1
51	5	33	76	22	1	1
11	6	53	121	10	2	1
12		54	142	13	2	1
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3	1	103	36	11	28	3
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16	5	31	71	8	5	1
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14	1	56	32	7	4	3
11	1	67	40	12	7	2
25		42	31	6	4	4
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13	2	21	32	2	2	1
3	4	10	51	22	5	
16	7	41	79	12		
20	5	67	66	13	1	
64	2	43	73	8	2	2
38	1	135	50	10	2	4
9	2	92	73	9	1	1
9	5	76	98	10	2	
12	7	53	77	11		1
13	2	56	135	4	1	

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	27	2	37	160			
	17	2	44	117	2		
	12		49	122			2
	9		63	123		2	
	19	1	56	140			
	10		52	163		3	
	8		45	148	8		
	8	3	53	89		2	
	11		10	118		3	3
	5	1	19	125	14	12	2
	9	2	25	103		3	1
	19		32	129	2	2	
	31		72	79	3	2	2
	7		56	92	14	5	
	16		27	66	17	3	2
	6	2	40	118	12	3	2
	19	1	22	82	13	2	
	6	1	38	109	8	3	1
	8		53	122	9	5	1
	4		58	130	8	2	1
1	9	2	38	141	10	4	
1	13		25	128	5	3	1
1	11		18	138	11	2	2
	20		58	146	8	3	

Chenopodiineae	Urtica	Ambrosia	Geranium	Epilobium	Campanula	Cruciferae	Saxifraga	Caltha
2						13	4	
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Ranuculus	Rubus	Armeria	Valeriana	Veronica	Papaver	Claytonia	Montia	Galium
5	4				6			
6	3				8			
1	1				1			
7	6				5			
3					4			
15	8				6			
8	2				3			
4	1				4			
7	2				7			
2	2				7		1	1
3	1				4			
4	2			1	6			
2	2			2			1	
1	1		1		5		2	
3	1		2	1	9		1	
13	5		1		2	1		
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4	4				2			
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3		1				2	2	
7	3				2	2	1	
10	7					1		
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2	4				1			
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1	1	1		1			1	
6	1							
3	2		1	1				
8	7							
5	3						1	
1							2	
1	2							
1								
3	3	1	1		1		2	2
1	5		1		1		2	
5	2		1				4	
	2		1				2	
1	10	1					2	
9	10	1						
9	4	1				1	2	2
3	2		1		2			
2	2	1					1	
12	4						4	
12	13	1						
4	4	1					2	
4								
4	5	3	5	8		2		
		1						
14	13	2	1	1		2	1	
13	2	1	2	2			1	
6	2	1	1	1			-	
Q	1	2	1	1			1	
10	2	1		1			1	
10		1	1	2			1	
13	4	1	2	3			_	
9	12	1	1				2	
12	9		1	2			2	
10	8	1	2	1				
9	14		2	1				
11	1							
6	4		1	1				
7	16	1	2	4	2	2		
5	4			1				
5	28		2	1	2			
1	7	1	2	1	2			
14	32		1	· ·	- 1	1		
13	16		1		1	1		
1.5	20		1		1			
14	20							
12	1/							
4	16							
15	22							

7	11				
19	22				
7	37				
2	24				
16	38				
	19				
	14				
2	18				
	23				
1	9				
9	17				
13	9				
1	44				
7	15				
6	15				
14	16				
18	12				
7	14				
13	16				
3	25				
1	35				
2	42				
19	39				
14	16				
3	8				
14	8				
20	21				
8	18				
5	22				

Primula	Polygonaceae undiff	Potentilla	Gentiana	Fabaceae	Mertensia	
	3			0		
	5			0		
				0		
	5			0		
				0		
	3			0		
	2			0		
				0		
				0		
	5			0		
	2			0		
				1		
	3			0		
				0	1	
	1			0		
3				0		
	2			0		
	1			0		
				0		
				0		
				0		
		1		0		
				0	1	
		1		0		

