

How low can you go?: Implications of biological
nutrient removal under low dissolved oxygen
conditions in pilot-scale systems

By

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Abstract

How low can you go?: Implications of biological nutrient removal under low dissolved oxygen conditions in pilot-scale systems

By Rachel D. Stewart

Increasing energy costs and climate change necessitate an optimization of energy use at wastewater treatment plants for a sustainable future. Aeration within the biological nutrient removal (BNR) process accounts for a large portion of energy consumption at a wastewater treatment plant. As such, reducing the aeration input is considered a primary solution to reducing the energy required for wastewater treatment. While successful BNR at lower than conventional dissolved oxygen (DO) concentrations has been demonstrated, challenges remain before reduced aeration can be implemented at full-scale.

In Chapter 1, we first compared two automated aeration control strategies under low-DO conditions in two pilot-scale treatment systems. We showed that effective, year-round BNR can be achieved with both strategies by increasing the solids retention time. However, both pilot-scale processes experienced poor solids settleability during the winter. While settleability was recovered during warmer temperatures, improving settling quality under low-DO remains a challenge to be resolved. In Chapter 2, we investigated the short- and long-term effect of DO reductions on the production and emission of nitrous oxide, a potent greenhouse gas. We found that while increases in nitrous oxide production and emission immediately followed DO reductions, long-term emissions decreased after prolonged operation under low-DO conditions. Process nuisances and performance deviations (e.g. nitrite accumulation) also corresponded with increased emissions. Finally, in Chapter 3, we used genome-resolved metagenomics and metatranscriptomics to explore

the diversity and dynamics of the *Candidatus* Accumlibacter lineage, key organisms contributing to phosphorus removal from wastewater. We found that Accumulibacter community was highly diverse across time in multiple pilot-scale low-DO systems, supporting evidence that most members of this lineage have a high affinity for oxygen. The information gained in these studies expands on the feasibility and implications of low-DO wastewater treatment at full-scale and adds to our understanding of the microbial community carrying out BNR under these conditions.

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I first want to thank my advisors Dan Noguera and Trina McMahon. I reached out to Trina on a recommendation, as she was the "EBPR expert", super intimidated and a bit nervous. I soon found out Trina is one of the most welcoming and encouraging advisors I could have gotten. Thank you so much for your feedback, support, and patience! I thought I'd be coming to UW Madison to work on cute, small EBPR reactors, but Dan approached me with another opportunity to work on big, messy, sometimes smelly and worm-infested pilot-scale plants, and I couldn't have gotten luckier. It has been a pleasure to work with you, Dan. I have grown so much as an all-around thinker from your mentorship, feedback, and support. Thanks to you both, I feel more confident in my abilities and thoughts.

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1 Introduction

1.1 Motivation

Biological nutrient removal (BNR) is one of the oldest biotechnological processes used by wastewater treatment plants (WWTPs) to treat 34 billion gallons of wastewater generated, on average, every day in the U.S. ^[1]. BNR relies on a self-assembled microbial community to remove key nutrients such as nitrogen (N) and phosphorus (P) from wastewater. Traditional wastewater treatment has focused primarily on nutrient removal for pollutant control without much consideration of energy use. As such, conventional BNR treatment methods have a substantial energy footprint that accounts for ~3% of the total electricity load in the U.S. ^[2]. As society's grand challenges now include combating climate change in addition to pollution control, increasingly more WWTPs are embracing energy neutral and even energy production goals, answering the industry's call to become water resource recovery facilities (WRRFs), instead of mere WWTPs. To achieve this goal, new treatment strategies to carry out BNR effectively, efficiently, and at lower costs are necessary. Reducing the aeration inputs into the BNR process is considered a primary solution to lowering energy requirements for BNR, and successful treatment has been demonstrated under low dissolved oxygen conditions. However, practical challenges and unintended consequences remain to be solved before this strategy can be implemented. Furthermore, an understanding of the microbial community performing BNR under minimal aeration will aid in process modelling for better operation. This work aimed to understand the implications of minimal aeration strategies on the overall BNR treatment performance and the microorganisms carrying out BNR *in situ*.

1.2 Conventional biological nutrient removal

Municipal and industrial wastewater streams contain nutrients, such as N and P, that pose a risk to human and environmental health if released in excess into the environment. Eutrophication, caused by an accumulation of N and P, is one such risk that results in harmful algal blooms, fish kills, and “dead zones” in freshwater and coastal surface water bodies. In addition to eutrophication, N, P, and organic pollutants exert an oxygen demand in water as microorganisms consume these compounds, depleting the water of dissolved oxygen (DO) needed for other forms of life. By providing optimal conditions for microbial growth, WWTPs take advantage of the natural metabolism of these microorganisms to remove or transform dissolved pollutants. The activated sludge process refers to the treatment of wastewater using an enrichment culture of microorganisms, some of which are involved in BNR. Activated sludge processes can take on several different configurations to carry out BNR. One of these configurations is known as the modified University of Cape Town (UCT) process without an internal nitrate recycle which is used at the Nine Springs WWTP at the Madison Metropolitan Sewerage District in Madison, WI who have supported this research (Figure 1-1). In this configuration, the microorganisms and influent wastewater are exposed to designated anaerobic, anoxic, and aerobic zones. In each of these zones, various biochemical conversions take place to facilitate N and P removal, which are described below.

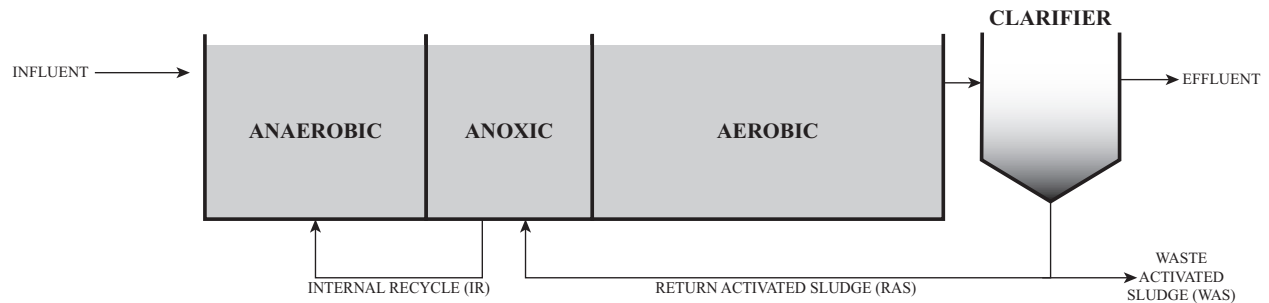


Figure 1-1 Modified University of Cape Town without internal nitrate recycle activated sludge process. The modified University of Cape Town (UCT) process without nitrate recycle configuration includes dedicated anaerobic, anoxic, and aerobic zones followed by the clarifier. In this configuration influent wastewater is sent first to the anaerobic zone along with mixed liquor recycled from the anoxic zone. The combined mixed liquor flow then enters the anoxic zone along with the return activated sludge (RAS) from the clarifier. Next, the mixed liquor enters the aerobic zone and finally enters the clarifier where the suspended solids are separated from the treated water. Most of the separated solids are pumped back into the anoxic zone as RAS, and some are removed from the system as waste activated sludge (WAS).

1.3 Nitrogen removal through nitrogen cycling organisms

Nitrogen removal in WWTPs is achieved through several biologically mediated pathways (Figure 1-2). Aerobic nitrification is typically described as a sequential biochemical process, beginning with

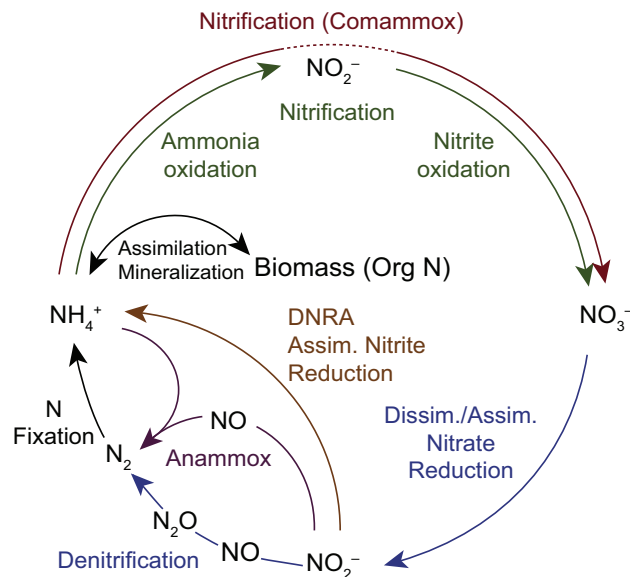


Figure 1-2 The biochemical transformations which comprise the nitrogen cycle. NH_4^+ , ammonium; NO_2^- , nitrite; NO_3^- , nitrate; NO , nitric oxide; N_2O , nitrous oxide; N_2 , nitrogen gas

the oxidation of ammonia to nitrite by ammonia oxidizing bacteria (AOB), followed by the oxidation of nitrite to nitrate by nitrite oxidizing bacteria (NOB). Nitrifying organisms are chemolithoautotrophs that use carbon dioxide as their carbon source, ammonia or nitrite as their energy source, and oxygen as the terminal electron acceptor for energy conservation. Genera within *Nitrosomonas* and *Nitrospira* are commonly identified as dominant AOBs within WWTPs [3], while canonical NOBs include *Nitrobacter*, *Nitrospira*, and *Nitrotoga* [4, 5]. Ammonia oxidizing archaea (AOA) can also perform the first step of nitrification and have been found in wastewater treatment systems [6]. The discovery of complete ammonia oxidizing (comammox) bacteria has further challenged our conceptual understanding of N transformations in WWTPs [7, 8]. Comammox bacteria are able to perform both steps of nitrification within a single cell as their genetic content includes machinery for both ammonia and nitrite oxidation. Known comammox bacteria belong to the genus *Nitrospira* [9], and until recently remained unidentified as ammonia oxidizers because established polymerase chain reaction (PCR) primers used to identify AOB did not detect the genetic markers which were phylogenetically distinct from those of canonical ammonia oxidizers. These organisms also cannot be distinguished from *Nitrospira*-NOB based off their 16S rRNA gene or marker genes for nitrite oxidation [7, 10]. The role of comammox bacteria as ammonia oxidizers in conventional WWTPs is still being explored.

Anoxic denitrification is the process in which nitrate/nitrite is reduced to N gas through a series of sequential steps. Within BNR systems, denitrification conventionally occurs in a designated zone where nitrate/nitrite are the only electron acceptors present. Denitrification within BNR systems is carried out by ordinary heterotrophic bacteria using readily biodegradable organic carbon as the electron donor and carbon source. Because carbon is often limited in municipal wastewater influent, facilities may opt to externally add organic carbon sources such as methanol

to supplement carbon requirements. This added cost to meet treatment standards necessitates a more efficient way to remove total N. Denitrification metabolism is widespread amongst organisms, and common bacterial strains isolated from denitrifying WWTPs belong to Proteobacteria ^[11]. However, the dominant denitrifying population varies between systems depending upon influent characteristics and operational conditions (e.g. carbon sources).

While BNR systems are typically designed to achieve total N removal via aerobic nitrification and anoxic denitrification, new treatment configurations are being used to facilitate another bacterial N transformation pathway known as anaerobic ammonium oxidation (anammox). Anammox is a biochemical process in which ammonium is oxidized to N₂ gas using nitrite as a terminal electron acceptor in the absence of oxygen ^[12]. Because anammox bacteria do not require oxygen or an organic carbon source, biotechnological advancement has rapidly been made to take advantage of this energy-efficient and cost-saving N removal pathway ^[13].

1.4 Phosphorus removal through EBPR

Biological P removal is typically promoted under cyclic anaerobic-aerobic conditions through enhanced biological phosphorus removal (EBPR) by polyphosphate accumulating organisms (PAOs). PAOs possess the ability to accumulate and intracellularly store P in excess. One of the most detected and commonly studied PAOs in BNR systems designed for EBPR is *Candidatus Accumulibacter phosphatis* (hereinafter referred to as Accumulibacter) ^[14, 15]. Figure 1-2 provides a summary of the metabolism of Accumulibacter in which phosphorus removal is facilitated. Under anaerobic conditions Accumulibacter generate internal energy and reducing equivalents through the use of intracellularly stored polyphosphate and glycogen. This energy is used to take up volatile fatty acids (VFAs) and convert them into internally stored polyhydroxyalkanoate

(PHA). As polyphosphate is degraded, it is released from the cell as orthophosphate. In the presence of oxygen, the stored PHA drives growth and is used by *Accumulibacter* as an energy source to take up polyphosphate and replenish intracellular glycogen stores. Through these oscillations of anaerobic-aerobic conditions net P removal from the bulk liquid is achieved [16, 17].

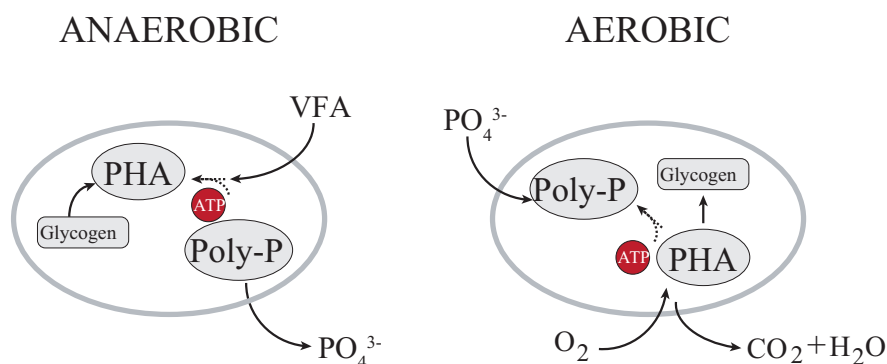


Figure 1-3 Enhanced biological phosphorus removal and canonical *Candidatus Accumulibacter* metabolism. Under anaerobic conditions, *Accumulibacter* uptakes volatile fatty acids (VFAs), such as acetate and convert and stores them as polyhydroxyalkanoates (PHAs). Polyphosphate (poly-P) stored within the cell is used to generate ATP in order to carry out the anaerobic metabolism. Under aerobic conditions, PAOs use PHAs as a carbon an energy source to take up orthophosphate (PO_4^{3-}) and replenish poly-P and glycogen reserves.