				2		
			1	0		
			1	0		
		1		0		
			1	0		
		1		1		
				0		
	1			0		
				0		
	1			0		
1	2	n		1	1	
1	2	2		1	1	1
1		2		0		1
		1		0	2	
	2			0		
	1			0		
				0		
				0		
	2	1		0		
	- 1			0		
1	1			1		
1	2	1		1		
1	2	1		1		
	1	1		0		
	1			0		
	1	1		1		
	1			0		
3				0		
				2		
		1		1		
	1	1		1		
	2	1		1		
	Ζ			0		
			1	1		
	1			0		
	1		1	1		
	1	1		3		
	3		2	1		
		1		0		1
	1	1		1		
	1			0		
	1			0		
	1			0		
	2			Û		
	1			0		
	2			0		1
	1			0		
	2	1		0		
	1			1		
	1			0		
				1		
	5	1		1	1	1
	5	1	2	0	1	1
	2		2	0		
	3			0		3
		1		2	3	
		1		0		
		2		2		1
		1		2	1	
1				0		
		1		0		
	1	1		0		

	4			0		
	4			0		
	1	1		0		
	2	1		1		
1	- 1			1	-	
1	1			1		
				0		
1	1			0		
	2	1		1		
				0		
				0		
	2			1		
	3	1		1		
	2			1		
	1			0		
	1	1		0		
		1		1		
1	1			1		
	5					
1				0		
1				0		
				0		
	2			0		
				2		
				1	1	
				1	1	
				0		
	1			0		
	1			0		
				0		
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	2			0		
	1			0		
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	2			0		
				0		
				0		
				0		
				Û		
				0		
	3			0		
	3			0		
	4			0		
				0		
	2			0		
	4			0		
	5			0		
	2			0		
	- 1			0		
	1	L		0		
	3			0		
	2			0		
	4			0		
	1			0		
	1			0		
				0		
	1			0		
	7			0		
				0		

Spore							
Equisetum	Lycopodium	Polypodium	Sphagnum				
130	22	3	* * *				
145	40	2					
132	32	1					
160	38	3					
153	21						
110	25	1					
180	32	1					
230	12	3					
150	18						
30	20	10	2				
21	11	8					
1	3	3					
5	6	8	1				
20	13	5					
37	10	5					
10	7	2	3				
10	19	1	4				
0	23	2	7				
30	23	2	10				
13	11	1	10				
10	0	7	2				
19	20	2	2				
25	29	2	3				
10	13	3	4				
12	22	3	4				
4	16	3	I				
3		6					
12	/	2	I				
15	2		6				
4	13	1	1				
7	15		2				
12			1				
20	16	8	4				
2	3	1	1				
16	10	3	6				
12	18	2	2				
21	26	10	10				
17	2	4	6				
14	12	5	5				
5	6	3	2				
3	25	1	7				
6	6	1	1				
15	15	3	5				
28	1						
3	6	2	5				
9							
25	3	7					
5	19	2	4				
21	17						
40	7		1				
9	15	1	2				
19	11						
16	10		2				
21	13	6	3				

9	17	3	8
6	17	2	
33	10	3	7
6	15	10	2
2	27	1	1
	1	4	
8	19	4	2
2	28	2	1
3	35		2
1	13	1	1
15	32	5	3
	18	2	4
2	72	2	8
	25	6	1
3	8	3	
	8	3	
4	10	2	1
	16		1
1	7	6	5
1	10	4	5
	9	5	7
	<u>у</u> Д	<u> </u>	3
2	6	2	3
2	9	4	5
3	12	2	5
2	92	16	5
2	<u> </u>	10	9
	13	20	10
1	6	20	0
1	20	2	30
1	10	9	50
	10	,	
	4	10	2
	17	10	17
	22	7	17
	23	12	9
	34	12	8
	17	12	9
	1/	13	/
1	4	5	12
1	9	2	4
	8	2	10
2	13	17	5
2	2/	1/	0
	20	11	/
3	1/	6	/
3	13	1 4	12
12	20	14	13
14	16	8	20
17	10	6	5
17	16	10	19
62	8	13	4
38	4	12	1
66	3	2	1
97		1	17
54	1		
	1		0

46			2
2	1		25
3			
87	2	3	
48	2		
2	6		2
		1	4
	1		
12		2	4
22			3
1	14	1	1
	14	1	11
9		1	7
	4	2	2
3			2
	7	1	4
	6	2	13
	1		4
	5	3	14
3			
	3	2	6
			10
	2	1	3
			7
		1	
			2

Appendix S8 Scatter plot of % of woody taxa and total pollen accumulation rate before and after isolation of St. Paul Island.

Figure S8.4 Scatter plot of percentages of several pollen types suspected to be sourced by long-distance transport (*Picea, Alnus* and *Betula*) and total pollen accumulation rate before (left) and after (right) island isolation at ~13,500 yr BP. Each triangle represents one sample. Each line represents locally-weighted polynomial regression by a lowess smoother for each taxon (Blue: *Alnus*. Red:*Betula*. Green: *Picea*). Pollen percentages are calculated relative to the total number of pollen and spore grains. Prior to island isolation, there is no relationship between the relative percentages of these pollen types and total pollen accumulation rates. After island isolation, these taxa achieve high relative percentages, but only when total pollen accumulation rates are low. This pattern suggests that these pollen types, which are associated with taxa known to have high pollen productivity and dispersibility, are sourced from continental populations and are only present in large relative abundances when local pollen productivity is low.



After Island Formation



Figure S9.5 Ancient DNA damage profiles of DNA inferred to have originated from *Picea* (panels I-XII), *Betula* (panels XIII-XVIII), and *Salix* (XIX-XXI) from the Lake Hill core samples. Panels I-III: samples, aligned to Picea abies; IV-VI: controls, aligned to Picea abies; VII-IX: samples, aligned to Picea glauca 1; X-XII: samples, aligned to *Picea glauca* 2; XIII-XV: samples, aligned to dwarf *Betula*; XVI-XVIII: controls, aligned to dwarf *Betula*; XIX-XXI: samples, aligned to shrub *Salix*. Every third panel beginning with panel I shows DNA fragment length distributions. Every third panel beginning with panel II shows the proportions of deaminated bases at the 5' and 3' ends of the DNA molecules. Ancient DNA is characterized by elevated rates of cytosine to thymine damage at the 5' end (red line) and a corresponding elevation in the rate of guanine to adenine damage at the 3' end (blue line). Every third panel beginning with panel III shows the frequency of the four bases (adenine (A), guanine (G), cytosine (C) and thymine (T)) around the 5' and 3' ends of the DNA molecules. In ancient DNA, an elevation of G and A is often observed immediately upstream of the 5' end with a corresponding increase in C and T immediately downstream of the 3' end of DNA molecules. Panels I-III, XIII-XV, and XIX-XXI are also shown in Fig. 1.6.



## 

Table S10.6 Input of Niche Mapper, including (a) alomvars, covering woolly mammoth allometry and morphological variables; (b) endo, covering woolly mammoth biophysical traits; (c) micro, covering St. Paul Island geological traits; and (d) fasting test, covering protein, fat and energy traits that can be used to for woolly mammoth fast in the winter.

Variable			Value
Absolute measurement (cm)			300.0
		vertical (distal)	2.1
Hand	Diameter	horizontal (proximal)	1.1
пеац		length	1.2
	Geometry		ellipsoidal cylinder
	Diameter	vertical (distal)	1.7
Nack		horizontal (proximal)	1.2
INCCK		length	0.2
	Geometry		cylinder
		vertical (distal)	3.0
Torro	Diameter	horizontal (proximal)	2.6
TOISO		length	4.7
	Geometry		ellipsoidal cylinder
		vertical (distal)	0.7
Eront loga	Diameter	horizontal (proximal)	0.7
FIOID legs		length	3.3
	Geometry		cylinder
Back legs	Diameter	vertical (distal)	0.9
		horizontal (proximal)	0.9
		length	2.3
	Geometry		cylinder
Proboscis	Diameter	vertical (distal)	0.8
		horizontal (proximal)	0.3
		length	3.8
	Geometry		truncated cone
Fur depth (mm)		dorsal	40.0
		ventral	40.0
Density (kg/m3)			1000.0