1.5 Low-DO aeration control strategies for energy-efficient BNR

Conventional BNR systems operate at elevated levels of DO (>2.0 mg/L) in aerobic zones to ensure complete nitrification and EBPR. Because of this, aeration can account for as much as 60% of total energy consumption at a WWTP [18]. Previous research has demonstrated that this energy demand can be reduced through aeration reduction, thus decreasing the DO concentrations within the aerobic zones of the BNR process. Even further, automated process design through the use of sensor technology offers the ability to aerate as needed to meet effluent quality standards [19]. Ammonia-based aeration control (ABAC) is one such method that regulates airflow to meet the oxygen required for ammonia oxidation and has been implemented under continuous and intermittent aeration in the aerobic zone [20-22]. Combined, automated aeration under low-DO

conditions offers the potential for energy and cost savings [23], however, its application under various operational conditions remains to be refined.

While new treatment methods are being developed to minimize aeration, facilities must also strategize combining aeration reductions with meeting stricter effluent quality standards. Favorably, N and P removal has been demonstrated in studies at low-DO (<1.0 mg/L) under continuous and intermittent aeration ranging from lab- to full-scale systems [24-26]. The success of BNR under low-DO has been attributed to the ability of the microbial community to adapt to these conditions. Stable nitrification has been well documented under low-DO conditions (as low as 0.3 mg/L), and total N removal has been demonstrated to improve under low-DO as nitrification and denitrification can occur simultaneously in the same reactor, termed simultaneous nitrification-denitrification (SND) [27].

EBPR has also been successfully demonstrated under low-DO and even anoxic conditions. It has been shown that the PAOs in these systems have a high affinity for oxygen, and some possess the ability to uptake P using nitrate/nitrite as an electron acceptor. PAOs carrying out simultaneous P uptake and denitrification have been termed denitrifying PAOs (DPAOs) and provide an added benefit of carbon savings in addition to aeration savings.

While successful BNR performance has been demonstrated at low-DO conditions, the activated sludge microbial community remains to be elucidated. For example, it is not clear how the community transitions from a high- to low-DO environment or how community dynamics in low-DO systems change over time, particularly outside of highly controlled lab-scale reactors. Furthermore, DO is an important parameter that can affect the production and emission of nitrous oxide, a potent greenhouse gas. Nitrous oxide emission is a result of an imbalance between microbial production and consumption of nitrous oxide and DO has been shown to affect these

microbial pathways. In order for a reduced carbon footprint to be realized at WWTPs as a result of minimal aeration, nitrous oxide emissions must be minimized.

1.6 Research objectives and dissertation outline

This work aims to address the feasibility and implications of BNR under low-DO conditions. Specifically, this dissertation seeks to address the following research objectives:

1. Compare BNR performance using intermittent and continuous ammonia-based aeration control under low-DO in pilot-scale BNR treatment trains.
2. Assess the effectiveness of increased solids retention time to maintain nitrification during cold-water temperatures.
3. Evaluate nitrous oxide emissions when transitioning a pilot-scale BNR system from high- to low-DO and long-term effects of low-DO on nitrous oxide emissions
4. Characterize the *Accumulibacter* population and dynamics performing EBPR in low-DO pilot-scale BNR systems.

In **Chapter 2**, we built upon low-DO pilot-scale studies conducted under the UW-Madison and Madison Metropolitan Sewerage District cooperative agreement. We operated and compared the performance of two pilot-scale treatment trains operated with intermittent and continuous ammonia-based low-DO control. We show that both aeration control strategies are effective at BNR. While increasing solids retention time aids in nitrification during cold water operation, the settleability of the solids is negatively impacted.

In **Chapter 3**, we evaluated the effect of decreasing the DO on nitrous oxide emissions, and the long-term consequences of low-DO operation. We reconfigured the pilot-scale treatment trains and reseeded them with fresh activated sludge acclimatized to high-DO conditions from the full-

scale process. We used a stepwise reduction in DO approach and measured dissolved and gaseous nitrous oxide concentrations from the systems. We found that while immediate reductions in DO resulted in an increase in nitrous oxide production and emission, long-term operation at reduced DO concentrations is followed with reduced emissions.

In **Chapter 4**, we use metagenomics to explore the *Accumulibacter* population in all four pilot-scale systems operated under low-DO. Using long-read sequencing technology, we were able to assemble medium- to high-quality *Accumulibacter* MAGs, expanding the existing database of this lineage's genomes. We also use metatranscriptomics to investigate the populations activity under changing DO conditions.

Finally, in **Chapter 5**, we summarize the main findings from this work and outline recommendations for future research.

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2 Pilot-scale Comparison of Biological Nutrient Removal (BNR) using Intermittent and Continuous Ammonia-Based Low Dissolved Oxygen Aeration Control Systems

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Author contributions

RDS, RB, MS, DRN developed the research plan. RB performed process modelling for improved year-round treatment. RDS, RB, CA, GUS, and MS operated and collected samples from the pilot-scale plants. RDS performed data analyses. RDS, MS, and DRN wrote the manuscript with input from all authors.

2.1 ABSTRACT

Sensor driven aeration control strategies have recently been developed as a means to efficiently carry out biological nutrient removal (BNR) and reduce aeration costs in wastewater treatment plants. Under load-based aeration control, often implemented as ammonia-based aeration control (ABAC), airflow is regulated to meet desired effluent standards without specifically setting dissolved oxygen (DO) targets. Another approach to reduce aeration requirements is to constantly maintain low-DO conditions and allow the microbial community to adapt to the low-DO environment. In this study, we compared the performance of two pilot-scale BNR treatment trains that simultaneously used ABAC and low-DO operation to evaluate the combination of these two strategies. One pilot plant was operated with continuous ABAC while the other one used intermittent ABAC. Both processes achieved greater than 90% total Kjeldahl nitrogen (TKN) removal, 60% total nitrogen removal, and nearly 90% total phosphorus removal. Increasing the solids retention time (SRT) during the period of cold (~ 12 °C) water temperatures helped maintain ammonia removal performance under low-DO conditions. However, both processes experienced poor solids settling characteristics during winter. While settling was recovered under warmer temperatures, improving settling quality remains a challenge under low-DO operation.

2.2 KEYWORDS

Aeration Control, Biological nutrient removal, Dissolved Oxygen, Enhanced biological phosphorus removal, Nitrification, Solids volume index

2.3 HIGHLIGHTS:

- The performance of two pilot-scale reactors operated under continuous and intermittent low-DO aeration were compared.
- A stepwise increase in SRT led to improved nitrification during cold water periods.
- Intermittent aeration led to improved total nitrogen removal without a loss in EBPR.
- Deteriorated sludge settleability under low-DO remains a challenge to be solved.

2.4 INTRODUCTION

Nitrogen (N) and phosphorus (P) are key nutrients that must be removed from wastewater as they can cause eutrophication when introduced into surface waters. Traditional biological nutrient removal (BNR) systems employed at wastewater treatment plants (WWTPs) typically remove N through a two-stage process consisting of aerobic nitrification and anoxic denitrification [1]. P is removed under cyclic anaerobic-aerobic conditions through enhanced biological phosphorus removal (EBPR) by polyphosphate accumulating organisms (PAOs) [2]. Conventionally, extensive aeration is implemented for both processes, and in some cases, supplemental carbon is added to reach treatment requirements. These requirements are driving efforts to modify operating configurations with the goal of reducing energy and carbon requirements in BNR systems [3-5].

Automated process control through the use of online sensors has gained interest as a means to reduce aeration energy consumption while meeting effluent quality standards [6-8]. One such control strategy is ammonia-based aeration control (ABAC) where airflow is regulated to satisfy oxygen requirements for biological ammonia oxidation. ABAC has been implemented through continuous and intermittent aeration and has been shown to decrease aeration energy demands and increase total N removal in both aeration methods [9, 10]. Intermittent ABAC was originally employed as a strategy to achieve nitrite shunt, which may result in up to a 25% and 40% reduction in oxygen and chemical oxygen demand (COD) requirements, respectively [9]. However, recent research has shown nitrite shunt may not be achieved in all intermittently aerated BNR systems [11, 12]. In general, continuous or intermittent ABAC has been implemented to maintain high dissolved oxygen (DO) conditions during the aerated periods [9]. In contrast, we are interested in the use of ABAC in combination with intermittent and continuous aeration in systems aimed at

maintaining low-DO conditions (≤ 0.7 mg/L) during aerated periods. This combination of operational conditions could achieve ecological stability and further reduce aeration energy requirements.

In this study we investigated the use of continuous and intermittent ABAC to control aeration in low-DO BNR systems. We used two pilot-scale plants that received primary effluent from the full-scale Nine Springs WWTP at the Madison Metropolitan Sewerage District (MMSD) in Madison, WI, USA. Both of these pilot plants had multiple tanks in series to simulate plug-flow conditions which are not often studied, yet are the most common process used to treat municipal wastewater. We were particularly interested in process performance during the winter period, when wastewater temperature in Madison, WI, USA, can reach below 12° C and maintaining nitrification during low-DO operation is of concern^[13]. We were also interested in evaluating pilot plant results with influent flow rates that mimicked full-scale flow variations since most pilot plant research to date does not consider flow variations in the operation of reactors given the intrinsic complexities of implementing this option.

2.5 MATERIALS AND METHODS

2.5.1 Pilot-scale reactor operation and control

2.5.1.1 Anoxic-oxic (AO) reactor with intermittent aeration (AOia)

A pilot-scale treatment train, operated at the Nine Springs WWTP (Madison WI), is configured as an anoxic-oxic with intermittent aeration (AOia) process (**Figure 1-1**). The AOia pilot plant was seeded with activated sludge from the full-scale plant (configured as a University of Cape Town process without internal nitrate recycle) in October 2018 and initially operated at conditions aimed at achieving nitrite shunt. The plant was converted to the intermittent aeration

operational strategy on May 1st, 2019 (day 0 in this study) and was evaluated for 483 days, until August 25th, 2020. Between days 0 and 341 the pilot plant system (**Figure 2-1A**) consisted of a non-aerated tank, three intermittently aerated tanks that maintained low-DO conditions (≤ 0.7 mg/L) when aerated, and a high DO polishing aerated tank with a combined total volume of 2,080 L. On day 342, the system was reconfigured to achieve better plug-flow conditions by replacing the one unaerated 473 L tank with four 140-L tanks (**Figure 2-1B**). The high DO polishing aerated tank was also replaced by a larger volume tank for a total pilot reactor volume of 2,280 L. Throughout the entire operation the system also included a 1,210 L secondary clarifier. Primary effluent from the full-scale WWTP was used as influent to the pilot-scale reactor. Influent flow rates were aimed to maintain a hydraulic retention time (HRT) comparable to that of the full-scale plant. To achieve this, during the first five months of operation (days 0 – 174), the influent flow rate was manually controlled and adjusted daily; afterwards, the plant was equipped with a variable speed influent pump that was automatically controlled to mimic real-time changes in full-scale influent flows. During the entire operational period, the daily average influent flow rates were between 2.50 – 6.80 m³/day (**Supplementary Figure 2-2A**), corresponding to daily average HRT of 13.1 ± 3.0 hours (**Supplementary Figure 2-2B**).

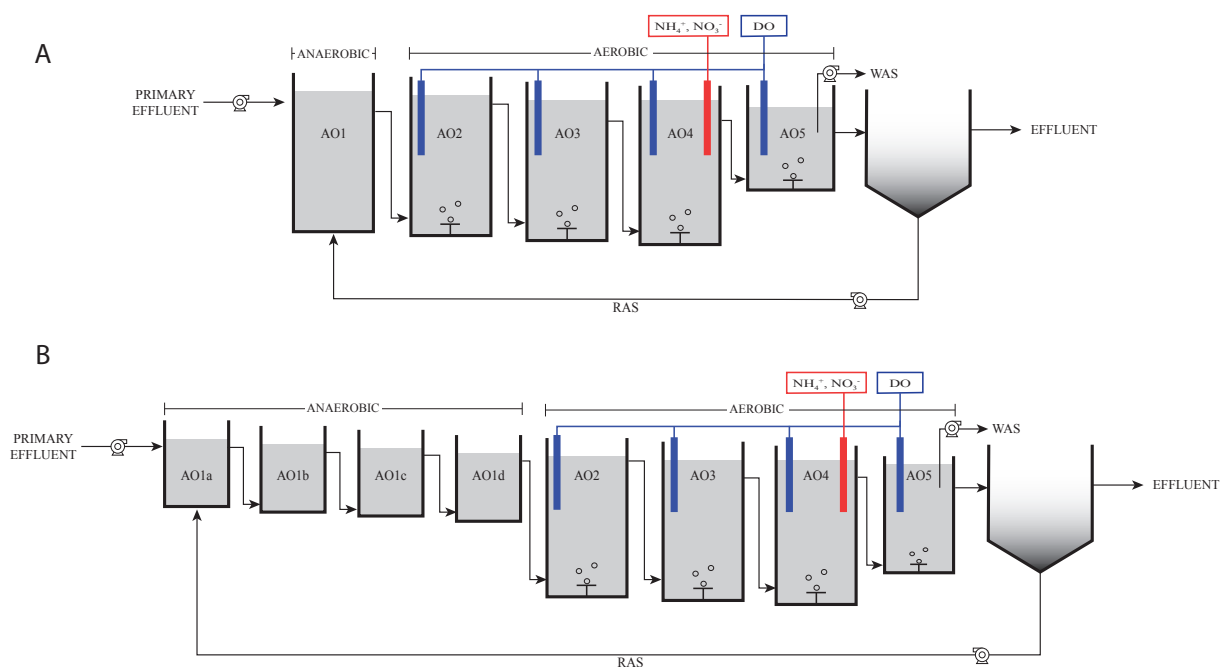


Figure 2-1 AOia pilot-scale treatment train configuration. AOia pilot-scale treatment train configuration showing anaerobic and aerobic tanks, and the clarifier. Return activated sludge (RAS) was pumped from the bottom of the clarifier into the first unaerated tank. Waste activated sludge (WAS) was pumped out of tank AO5. Sensors (NH_4^+ , NO_3^- , NO_2^- and DO) and aeration control details are shown in **Figure S1A**. (A) During the first 341 days of operation the system included an unaerated tank (AO1), three tanks under the intermittent ABAC regime (AO2, AO3, and AO4), and one high-DO polishing tank (AO5). (B) AOia reconfiguration after day 341. Tank AO1 was replaced by four smaller tanks.