Table S10.6a alomvars
-----------------------

## Table 10.6b endo

Variable		Value	<b>Reference&amp;Comments</b>			
Specific heat (J/kgC)		3073				
Max body mass	(kg)			6000	McNeil et al., 2005	
Fat mass as % b	ody mass %			10	Pond, 1978	
Is fat subcutane	ous?			Yes		
Geometric appr	oximation			cylinder		
% ventral area contracting substrate		0.0				
Animal density (kg/m3)		1000				
Metabolic rate (W)		2311	Kleiber, 1947. The basic metabolic rate (BMR) is calculated as: BMR = 70*Weight^0.75 = 70*6000kg^0.75 = 47721kcal/day = 2311W			
Assume activity	heat contributes to the	hermoregulation	n?	Yes		
dec % variance	to trigger thermoregu	lation		0.05		
dec % energy re	leased from activity t	that can affect c	ore temperature	0.80	Smith et al., 2005	
	Hair diamatar (um)		dorsal	112.0	specimen in geology museum in University of Wisconsin Madison	
		·	ventral	112.0	specificit in geology museum in oniversity of wisconsin-ividuison	
		Leas	dorsal	250.0		
		Legs	ventral	250.0		
		Head&Nec	dorsal	200.0		
	Hair length (mm)	k	ventral	200.0	Kubiak 1082	
	man length (mm)	Torso	dorsal	500.0	Kublak, 1962	
		10150	ventral	500.0		
		Tail	dorsal	400.0		
			ventral	400.0		
Fur properties		Spring/Fall	dorsal	40.0	specimen in geology museum in University of Wisconsin-Madison	
			ventral	40.0	specifien in geology museum in oniversity of wisconsin mudison	
	Fur denth (mm)	Winter	dorsal	50.0		
	rui depui (min)		ventral	50.0	Hart 1956	
		Summer	dorsal	30.0	Huit, 1950	
			ventral	30.0		
	Hair density (1/cm2)		dorsal	2-3, take		
				the middle	Kubiak, 1982	
			ventral	2-3, take		
				the middle		
				2.5		
Fur reflectivity dorsal		0.30	specimen in geology museum in University of Wisconsin-Madison			
		ventral	0.29			
---	--------------------	--	---	--------------------------		
Core regular ten	nperature (°C)		36.2	Weissenbock et al., 2010		
Core max tempe	erature (°C)		39.0			
Core min tempe	rature (°C)		35.0	Clarke & Rollery, 2007		
Texpair-Tair			3	Benedict, 1936		
Sweat OK?			No			
Piloerect OK?			No			
		start	2.0			
Flesh thermal co	onductivity (W/mC)	minimum	0.4	Chao, 1969.		
		maximum	2.8			
O2 extraction ef	ficiency max (%)		20.0			
O2 extraction ef	ficiency min (%)		16.0			
Gut passage tim	e (days)		0.5	Martin & Hopkins, 1982		
Fecal water (dec %)		0.60-0.83, take the median 0.71	Benedict, 1936			
Urea in urine (dec %)		0.16	Kiso et al., 2013			
Digestive efficie	ency		0.44	Benedict, 1936		
Activity multiplier		2.3	Activity multiplier = field metabolic rate (FMR) / basic metabolic rate (BMR). FMR is calculated as: FMR = 4.82*Weight^0.734 = 455208 kJ/day = 5269W (Nagy, 2005). Then the active metabolic rate per day is FMR*active hours + BMR*inactive hours, in which the active hours on St. Paul Island is 11 hours, 13 hours, 15 hours, 19 hours, 24 hours, 24 hours, 21 hours, 17 hours, 15 hours, 13 hours, and 11 hours in January-December separately.			
Reproduction m	ultiplier		0.00			
		% protein	0.15			
Food properties     % fat       % carbonhydrate	% fat	0.05				
	0.50					
		% dry matter	0.50			
Dive to cool		No				
Ground shade se	eeking		No	No forest on the island		
Seek wind prote	ection		No	No forest on the island		
Night shade (cold protection)		No	No forest on the island			

Table S10.6c micro
--------------------

Variable	Value	<b>Reference &amp; Comment</b>
Roughness Height (m)	0.0001	
Soil thermal conductivity (W/mC)	1.02	Hinzman et al., 1991
Substrate reflectivity	0.2	Weller & Holmgren, 1974
Substrate density (kg/m3)	3000	Properties of baselt Mungoven 2005
Substrate specific heat (J/kgK)	1400	riopennes of basan. Mungoven, 2005
Substrate longIR emission (dec%)	0.9	
Integrator error	2	
		Average height of woolly mammoth. The maximum
		value in Niche Mapper is 200cm, as there is little
		change in temperature gradient when height is higher
Animal average height (cm)	200	than 200cm.
Elevation (m)	37	Average elevation of St. Paul Island. Mungoven, 2005

## Table S10.6d fasting test

Variable	Value	Reference & Comment	
% energy from fat	0.10	% energy from fat for polar bears. Mathewson & Porter, 2013	
% protein in lean body mass	0.18	% protein for mammals. Wang et al., 1999	
		17% of body mass for organs, Clauss et al., 2005; 16.5% of body mass for skeleton,	
Structural mass (kg)	2010	Benedict, 1936	
Fat energy density (kJ/g)	39.7	Brouwer 1065	
Protein energy density (kJ/g)	23.8	DIOUWCI, 1703	

Appendix S11 Summary of Niche Mapper outputs for woolly mammoth on St. Paul Island

Figure S11.6 Niche Mapper outputs of St. Paul woolly mammoth since LGM. The legends are as Fig. 2.4 in main text, but this figure also includes simulated results from the climate scenario, in which temperature is reconstructed from Alkenone in Praetorius *et al.* (2015) work and all the other climate variables are from TraCE-21ka simulation.