Return activated sludge (RAS) was recycled back into the first non-aerated tank at an average of $1.7 \text{ m}^3/\text{day}$ between days 0 – 158, $3.2 \text{ m}^3/\text{day}$ between days 159 – 287, and $5.9 \text{ m}^3/\text{day}$ between days 288 – 483 (**Supplementary Figure 2-2C**). The increase in RAS flow rate throughout the study was implemented in response to the development of poor settling sludge that required faster pumping out of the clarifier to prevent solids overflow into the effluent.

2.5.1.1.1 Solids retention time (SRT) variation for winter operation

The SRT was controlled by implementing waste activated sludge (WAS) removal from the last aerated tank and calculating the sludge exiting the plant via the WAS, the effluent, and in

any accumulated foam that was manually removed from tank water surfaces. WAS flow rates were adjusted daily using a target SRT and measurements (three times per week) of suspended solids in WAS, effluent, and removed foam.

We implemented a stepwise increase to the SRT starting in fall 2019 by reducing the WAS flow rate (**Supplementary Figure 2-2E**). This was carried out over the course of 6 weeks to bring the SRT from about 10 days to 16 days (day 161 – day 203). Then, a stepwise SRT decrease began in February 2020 (day 292) to bring the plant back to 10 days SRT by April 2020, for warmer weather operation.

2.5.1.1.2 Aeration control loops and sensors

Intermittent aeration in the AOia pilot reactor occurred in the three middle aerated tanks (AO2, AO3, and AO4 in **Figure 2-1**) by using proportional-integral (PI) control according to cascading control loops (**Supplementar Figure 2-1A**). The primary control loop, NH_4^+ Loop 1, determines aerated and un-aerated periods using NH_4^+ and NO_3^- concentrations as input variables. NH_4^+ Loop 1 initiates “air-on” mode until a minimum operator-specified NH_4^+ concentration within tank AO4 has been reached, at which point the “air-off” mode is initiated by automatically closing the air valve in tanks AO2, AO3, and AO4. The air-on mode resumes once an operator-defined maximum NH_4^+ setpoint has been reached, the NO_3^- concentration has reached a maximum allowable decrease, or NO_3^- is at a concentration of zero. By this control logic, nitrification is prioritized over denitrification by setting a maximum allowable NH_4^+ concentration and limiting the unaerated periods based on amount of NO_3^- denitrified. The NH_4^+ and NO_3^- sensors were placed in the last intermittently aerated tank to perform feedback control which enacts system control based on the difference in a measured variable and a desired setpoint ^[8] For the majority of the operation the high and low NH_4^+ concentration limits were set

at 2 and 5 mg $\text{NH}_4^+\text{-N/L}$, respectively. These setpoints were established to ensure NH_4^+ removal in the final high-DO polishing tank and to also operate the sensor in an environment with sufficient NH_4^+ to ensure reliable signal. The maximum allowable NO_3^- concentration decrease was set at 3.5 mg $\text{NO}_3^-\text{-N/L}$ to achieve optimal conditions for denitrification while also maintaining sufficient aerated periods for nitrification and phosphorus removal. While in air-on mode the control loop selects a user-defined DO setpoint that is to be achieved in each of the intermittently aerated tanks. The DO setpoint was 0.7 mg/L.

The secondary control loops (DO Loop 2, DO Loop 3, and DO Loop 4) are used to maintain the DO setpoint determined from the NH_4^+ Loop 1 by adjusting the air flow rate to each tank using motorized proportional air valves. If the DO concentration is above the DO setpoint, the air valve position is reduced, and vice versa. During aerated periods, compressed air was provided through a fine-bubble membrane diffuser (Sanitaire, Brown Deer, WI) installed at the base of each aerobic tank. The final high-DO polishing aerated tank, intended to remove any residual NH_4^+ from the prior intermittently aerated tank, is aerated to maintain a DO concentration of 2.0 mg $\text{O}_2\text{/L}$ using an on/off air solenoid valve.

Online sensors used for the control loops included an ion selective electrode to record NH_4^+ (Ammonia Plus 700 IQ, YSI, Yellow Springs, OH), UV-vis sensor for NO_3^- and NO_2^- (NiCaVis 701 IQ, YSI, Yellow Springs, OH), and optical DO sensors (IQ SensorNet FDO 701, YSI, Yellow Springs, OH).

2.5.1.2 University of Cape Town (UCT)-type reactor with continuous aeration (UCTca)

A pilot-scale system simulating the configuration of the full-scale process at MMSD but operated with continuous low-DO conditions (**Figure 2-2A**) was also operated, for comparison with the AOia pilot plant. This process, referred to as the UCTca pilot plant, is a modification of

the UCT configuration that does not use internal recycle [3, 14]. The pilot plant was seeded in September of 2018 with activated sludge from the full-scale process. However, most results reported here correspond to May 1st, 2019 (day 0) thru August 25th, 2020 (day 483). The anaerobic, anoxic, and aerated portions of this pilot plant initially consisted of 5 tanks for a combined total volume of 2,180 L. The anaerobic portion of the plant was reconfigured on day 446 to achieve better plug-flow conditions by replacing a 284-L tank with three 140-L tanks (**Figure 2-2B**). In addition, the volume of the anoxic portion was decreased from 473 L to 140 L for a total pilot reactor volume of 1,980 L. A 1,010 L secondary clarifier was also included for the entire operation. Similar to the operation of the AOia pilot plant, influent flow rates were initially adjusted manually in the UCTca and starting at day 174 they were controlled using variable speed influent pumping that automatically changed flow rates to mimic real-time changes in full-scale influent flows (**Supplementar Figure 2-2A**). The influent flow rate into the UCTca reactor varied between 2.14 and 7.90 m³/day resulting in an average HRT of 14.0 ± 2.1 hours. As with the AOia pilot plant, the RAS flow rate was increased in response to poor sludge settling characteristics (**Supplementar Figure 2-2B**) and stepwise SRT adjustments were implemented to achieve a higher SRT during the low temperature period (**Supplementar Figure 2-2C**) as described above for the AOia pilot plant.

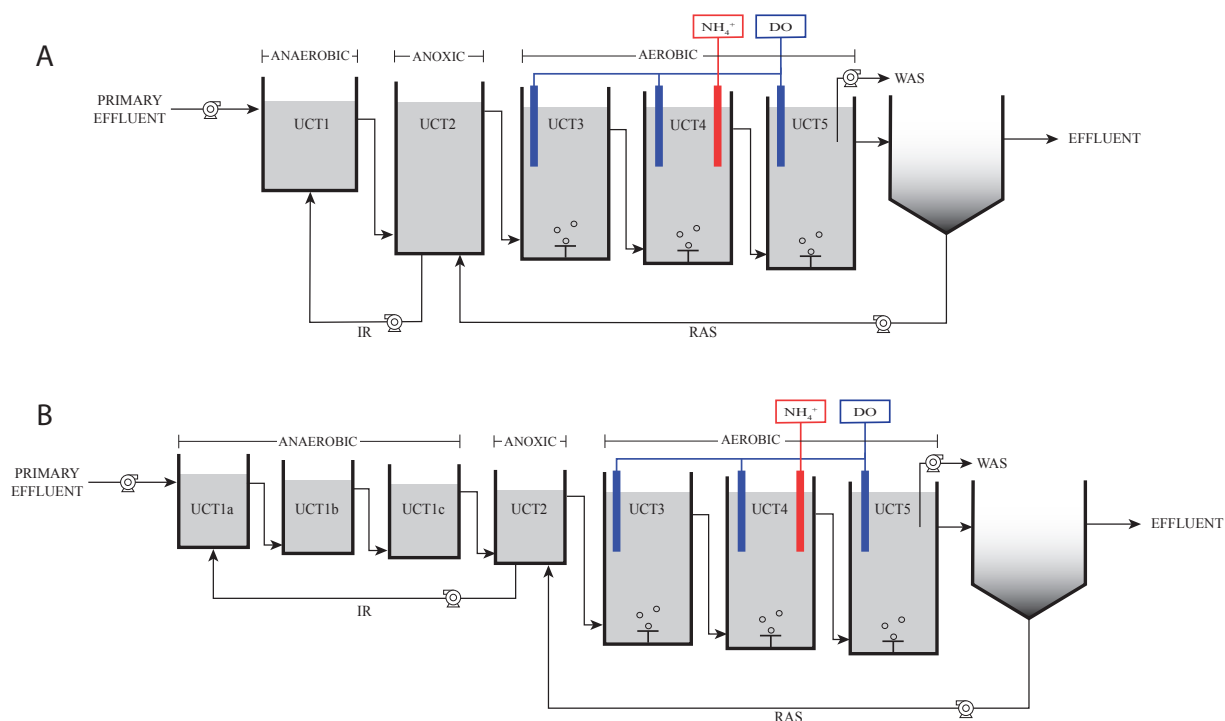


Figure 2-2 UCT-type pilot-scale treatment train configuration (UCTca). UCT-type pilot-scale treatment train configuration (UCTca) showing anaerobic, anoxic, and aerobic tanks, the clarifier, the return activated sludge (RAS) line from the bottom of the clarifier and into the anoxic tank, and an internal recycle (IR) line from the anoxic tank into the first anaerobic tank. Waste activated sludge (WAS) was pumped out from the last aerobic tank. Sensors (NH_4^+ and DO) and aeration control details are shown in **Supplementary Figure 2-1B**. (A) During 446 days of operation the UCTca system included one anaerobic tank (UCT1), one anoxic tank (UCT2), two aerobic tanks under continuous ABAC (UCT3 and UCT4) and one higher DO polishing tank (UCT5). (B) After 446 days of operation, the anaerobic tank was replaced with 3 smaller anaerobic tanks and the anoxic tank was reduced in size to better represent plug flow conditions.

Aeration in this pilot plant was also controlled based on NH_4^+ concentration. An NH_4^+ online sensor (described above) was installed in the second aerated tank of the UCTca treatment train (tank UCT4 in **Figure 2-2**). Each of the three aerated tanks was equipped with a DO sensor (described above).

Similar to the AOia configuration, aeration control within the UCTca pilot plant was executed using a PI control loop based on NH_4^+ concentration. However, aeration was

continuous and a single NH_4^+ concentration was targeted (**Supplementary Figure 2-1B**). The first loop, NH_4^+ Loop 1, adjusts the DO setpoint (allowed to be between 0.1 and 0.6 mg/L) in the two middle aerated tanks to maintain an operator-defined NH_4^+ setpoint in the second aerated tank (tank UCT4). If NH_4^+ concentration decreases past the setpoint, the DO setpoint also decreases, and vice versa. Throughout the operation described in this study, an NH_4^+ concentration of 5 mg NH_4^+ -N/L in tank UCT4 was targeted. The secondary control loops (DO Loop 2 and DO Loop 3) adjust the position of the proportional air valve to achieve the DO setpoint (in tanks UCT3 and UCT4) defined by the primary NH_4^+ -based loop. The last aerated tank (UCT5) was operated under a separate control loop to initially maintain a DO of 0.6 mg/L through day 218, at which point it was switched to maintain a DO concentration of 1.0 mgO₂/L to slow denitrification in the clarifier.

2.5.2 Analytical methods

Filtered influent and effluent grab samples from both pilot plants were collected 3 and 6 times per week, respectively. Grab samples from each tank in both pilots were collected once per week. Grab samples from the influent, effluent, AO5, LD1a (or LD1), and LD5 were also collected for solids analysis. For analyses of soluble components, samples were immediately filtered through a 0.45-micron filter (Nitrocellulose Membrane Filters, EMD Millipore Corp., Darmstadt, Germany) and stored at 4°C until further analysis. Unfiltered samples were used for solids measurements.

Total suspended solids (TSS), volatile suspended solids (VSS), filtered total Kjeldahl nitrogen (TKN), and filtered total phosphorus (TP) were measured according to standard methods [15]. Nitrite (NO_2^- -N) and nitrate (NO_3^- -N) were measured using high performance liquid chromatography (HPLC) as described elsewhere [3].

2.5.3 Statistical analysis

Statistical significance analyses to compare the performance between the AOia and UCTca systems were performed in R ^[16]. A Shapiro-Wilk test was performed to determine whether a dataset was normally distributed. For normally and non-normally distributed data a *t* test and a Wilcoxon rank-sum test were performed, respectively. A p-value less than 0.05 suggests the difference in variables is statistically significant.

2.6 RESULTS AND DISCUSSION

Experimentation at the pilot-scale is intended to provide experimental observations to determine whether a process is ready for full-scale implementation. Ideally, wastewater treatment research at the pilot-scale should consider the expected variations in organic and nutrient loadings and the fluctuations in flow rates and temperatures. In addition, testing wastewater treatment processes at the pilot-scale provide opportunities for evaluating other potential challenges to full-scale implementation, such as sludge bulking and foaming. Our pilot-scale research program has considered variations in wastewater characteristics and water temperature by tapping directly into primary effluent from the full-scale WWTP and housing the pilot plants in locations that are not temperature controlled ^[3, 17].

Since the demonstration that nitrification can be successfully implemented using low-DO conditions ^[18] and the recognition that BNR operated under low-DO is a feasible approach to reduce energy use during wastewater treatment, approaches to control aeration using sensor technology have emerged ^[7, 11]. Aeration control based on NH_4^+ concentrations has gained momentum as a practical approach for automating aeration while maintaining desirable water quality standards ^[19]. The recent attention to ABAC with continuous or intermittent aeration ^[11],

^{20]} prompted us to perform a comparison of these two aeration control technologies in pilot plants that simulate the general layout of common full-scale plug flow BNR systems operated in a temperate climate and under low-DO conditions. The most important observations in this comparison are described and discussed below.

2.6.1 Nutrient removal was better in the AOia than in the UCTca process

The AOia and UCTca pilot plant performance was assessed over a period of time that included summer and winter water temperatures (minimum below 12 °C and maximum above 25.0 °C) (**Supplementary Figure 2-2A**). Under the different aeration modes employed, both plants exhibited effective nitrification, although, the extent of TKN removal was slightly better in the AOia plant than in the UCTca plant (**Figure 2-3A**). Influent filtered TKN was 34.3 ± 6.5 mg TKN/L. The AOia system achieved an average effluent TKN of 1.82 ± 1.34 mg TKN/L, equivalent to a 95% removal efficiency. The UCTca reactor experienced a higher average effluent TKN of 2.16 ± 1.09 mg TKN/L, a significantly different result ($p = 8.4e-06$) that corresponds to a removal efficiency of 93%. This TKN removal efficiency was consistent throughout the operational period, indicating that the practice of increasing SRT as winter approaches was effective and eliminated earlier problems with nitrification when the SRT was not preventively increased (**Supplementary Figure 2-3**). The better nitrification observed in the AOia plant could be due to the polishing tank in the AOia operated at a higher DO concentration (2 mg/L) than the tank in the UCTca plant (1 mg/L).

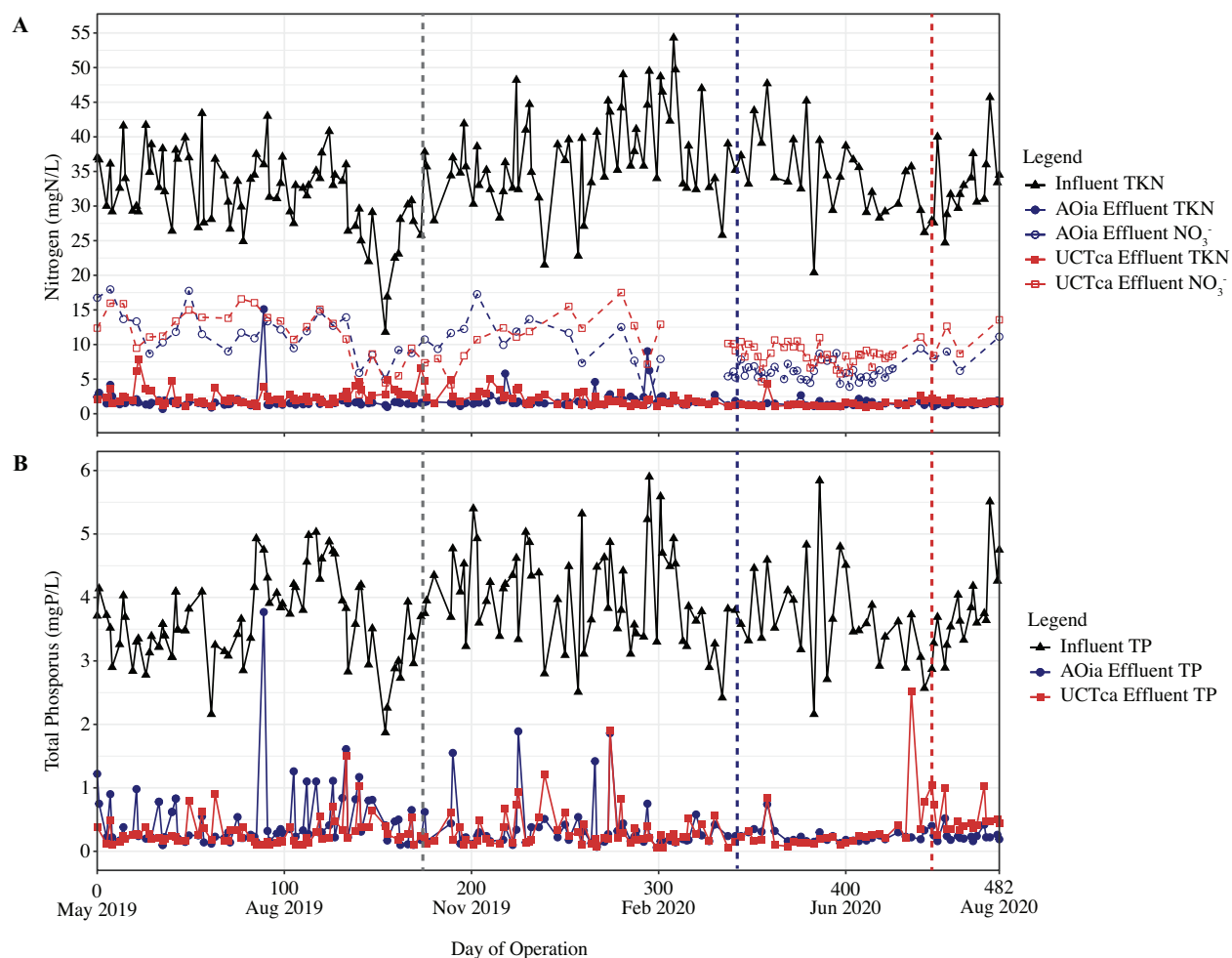


Figure 2-3 Comparison of nutrient removal performance between the two pilot-scale systems. Comparison of nutrient removal performance between the two pilot-scale systems. Blue and red dashed vertical lines indicate the AOia and UCTca configuration change, respectively. The grey dashed vertical line indicates the change to variable influent flows, and the blue and red lines indicate when the reactor configurations were changed in the AOia and the UCTca plants, respectively. (A) Influent and effluent total Kjeldahl nitrogen (TKN) and effluent nitrate (NO₃⁻) in filtered samples. To simplify the figure, nitrite (NO₂⁻) was not plotted, but concentrations on average were less than 1.0 mg/L. Note that the lack of nitrate data for 36 days after Feb 2020 was due to the inability to perform these tests due to the covid-19 pandemic. (B) Total phosphorus (TP) in filtered samples.

Effluent nitrate concentrations (**Figure 2-3A**) were also statistically different ($p = 1.7 \times 10^{-3}$) in both plants, averaging 8.60 ± 3.57 mg N/L in the AOia and 10.06 ± 3.09 mg N/L in the UCTca, respectively. While there was not a clear difference in effluent nitrate concentrations during the first half of pilot plant operation, effluent nitrate concentrations in the AOia became

consistently lower than in the UCTca after 231 days of operation. Neither pilot plant showed nitrite accumulation in the effluent. In the AOia plant, effluent nitrite was 0.23 ± 0.16 mg N/L, and in the UCTca it was 0.30 ± 0.23 mg N/L, results that are not statistically different ($p = 0.38$).

With better TKN removal and lower effluent nitrate concentrations in the AOia, total nitrogen ^[21] removal was also statistically different in the two pilot plants. The AOia treatment train achieved an average TN removal efficiency of 68%, compared to 63% in the UCTca train ($p = 0.004$) (**Figure 2-4A**). With the configuration of these pilot plants, denitrification occurs in two different locations: the unaerated zones at the head of each plant and either the continuously aerated low-DO zone in UCTca or the intermittently aerated zone in the AOia. Using a mass balance approach (i.e., the difference between TN in the influent, unaerated zones, and the effluent), we evaluated the extent of denitrification in the unaerated and aerated zones on a mass per day basis. Total denitrification within the AOia (105 ± 34 gN/day) was greater than in the UCTca (94 ± 33 gN/day) pilot plant, a statistically insignificant difference ($p = 0.074$). The contribution of unaerated and aerated zones to denitrification was also estimated (**Figure 2-4B**). In this case, denitrification in the unaerated zones was statistically higher ($p = 2.0e-12$) in the UCTca pilot (47 ± 14 gN/day) than in the AOia pilot (29 ± 12 gN/day). The difference in the unaerated zone denitrification could be attributed to RAS flow rates, since they were higher in the UCTca pilot system for most of the operational period (**Supplementary Figure 2-2B**). Conversely, the opposite was true for denitrification attributed to the low-DO (47 ± 32 gN/day) or intermittent zones (76 ± 32 gN/day), likely because the cyclic anoxic periods experienced during intermittent aeration in the AOia pilot create more opportunity for denitrification than the low-DO conditions in the UCTca. Denitrification in the aerated zones varied widely in both pilots, with minimal to no SND occurring in the UCTca pilot on some days, although

denitrification in these zones substantially increased when the flows into the pilot plants were set to mimic the flow variations observed in the full-scale plant (**Figure 2-4B**).

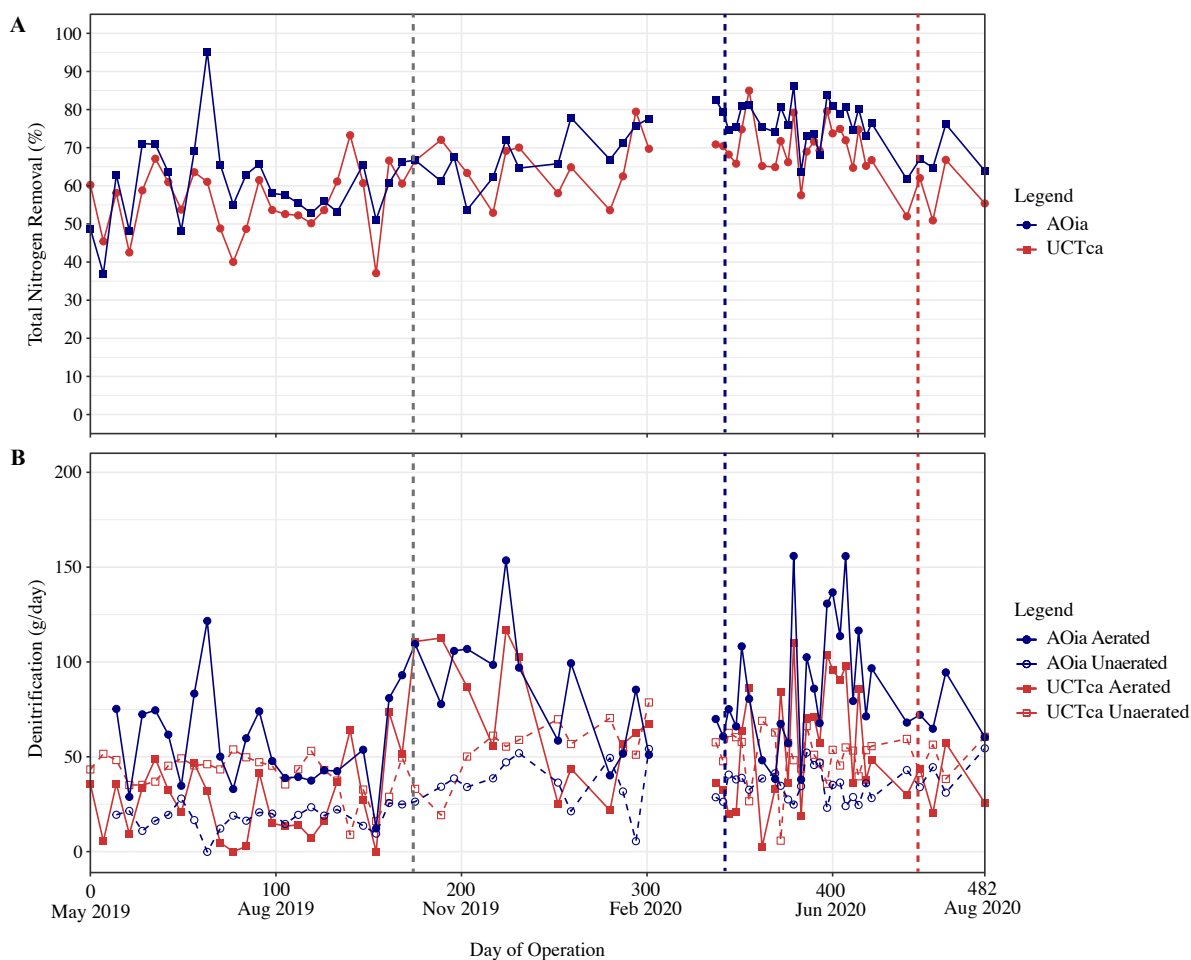


Figure 2-4 Total nitrogen removal efficiency between the two pilot-scale systems. Total nitrogen removal efficiency between the two pilot-scale systems reveals the AOia pilot plant achieves better TN removal than the UCTca pilot plant. Dashed vertical lines indicate the change to variable flow rates in both plants (grey) and configuration changes in AOia (blue) and UCTca (red). (A) Comparison of TN removal efficiency, (B) Comparison of denitrification in un-aerated and aerated zones. Note that the lack of data for 36 days after Feb 2020 was due to the inability to perform nitrite and nitrate these tests due to the covid-19 pandemic.