## Appendix S12 LPJ-GUESS inputs for St. Paul simulations

Table S12.7 LPJ-GUESS input, including: (a) St. Paul vegetation plant functional types

(PFTs) parameters and (b) orbital parameters.

		Low Shrub	Graminoid&Fo	Prostrate Shrub	
Variable	Variable Meaning	Summergreen	rb Tundra	Tundra	Reference&Comment
D (F)	Fraction of roots in the	0.0	0.0	0.0	
Rootdist	upper soil layer	0.8	0.9	0.9	
Leaf:root	Man lasfin at Canada artis	1	0.2	0.2	
max	Max leal:root C mass fallo	I	0.2	0.2	
a min	(mm/g)	0.5	0.5	0.5	
g mm	(mm/s)	0.5	0.5	0.5	
Phenology	-	summergreen	-	-	
Leaflong	Longevity of leaves (year)	1	1	1	
Turnover					
leaf	Leaf turnover rate (/year)	1	1	0.6	
Turnover			ô. 7	0.5	
root	Root turnover rate (/year)	l	0.5	0.5	
Fireresist	Fire resistance (0-1)	0.12	0.5	0.5	Wolf et al., 2007
	min forest floor				
	photosynthetically active				
PAR ff,	radiation establishment	1000	1050	1250	
min	(kJ/m2/day)	1000	1250	1250	
Intc	Interception coefficent	0.02	0.01	0.01	
	crown area (m2) * stem				
k allom1	diameter (m)^(-1.6)	10	-	-	
	stem height (m) * stem				
k allom2	diameter (m) $(-2/3)$	4	-	-	
Crownarea	Maximum individual				
max	crown area (m2)	l	-	-	
	Tree leaf to sapwood area	125			
K LA:SA	ratio (m2/m2)	125	-	-	
cla	(m2/kgC)	24.25			Chan at al. 2000
510	(iii2/kgC)	27.23			Cheff et al., 2007
Wooddens	wood density (kgC/m3)	250	-	-	
Turnover	sapwood turnover rate				
sap	(/year)	0.01	-	-	
Growth-eff	Growth efficiency				
min	threshold (kgC/m2/year)	0.03	-	-	
<b>D</b> (	Max establishment rate	0.75			
Est max	(saplings/m2/year)	0.75	-	-	
A 1 1	Fulton recruitment snape	2			
Alphar	Moon non atraas longovity	3	-	-	W 16 ( 1 2007
Longevity	(vears)	25	_	_	Wolf et al., 2007
Longevity	growing degree days on 5	25			
	°C hase to attain full leaf				
GDD5ramp	cover	100	_	-	
	Photosynthesis: min	100			
PS-T min	temperature (°C)	-4	-4	-4	
	Photosynthesis: optimal				
PS-T opt	temperature (°C)	10-25	10-20	10-20	
	Photosynthesis: max				
PS-T max	temperature (°C)	38	38	38	

	Table S12.7	a St. Pau	l vegetation	PFTs	parameters
--	-------------	-----------	--------------	------	------------

T c, min -	Min. temperature of				
surv	coldest month for survival	-32.5	-	-	
	Min. temperature of				
T c, min -	coldest month for				
est	establishment	-32.5	-	-	
	Max. temperature of				
T c, max -	coldest month for				
est	establishment	-	-	-	
	Minimum growing degree				
GDD 5,	days on 5 °C base for				
min-est	establishment	100	-	-	