Biological P removal, which is known not to be affected by low-DO conditions [3], was statistically similar in both plants (**Figure 2-3B**). Influent filtered TP throughout the course of

operation was 3.8 ± 0.7 mg/L. Effluent filtered TP for the AOia and UCTca reactors were statistically similar, at 0.4 ± 0.4 mg TP/L and 0.3 ± 0.3 mg TP/L, respectively ($p = 0.24$).

A final observation regarding nutrient removal is that the implementation of flow variability in the middle of the research period did not appear to negatively affect N or P removal efficiencies. Pairwise comparisons of TKN, TN, and P removal efficiencies before and after implementation of flow variability shows no significant statistical decrease in efficiency after flow variability implementation (**Supplementary Table 2-1**). Rather, the comparisons show either no statistically significant differences, or statistically significant improvements in efficiency. This result is reassuring since most pilot plant research to date does not consider the flow variations in the operation of reactors given the intrinsic complexities of implementing this option.

2.6.2 Oxygen, nitrogen species, and phosphate dynamics during intermittent aeration

Online chemical analysis sensors were used to carry out intermittent ABAC and gather additional high-frequency data in the AOia pilot. A plot of sensor recordings taken from day 273 to day 279 is shown in **Figure 2-5**, which is typical of daily trends after the flow rate was switched to mimic flow changes in the full-scale plant. Several important characteristics of the intermittent ABAC control strategy are depicted in **Figure 2-5**. First, the reported HRT from the sensor control unit represents the fluctuations in flow experienced by the pilot plant including the expected diurnal cycles and sudden flow fluctuations when pumping stations turn on and off (**Figure 2-5A**). Second, the sensor readings for NH_4^+ in tank AO4 show consistent cyclic NH_4^+ concentrations between the setpoints of 2 and 5 mg/L (**Figure 2-5B**), which effectively sets the aeration on/off cycles in tanks AO2, AO3, and AO4. Throughout the entire operation the average DO during air-on periods in tanks AO2, AO3, and AO4 were 0.55, 0.58, 0.64 mgO₂/L,

respectively. The final high-DO polishing tank maintained average DO concentration of 1.78 mg/L throughout the operation. Third, nitrate concentrations fluctuate not only in response to the air-on/off pattern, but also experience diurnal cycles (**Figure 2-5B**), with higher concentrations during the evenings each day. The figure shows that during high loading periods NH_4^+ concentrations remain controlled, but nitrate concentrations tend to increase as the plant spends more time in the air-on mode than the air-off mode. The latter is evident on the slopes and length of the NH_4^+ cycles. During the nitrate peaks, the average durations of air-on and air-off periods were 84 min and 59 min respectively, whereas during the nitrate valleys, the averages were 64 min and 61 min, respectively (**Supplementary Figure 2-4**). Fourth, during the periods of low nitrogen loading into the plant, nitrate concentration can be remarkably low, as observed for day 276. Finally, small fluctuations in nitrite concentration are observable, which increase in magnitude during high loading periods, but in general they remain low throughout the different cycles.

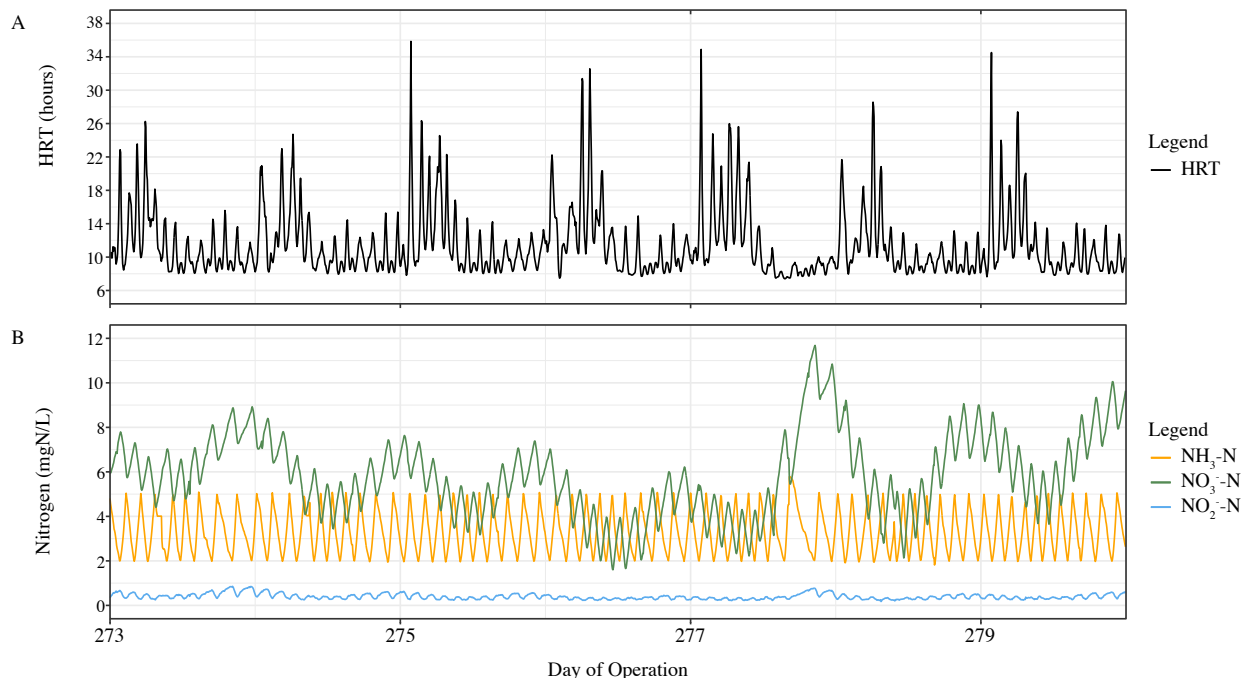


Figure 2-5 Sensor recordings on days 273-279 in the AOia pilot plant. Sensor recordings on days 273-279 in the AOia pilot plant. (A) HRT variation in both pilot plants implemented to mimic flow variability in full-scale plant. (B) Sensor recordings of NH_4^+ , NO_3^- , and NO_2^- in tank AO4 show NH_4^+ oscillates between the allowable limits without a diurnal pattern. NO_3^- fluctuates in response to air-on/air-off periods, diurnal flows, and periods of high and low loadings. Significant NO_2^- accumulation was not observed.

It is noteworthy that the sensor-measured nitrate concentrations in the AOia process exhibited the described superimposed fluctuations. Although these measurements were taken before a continuously aerated tank (i.e., a polishing AO5 tank), some of these fluctuations may carry on and affect effluent characteristics at a level of resolution that was not captured with the schedule used for collecting effluent grab samples. Nevertheless, effluent nitrate concentrations in the AOia plant were consistently lower than the effluent concentrations from the UCTca, especially after implementing the flow variations that mimic flow variations in full-scale. Thus, from a perspective of TN removal, our results show that intermittent ABAC is better than continuous ABAC because the intermittent aeration created the anoxic periods that strongly favored denitrification.

The reduction in aeration requirements during the intermittent ABAC operation can be assessed at a larger scale by calculating the amount of time that the plant was in air-on mode versus air-off throughout the 483-day operation (**Supplementary Figure 2-5**). Overall, the AOia pilot plant spent 42% of the time in the air-off mode (i.e., a cumulative total of 202 days out of 483 days). However, the amount of time spent in air-off mode fluctuated widely throughout the operation, with some periods being as low as 28% of the time, and other periods as high as 61% throughout the operation (**Supplementary Figure 2-5**). Using a standard aeration design guide [22] an estimate of energy savings can be calculated. Under a volume-weighted average DO concentration across all aerated zones measured in the AOia pilot process of 0.6 mg/L and the average time spent in air-on mode, an energy saving of 51% can be realized when compared to a continuously aerated process achieving a DO concentration of 2.0mg/L, the current conditions at Nine Springs Wastewater Treatment Plant. It should be noted that actual energy usage to turn on/off high-power blowers used to provide aeration full-scale is not captured in this estimate, and operating blowers in such a manner may not be practical given constraints of current aeration technology.

We were particularly interested in EBPR performance in the intermittently aerated pilot plant, since the cyclic aeration creates anoxic conditions in a section of the conventional anaerobic/aerobic EBPR process where phosphorus uptake is expected to take place because oxygen is present and can serve as the external electron acceptor. In the absence of a sensor to detect the P concentration dynamics during air-on and air-off periods, we measured PO_4^{3-}P concentrations every 10 minutes during an intermittent ABAC aeration cycle in tank AO2 (**Supplementary Figure 2-6A**). P increases during the air-off periods and decreases during the air-on periods, consistent with P removing activity by PAOs when oxygen is available. Samples

for this test were taken early in the treatment train (tank AO2) to best capture P dynamics since similar measurements in downstream tanks (e.g., AO4) show very low P concentrations (**Supplementary Figure 2-6B**). During the air-on period in tank AO2, P decreased at a rate of 21.7 mgPO₄³⁻-P/L-hr, while P increased at a rate of 16.0 mgPO₄³⁻-P/L-hr during the air-off period. To determine if the observed rate of P increase was due solely to advection or included P release from PAOs during intermittent aeration, the expected rate of P increase due to advection alone was calculated to be 12.9 mgPO₄³⁻-P/L-hr using the monthly average PO₄³⁻-P from the upstream tank (AO1) and the total flowrate (i.e., influent and RAS flowrates). Because the observed P increase during the air-off period was greater than the predicted P increase due to advection, the results provide evidence that cyclic P release and uptake is occurring during the air-on/off cycles of intermittent aeration.

These results do not provide any evidence of denitrification being associated with phosphorus uptake, as would be expected if denitrifying PAO activity was taking place [23]. Instead, the data support the hypothesis that under the rapid air-on/off cycles that are established with intermittent aeration, PAOs may quickly shift to P release during air-off periods. The ability of PAOs to use nitrite or nitrate as the electron acceptor (i.e., denitrifying PAO, DPAO) has been investigated [24-26] and existing literature suggests that some PAO strains are able to use one or both of these nitrogen species as electron acceptors, while other strains lack specific enzymes to perform full denitrification. In an intermittently aerated sequencing batch reactor (SBR) with aerobic/anoxic interval lengths of 10 – 20 minutes, Roots et al [20] reported that DPAO activity was insignificant due to a combination of low nitrite concentrations and DO inhibition. However, with longer anoxic intervals, such as those seen in this study (~ 61 min), others have reported DPAO activity [27]. In the absence of a P sensor, our discrete measurements of P fluctuations

during intermittent aeration in the AOia pilot were not supportive of DPAO activity in the AOia pilot plant. Further analysis of P cycling will be necessary to ascertain any potential role of DPAO activity in the AOia process.

2.6.3 Oxygen and ammonium dynamics during continuous aeration

Online ammonium, NH_4^+ , and DO sensors were used to carry out continuous ABAC aeration in the UCTca pilot. Sensor data trends are shown in **Figure 2-6** for day 273 thru day 279, which is the same period shown in **Figure 2-5** for the AOia plant and typical of daily trends after the flow rate was switched to mimic flow changes in the full-scale plant. Ammonium in the UCT4 tank (**Figure 2-6**), where the sensor was located, fluctuated around the set point of 5.0 mgN/L and was generally stable regardless of the diurnal flow variations (**Figure 2-5A**). In this control system, a DO set point was not selected *a priori* for tanks UCT3 and UCT4. Rather, DO was allowed to fluctuate in the tanks between 0.1 and 0.6 mg/L, in response to the deviation between measured NH_4^+ concentration and the NH_4^+ setpoint. In general, during the entire operation DO concentrations in tank UCT3 and UCT4 averaged 0.38 mg/L and 0.35 mg/L, respectively. The DO concentration in tank UCT5, independently controlled to a setpoint of 0.6 mg/L (day 0-217) and 1.0mg/L (day 218 – 483), exhibited average DO concentrations of 0.73 mg/L throughout the entire operation. Under the average volume-weighted DO concentration experienced in the UCTca pilot of 0.5 mg/L, a 16% in energy savings may be realized when compared with a DO concentration of 2.0 mg/L under continuously aerated conditions.

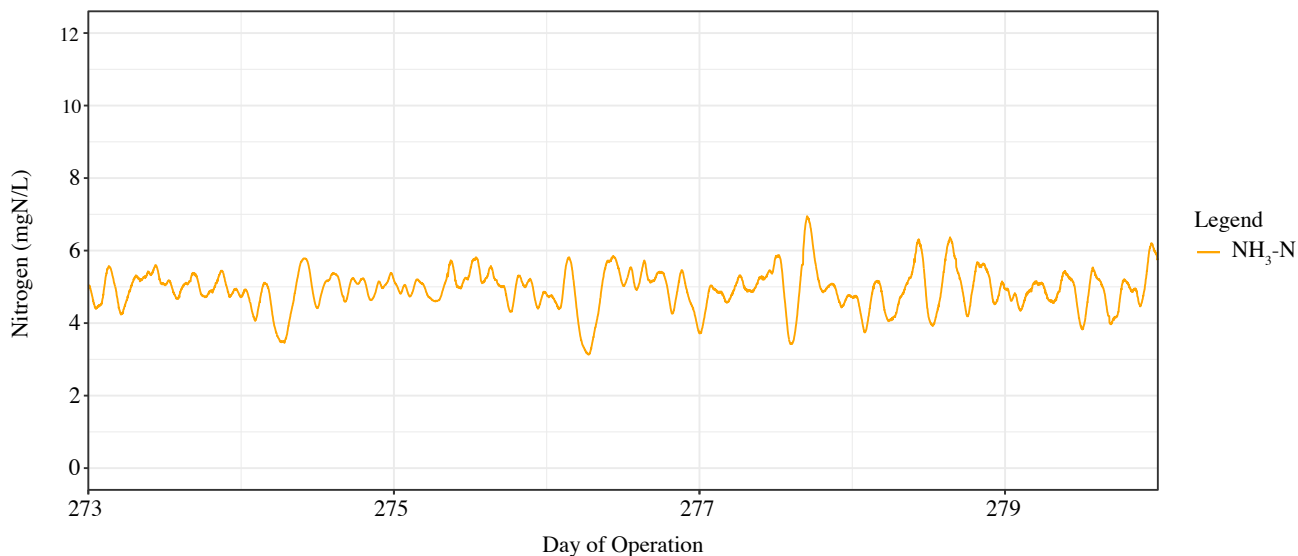


Figure 2-6 Sensor NH₄⁺ recordings on days 273-279 in tank UCT4 of the UCTca pilot plant. Sensor NH₄⁺ recordings on days 273-279 in tank UCT4 of the UCTca pilot plant show that NH₄⁺ was maintained close to the 5 mg/L setpoint.

Sludge settling characteristics

Our prior observations from the UCTca pilot plant operating at low-DO conditions showed that efficient TKN removal is difficult to achieve when the temperature decreases during the winter and the SRT is not preemptively increased (**Supplementary Figure 2-3**), whereas nitrification in the full-scale treatment plant is not affected during winter^[14], likely because it operates with higher DO concentrations. One option that we explored to maintain TKN removal during low temperature conditions was to preemptively increase the SRT to accumulate more nitrifying biomass (Supplementary document). The stepwise SRT changes influenced both the suspended solids concentration and the solids volume index (SVI) (**Figure 2-7**). Although the SRT increase was indeed effective at maintaining nitrification efficiency throughout the winter (**Figure 2-3B**), the SVI in both plants increased at similar rates reaching nearly 300 mL/g in the UCTca pilot and above 400 mL/g in the AOia pilot, exceeding the desired full-scale SVI values of less than 120 mL/g^[28]. This degradation in sludge settling capacity necessitated an increase in

RAS flow rates to much higher values than recommended for activated sludge operation [29] in both pilot plants to prevent biomass loss in the effluent. Foaming on the clarifiers also became a recurrent problem with the high SRT operation. Although, the solids concentrations decreased during the stepwise SRT decrease after the winter period (**Figure 2-7A**), a recovery in SVI was not observed in either plant until the end of the study period, and sludge settleability was worse in the AOia than in the UCTca plant. This limits the applicability of full-scale implementation of the tested stepwise SRT increase, which brought up the SRT to a value as high as 16 days and resulted in total suspended solids concentrations as high as 5,000 mg/L, which are too high for conventional activated sludge processes.

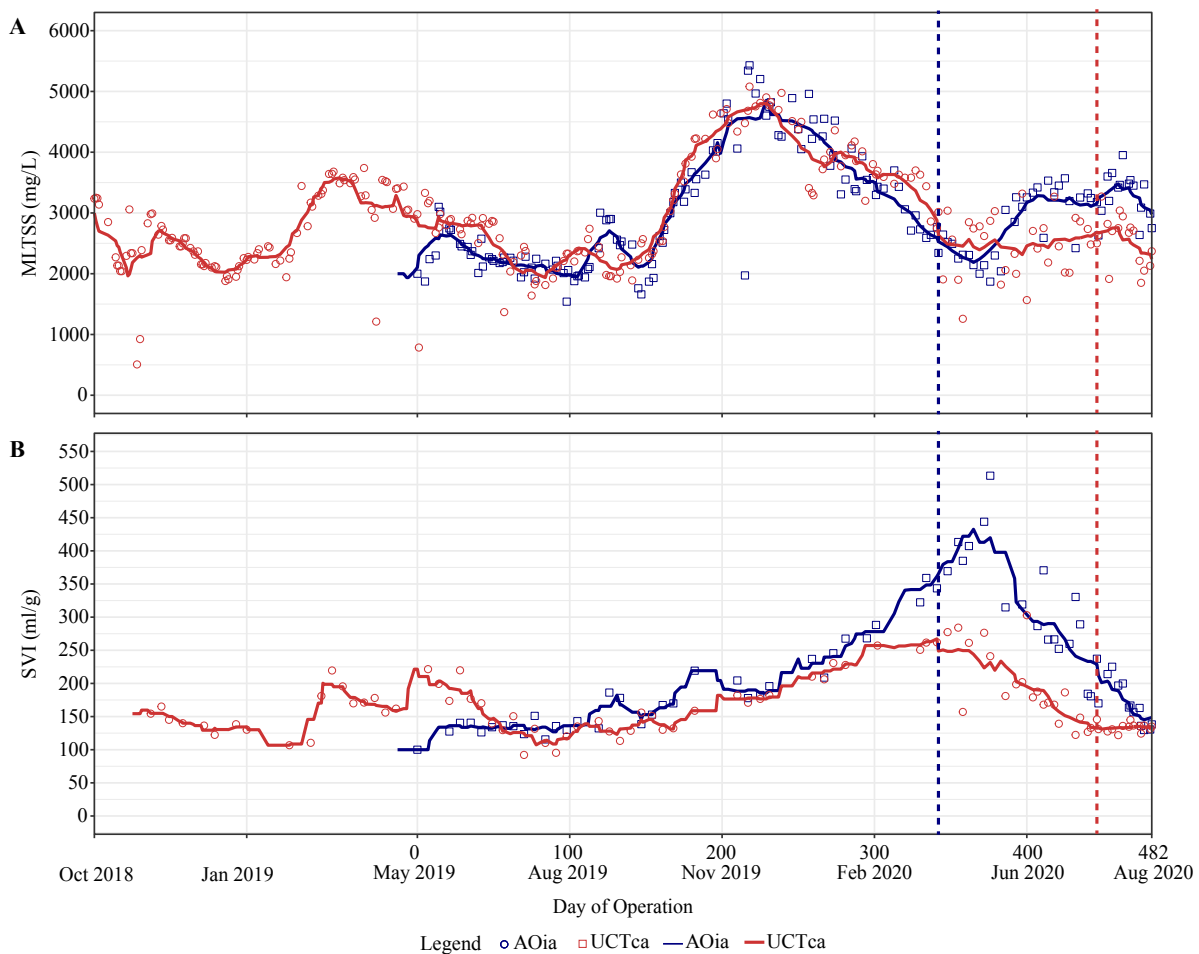


Figure 2-7 Effect of stepwise SRT changes on (A) mixed liquor total suspended solids (MLTSS) concentrations and (B) sludge volume index. Effect of stepwise SRT changes on

(A) mixed liquor total suspended solids (MLTSS) concentrations measured in the last aerated tank in both plants, and (B) sludge volume index (SVI) measured with suspended solids collected from the last aerated tank in both plants. Measurements for the UCTca system are shown since the start of reactor operation in October 2018. The vertical, dotted blue and red lines correspond to configuration changes in the AOia and UCTca systems, respectively.

The challenge of increased SVI has been recognized for operating activated sludge processes under low-DO conditions, which results in SVIs that are generally higher than those in conventional BNR processes [30]. For instance, Han et al. [31] reported SVIs between 120 and 220 mL/g at DO between 0.15 and 0.65 mg/L and Martins et al. [32] reported SVIs over 250 mL/g at $DO < 1.1$ mg/L. The main problem with increased SVI is the requirement for additional surface area of clarifiers, which in practice will require the construction of additional clarifiers when retrofitting a high-DO process into a low-DO process. Alternatively, should SVI levels reach such high values during full-scale operation, other corrective actions may need to be implemented, such as polymer dosing, RAS chlorination, tertiary filtration or selective sludge wasting, that will increase operational costs. Thus, methods to improve sludge settleability through selective sludge wasting [33-35], selector zone design [36], or other factors that may influence dense floc formation and retention during low-DO BNR operation remains an issue that requires further investigation.

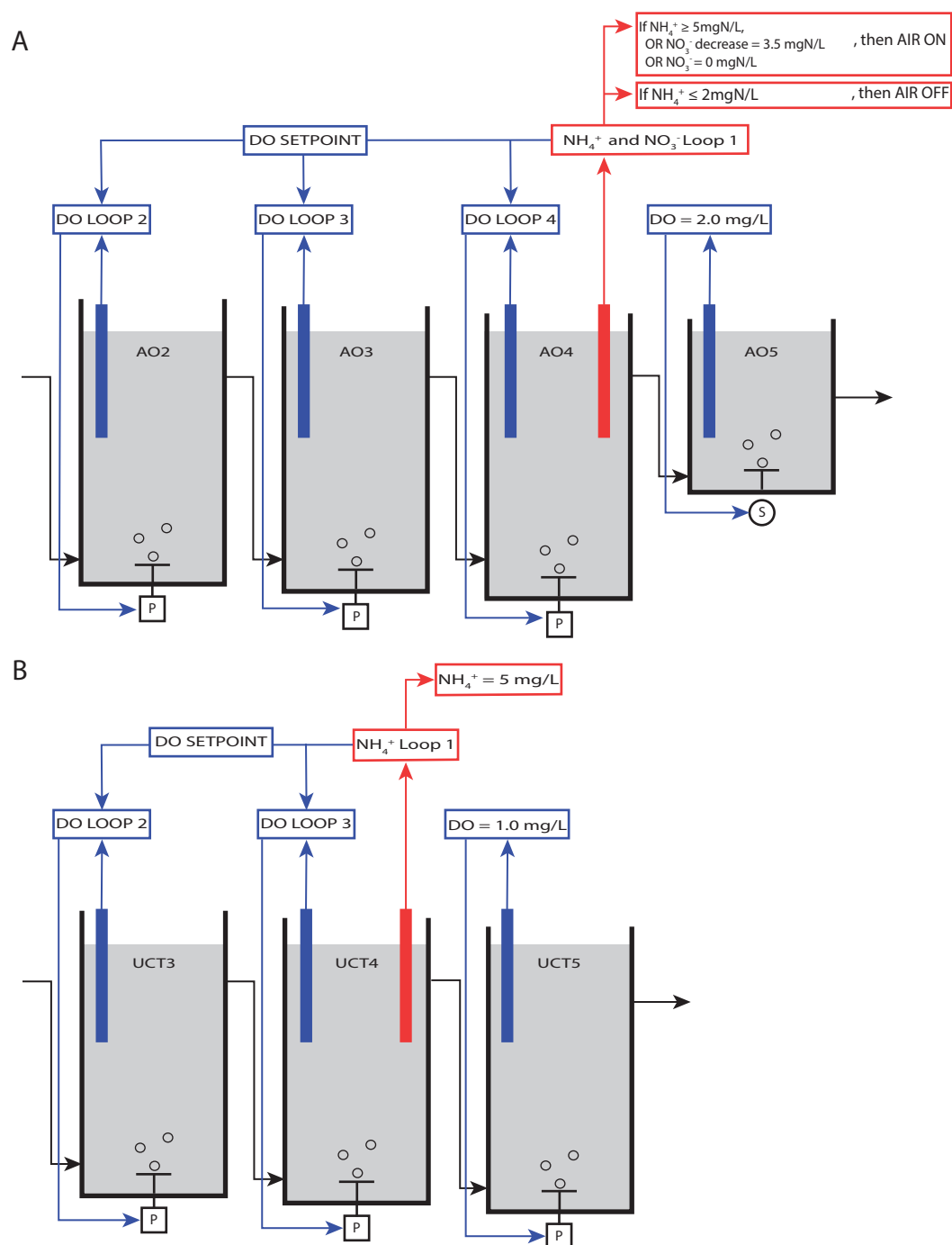
2.7 CONCLUSIONS

- Pilot-scale treatment trains operated using intermittent and continuous ABAC were both effective at ammonia oxidation, total nitrogen removal, and phosphorus removal.
- A statistically significant difference in the nitrification and denitrification performance was observed when comparing both pilot plants, with the AOia plant performing better than the UCTca plant. The location where denitrification occurred was different, with

most denitrification occurring in AOia in the intermittently aerated zones and in UCTca in the unaerated zone.