Table S12.7b Orbital parameters in LPJ-GUESS
--

Age (yr BP)	eccentricity (degrees)	Obliquity (degrees)	varpi (degrees)
22000	0.01879	22.8	277.9
21000	0.01899	22.9	294.4
20000	0.01917	23.1	310.9
19000	0.01933	23.3	327.3
18000	0.01945	23.4	343.8
17000	0.01955	23.6	0.2
16000	0.01962	23.7	16.6
15000	0.01966	23.9	32.9
14000	0.01968	24	49.3
13000	0.01966	24.1	65.7
12000	0.01961	24.2	82
11000	0.01953	24.2	98.4
10000	0.01942	24.2	114.8
9000	0.01928	24.2	131.3
8000	0.01911	24.2	147.7
7000	0.01891	24.2	164.3
6000	0.01868	24.1	180.9
5000	0.01842	24	197.5
4000	0.01814	23.9	214.3
3000	0.01782	23.8	231.1
2000	0.01748	23.7	248
1000	0.01712	23.6	265
0	0.01672	23.4	282

Appendix S13 Niche Mapper inputs for the North American mainland experiments (Chapter 3).

Table S13.8 Niche Mapper input of woolly mammoth, including (a) micro, covering geological traits in North America and (b) sensitivity test, covering tested geological traits and values.

Variable	Value	Reference & Comment
Roughness Height (m)	0.0001	
Soil thermal conductivity (W/mC)	1.80	http://www.engineeringtoolbox.com/
Substrate reflectivity	0.25	http://www.engineeringtoolbox.com/
Substrate density (kg/m3)	2650	http://www.engineeringtoolbox.com/
Substrate specific heat (J/kgK)	870	http://www.engineeringtoolbox.com/
Substrate longIR emission (dec%)	0.9	
Integrator error	2	
		Average height of woolly mammoth. The maximum value in Niche Mapper is 200cm, as there is little change in temperature gradient when height is
Animal average height (cm)	200	higher than 200cm.

Table	S13.	.8a	micro

## Table S13.8b sensitivity test

Variable	Soil thermal conductivity (W/mC)	Substrate specific heat (J/kgK)	Substrate density (kg/m3)	Substrate reflectivity
Tested Values	0.1	1	1	0.01
	1	1000	1000	0.1
	2	2000	2000	0.2
	3	3000	3000	0.3
	4		4000	0.4
	5			0.5
	6			0.6
	7			0.7
				0.8
				0.9
				0.99

Appendix S14 LPJ-GUESS inputs for the North American mainland vegetation simulations (Chapter 3).

Table S14.9 LPJ-GUESS inputs for vegetation simulation in North America, including (a) parameters of PFTs in the high latitudes, (b) drought tolerance of all the PFTs, and (c) CO<sub>2</sub> concentration.

Variable	Variable Meaning	High Shrub Evergreen	High Shrub Summergreen	Low Shrub Evergreen	Low Shrub Summergreen	Graminoid&For b Tundra	Prostrate Dwarf Shrub Tundra	Cushion Forb, Lichen and Moss Tundra
D	Fraction of roots in the upper soil	0.5	0.5					0.0
Rootdist	layer	0./	0./	0.8	0.8	0.9	0.9	0.9
Leaf:root max	Max leaf:root C mass ratio	1	1	1	1	0.2	0.2	0.2
g min	Min. canopy conductance (mm/s)	0.3	0.3	0.3	0.5	0.5	0.5	0.5
Lifeform	-	shrub	shrub	shrub	shrub	grass	grass	grass
Phenology	-	evergreen	summergreen	evergreen	summergreen	any	any	any
Leaflong	Longevity of leaves (year)	2	1	2	1	1	1	1
Turnover leaf	Leaf turnover rate (/year)	0.5	1	0.5	1	1	0.6	0.6
Turnover root	Root turnover rate (/year)	0.5	1	0.5	1	0.5	0.5	0.5
PAR ff, min	min forest floor photosynthetically active radiation establishment (kJ/m2/day)	1000	2000	1000	1000	1250	1250	1250
Intc	Interception coefficent	0.06	0.02	0.06	0.02	0.01	0.01	0.01
k allom1	crown area (m2) * stem diameter $(m)^{-1.6}$	28	30	10	10	-	-	-
k allom2	stem height (m) * stem diameter $(m)^{(-2/3)}$	6	6	4	4	-	-	-
Crownarea max	Maximum individual crown area (m2)	4	4	1	1	-	-	-