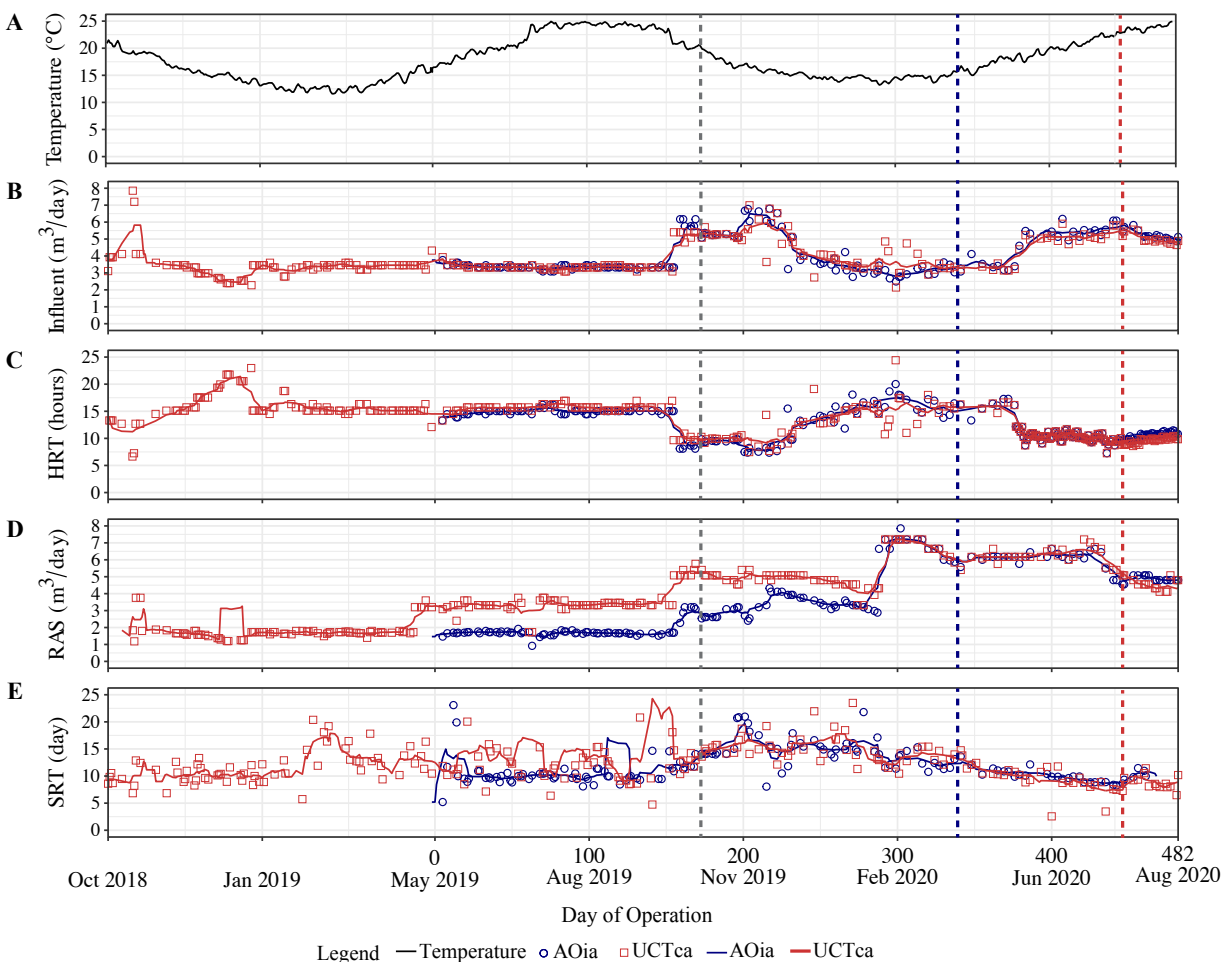
- Intermittent and continuous ABAC were effective at controlling aeration and maintaining the operation of the pilot scale reactors at low-DO conditions and efficient nutrient removal.
- Stepwise increases to SRT proved effective at sustaining nitrification throughout the winter, although the increase led to increased SVI.
- EBPR was not inhibited by continuous or intermittent aeration at low-DO. There was no evidence of DPAO activity under intermittent aeration in the AOia pilot plant.

2.8 SUPPLEMENTARY MATERIAL

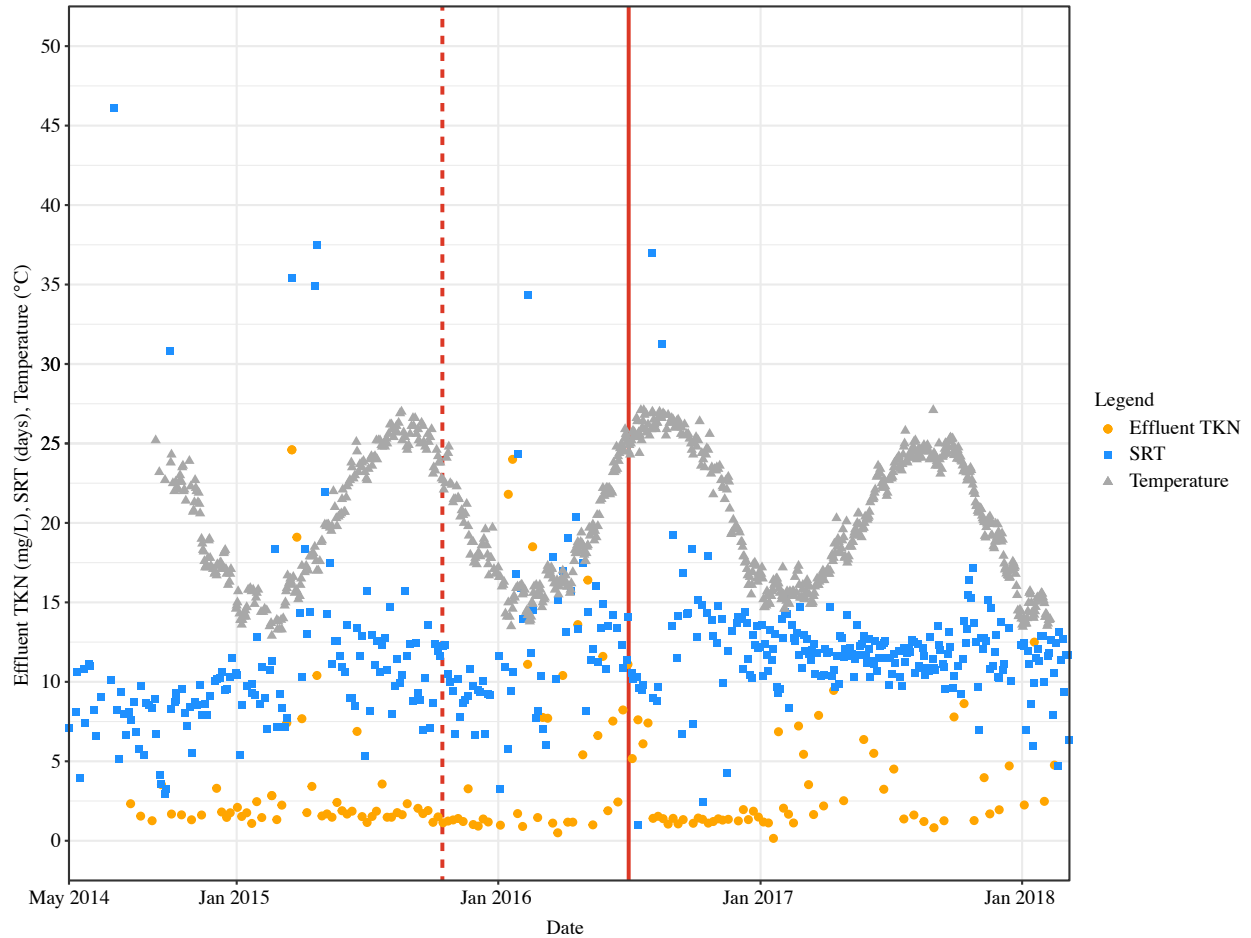


Supplementary Figure 2-1 Aeration control cascading loops in the AOia and UCTca pilot-scale treatment trains. (A) Intermittent aeration control loops within the AOia pilot-plant. Ammonium (NH_4^+) and nitrate (NO_3^-) sensors were mounted in tank AO4. DO sensors (DO) were mounted in tanks AO2, AO3, AO4, and AO5. Tanks AO2, AO3, and AO4 were equipped proportional air valves (P), while air delivery

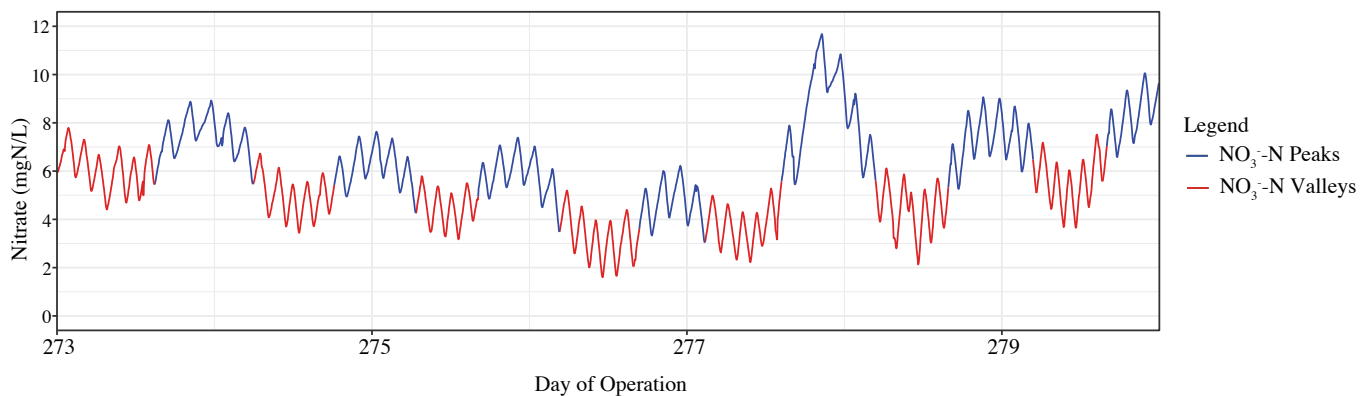
in AO5 was through an on/off solenoid valve (S). (B) Continuous aeration control loops in the UCTca pilot-scale treatment train. Ammonium (NH_4^+) sensors were mounted in tank UCT4. DO sensors (DO) were mounted in tanks UCT3, UCT4, and UCT5. All aerated tanks were equipped with proportional air valves (P).



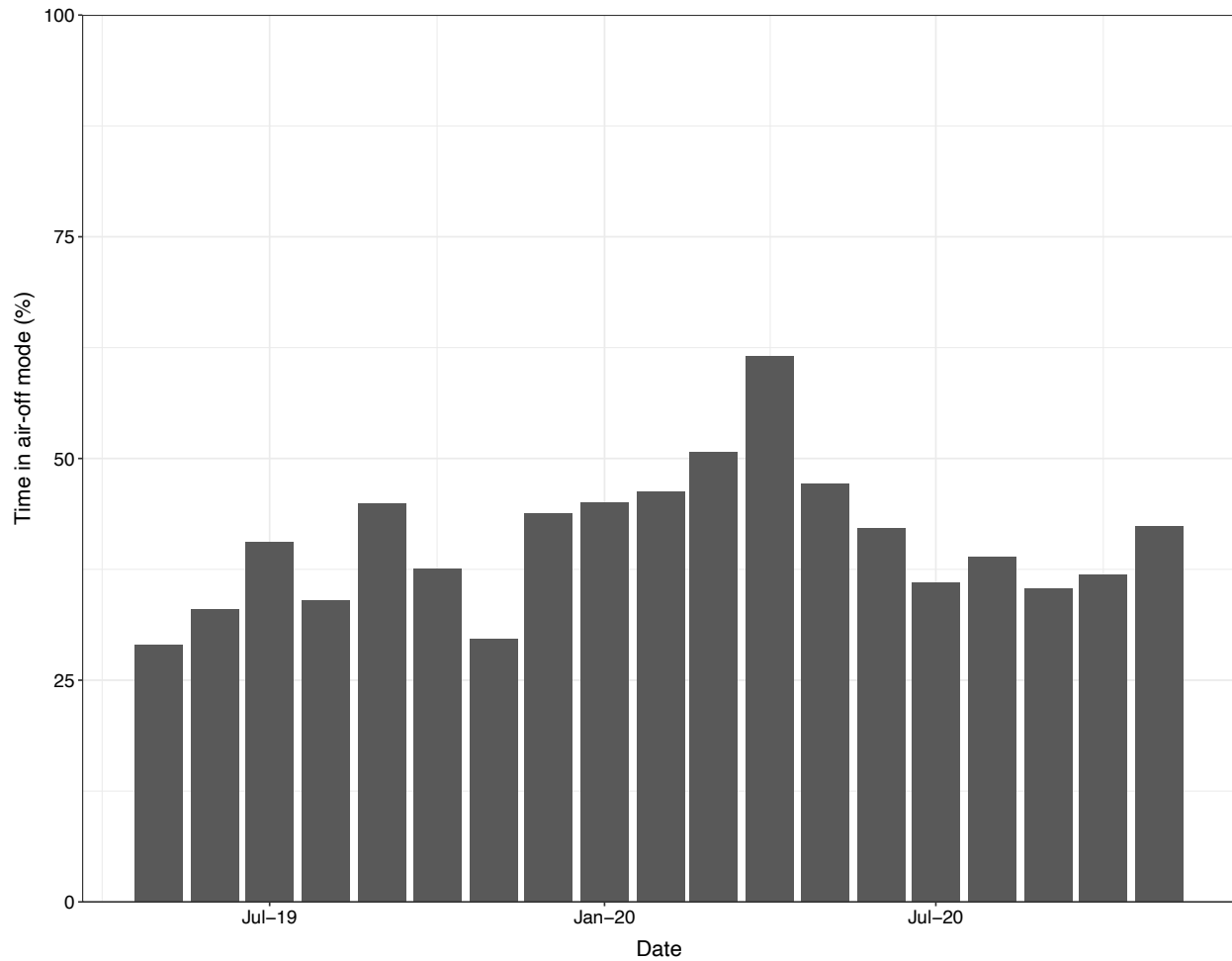
Supplementary Figure 2-2 Biosolids and HRT management in pilot-scale plants. Data from UCTca operation starting in October 2018 and AOia operation starting in May 2019 are shown. The vertical dotted lines indicate when pumps were installed allowing influent flowrates to mimic full-scale flow (grey) and when the reactor configurations were changed in the AOia (blue line) and the UCTca (red line) plants. (A) Water temperature, (B) Influent flowrate, (C) HRT, (D) RAS flowrate, (E) SRT.