Table S14.9a parameters of PFTs in the high latitudes

	Tree leaf to sapwood area ratio							
k LA:SA	(m2/m2)	500	500	125	125	-	-	-
Turnover sap	sapwood turnover rate (/year)	0.015	0.015	0.01	0.01	-	-	-
Growth-eff	Growth efficiency threshold							
min	(kgC/m2/year)	0.05	0.05	0.03	0.03	-	-	-
	Max establishment rate							
Est max	(saplings/m2/year)	0.5	0.5	0.75	0.75	-	-	-
Alphar	Fulton recruitment shape parameter	10	10	10	3	-	_	_
Longevity	Mean non-stress longevity (years)	40	40	25	25	-	-	-
GDD5ramp	growing degree days on 5 °C base to attain full leaf cover	0	0	0	0	0	0	0
•	Photosynthesis: min temperature							
PS-T min	(°C)	-4	-4	-4	-4	-7	-7	-12
	Photosynthesis: optimal temperature							
PS-T opt	(°C)	10-25	10-25	10-25	10-25	10-20	10-20	10-20
	Photosynthesis: max temperature							
PS-T max	(°C)	38	38	38	38	38	38	38
T c. min -surv	Min. temperature of coldest month for survival	-32.5	-32.5	-32.5	-32.5	-	-	-
T	Min. temperature of coldest month	22.5	22.5	22.5	22.5			
I c, min -est	for establishment	-32.5	-32.5	-32.5	-32.5	-	-	-
T c, max -est	for establishment	-	-	-	-	-	-	-
GDD 5, min-	Minimum growing degree days on 5							
est	°C base for establishment	100	200	100	100	-	-	-
fireresist	Fire resistance (0-1)	0.12	0.12	0.12	0.12	0.5	0.5	0.5
drought_tolera	Drought tolerance level (0 = very -> 1 = not at all) (unitless)	0.25	0.25	0.25	0.25	0.01	0.35	0.05
eps iso	Isoprene emission capacity (ugC/g/h)	1.7	11.3	1.7	11.3	9.9	14.1	1.2
<u></u>	Isoprene emissions show a	1.,	11.5	1.7	11.5	7.5	21.1	1.2
seas iso	seasonality (1) or not (0)	0	1	0	1	0	0	1
	Monoterpene emission capacity							
eps_mon	(µgC/g/ĥ)	0.09	0.3	0.09	0.3	0.0	0.4	0.03
	Fraction of monoterpene production							
storfrac_mon	that go into storage pool	0.5	0.5	0.5	0.5	0.5	0.5	0.0

PFT	Drought tolerance $(0 = very \rightarrow 1 = not at all)$ (unitless)
Shade-tolerant boreal needleleaved evergreen tree	0.45
Shade-intolerant boreal needleleaved evergreen tree	0.2
Boreal needleleaved summergreen tree	0.2
Temperate needleleaved evergreen tree	0.05
Shade-intolerant temperate broadleaved summergreen tree	0.25
Shade-tolerant broadleaved summergreen tree	0.25
Shade-intolerant broadleaved summergreen tree	0.1
Temperate broadleaved evergreen tree	0.01
Shade-tolerant tropical broadleaved evergreen tree	0.1
Shade-intolerant tropical broadleaved evergreen tree	0.1
Tropical broadleaved raingreen tree	0.5
Cool (C3) grass	0.01
Warm (C4) grass	0.01
High shrub evergreen	0.25
High shrub summergreen	0.25
Low shrub evergreen	0.25
Low shrub summergreen	0.25
Graminoid & forb tundra	0.01
Prostrate dwarf shrub tundra	0.35
Cushion forb, lichen and moss tundra	0.05

Table S14.9b Drought tolerances for all the PFTs in LPJ-GUESS, for the North American mainland simulations (Chapter 3).

Age (yr BP)	CO2 (ppm)
22000	184.4
21000	186.1
20000	187.8
19000	188.8
18000	188.6
17000	189.5
16000	202.9
15000	221
14000	228.5
13000	237.6
12000	241.8
11000	264
10000	264.9
9000	264.5
8000	258.3
7000	263
6000	263.2
5000	269.8
4000	271.6
3000	276.3
2000	276.7
1000	279.1
0	311.3

Table S14.9c CO<sub>2</sub> concentration

Appendix S15 Sensitivity test of environmental characteristics in Niche Mapper

Figure S15.7 Sensitivity test of environmental characteristics in Niche Mapper, including (a) soil thermal conductivity, (b) substrate specific heat, (c) substrate density, and (d) substrate reflectivity. The tested environmental characteristics values range from zero to the largest possible value.



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