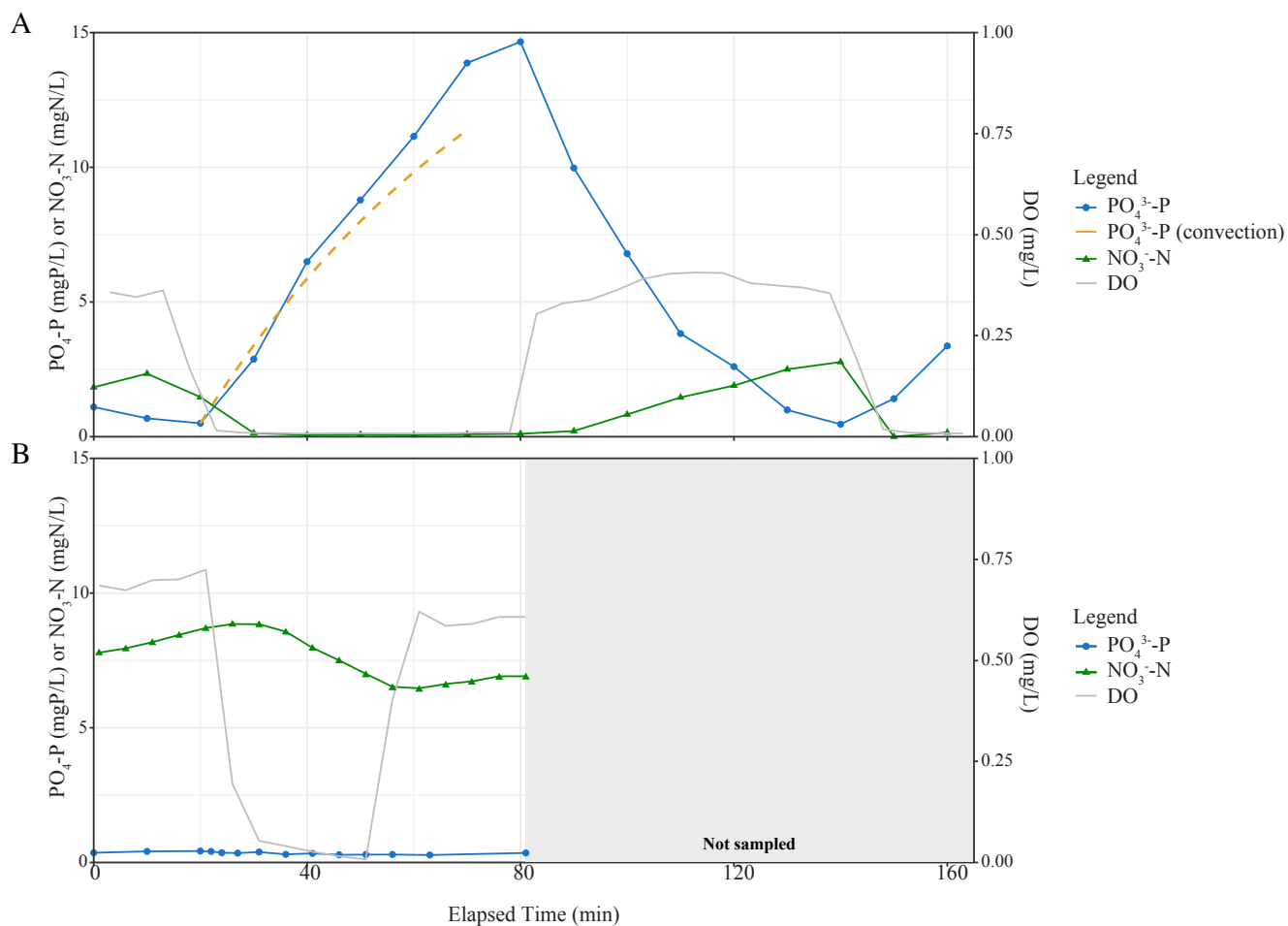
Supplementary Figure 2-3 Historical effluent TKN, SRT, and temperature of previous pilot plant operations at Nine Springs WWTP (Madison, WI) before the reconstruction of the two pilot plants described in this study. Data presented before the dotted red line was collected while the pilot was configured as a modified UCT process without an internal nitrate recycle. This operational period is described in detail in Keene et al., 2017. Data presented between the dotted and solid red lines was collected while the pilot was configured as a Johannesburg process. Data presented after the solid red line was collected when the pilot was reconfigured back into a modified UCT process.



Supplementary Figure 2-4 Nitrate sensor recordings from days 273-279, with nitrate peaks and valleys defined and color coded (nitrate peaks in blue and nitrate valleys in red). During air-off periods nitrate concentrations decrease; during air-on periods nitrate concentrations increase.



Supplementary Figure 2-5 Monthly average of the percent of time the intermittently aerated zones of the AOia pilot system spent in air-off mode. Monthly averages were calculated from datasets at 5-minute intervals.



Supplementary Figure 2-6 In-situ chemical profiles in the AOia pilot during one air-on/air-off cycle. (A) Concentrations of PO_4^{3-} , DO, and NO_3^{-} during one air-on/air-off cycle in tank AO2 of the AOia pilot plant. During the air-off period, PO_4^{3-} increases and NO_3^{-} decreases. The dotted orange line represents the expected PO_4^{3-} increase during the air-off period due to convection alone. During the air-on period, PO_4^{3-} decreases and NO_3^{-} increases. (B) Concentrations of PO_4^{3-} , DO, and NO_3^{-} during one air-on/air-off cycle in tank AO4 of the AOia pilot plant. Due to the low concentrations of PO_4^{3-} towards the end of the treatment train, patterns of increase and decrease are not significantly observed.

Supplementary Table 1 Pairwise comparison of TKN, TP, and TN removal efficiency before and after the installation of the variable speed influent pump on day 175.

	AOia			UCTca		
	Before	After	p-value	Before	After	p-value
TKN	94%	95%	0.0038	91%	94%	5.5e-07
TP	87%	91%	0.0015	92%	90%	0.72
TN	60%	72%	1.6e-05	56%	67%	4.4e-06

2.9 ACKNOWLEDGMENTS

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3 Nitrous oxide (N₂O) measurements in pilot-scale plants operated under low dissolved oxygen conditions

This chapter has been submitted to the Madison Metropolitan Sewerage District (MMSD) as apart of the UW-Madison – MMSD cooperative agreement with the following authors:

Rachel D. Stewart, Carly Amstadt, Lillian Glackin, Daniel R. Noguera

Author Contributions:

RDS and DRN developed the research plan. RDS, CA, and LG operated the pilot-scale plants and collected samples. RDS performed data analyses. RDS and DRN wrote the manuscript.

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3.1 INTRODUCTION

Nitrous oxide (N_2O) is a potent greenhouse gas (GHG) that can be emitted from wastewater treatment plants (WWTPs) during biological nitrogen (N) removal. According to the US EPA, N_2O emissions from WWTPs account for 6% of total N_2O emissions that are due to human activity [1]. N_2O is ~300 times more potent as a GHG than CO_2 , and according to some estimates, N_2O emissions can account for up to 83% of the entire carbon footprint of a WWTP [2, 3]. As WWTPs strive to meet stricter treatment standards and at the same time decrease energy consumption and carbon footprint, strategies to understand and mitigate N_2O emissions during the biological nutrient removal process at WWTPs are gaining worldwide attention.

Most N_2O is generated through the biologically mediated nitrification and denitrification steps of N removal (**Figure 3-1**). Autotrophic ammonia oxidizing bacteria (AOB), which carry out the first step of nitrification (the oxidation of ammonia, NH_3 , to nitrite, NO_2^-) can generate N_2O through the incomplete oxidation of hydroxylamine (NH_2OH), an obligatory intermediate. AOBs can also sequentially reduce NO_2^- to nitric oxide (NO) and finally to N_2O , a process that has been termed nitrifier denitrification. Similarly, N_2O is an intermediate of heterotrophic denitrification which is the sequential reduction of nitrate (NO_3^-) or NO_2^- to nitrogen gas (N_2). Each of these three N_2O generation pathways and emissions are affected by a number of operational and environmental conditions that can act simultaneously in a system.

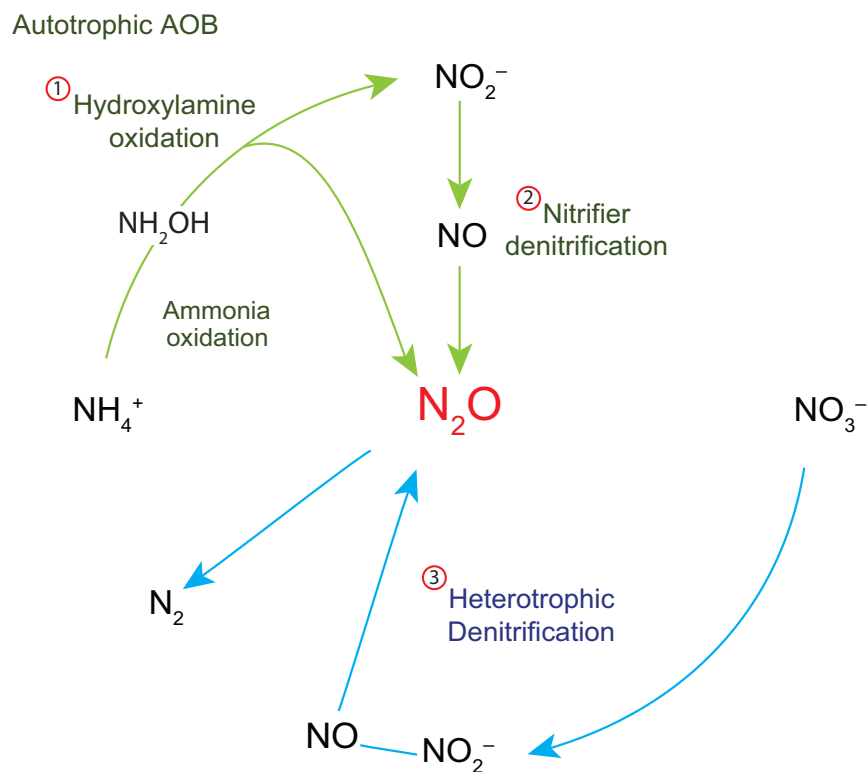


Figure 3-1 Biologically mediated nitrous oxide (N₂O) production pathways Biologically mediated nitrous oxide (N₂O) production pathways via (1) Incomplete oxidation of hydroxylamine (NH₂OH) and (2) nitrifier denitrification by autotrophic ammonia oxidizing bacteria (AOB) or (3) heterotrophic denitrification.

Dissolved oxygen (DO) is an important operational parameter as it affects facility costs, nitrification efficiency, and N₂O emissions. In the UW-Madison – MMSD collaboration, the use of low-DO in aerated sections of the treatment train has been studied at pilot scale [4-10]. When DO is limited, AOBs may produce N₂O through the nitrifier denitrification pathway where NO₂⁻ and NO are reduced by electrons generated from NH₃ oxidation [11-13]. Conversely, high DO conditions can promote N₂O production through the hydroxylamine oxidation pathway as increased ammonia oxidation rates cause hydroxylamine accumulation and incomplete oxidation [11, 14, 15]. While N₂O production is obligatory through heterotrophic denitrification, accumulation of N₂O is experienced through incomplete denitrification which can be induced under low carbon to N conditions or oxygen inhibition [16-18]. On the other hand, if undisturbed, heterotrophic denitrification serves as

a means for N₂O consumption. Most studies on the effect of DO on N₂O emissions have been carried out for short periods of time (e.g., batch experiments or 1-day sampling campaigns), measuring short-term response to variations in DO [11, 19-22].

The N₂O emission factor (EF) is defined as the amount of N₂O emitted relative to the N-load entering the treatment plant. The EF in a WWTP is typically less than 2%, but EFs as high as 25% have been reported [19, 22-24]. It is suggested that the wide range of reported EFs is due to variations in DO, NH₃, and NO₂⁻ concentrations, as well as reactor configuration, operational conditions, and sampling methods [25, 26]. As WWTPs seek to implement energy-efficient treatment methods, such as low-DO operation, an understanding of immediate and long-term N₂O formation and emission throughout varying environmental and operational conditions is necessary. Given the potential connection between N₂O emissions and low-DO operation, we evaluated N₂O emissions in two pilot-scale plant operations. Here we report the experiments conducted and the methods used to calculate N₂O emissions based on measured N₂O concentrations.

3.2 METHODS

3.2.1 Pilot-scale plant operations

Two pilot-scale treatment trains were operated in parallel at the Nine Springs WWTP (Madison, WI). The pilot plants were configured as anoxic-oxic (AO) processes simulating the plug flow conditions of the full-scale plant (**Figures 3-2 and 3-3**). Each pilot plant consisted of three 140-L unaerated tanks that simulated the anoxic zone, three 473-L aerated tanks that simulated the oxic zone, and a final 95-L polishing tank operated with high DO. The total volume of the activated sludge process was 1,934-L. A secondary clarifier followed the final aerated tank and separated the microbial culture from the treated water. The two pilots differed in 3 important ways: (i) the secondary clarifier volumes (ii) the inclusion of a return activated sludge (RAS)

fermentation zone in one of the systems, and (iii) the method of activated sludge wasting. The final two differentiations led to the naming designation of the two pilots: AO-G, for wasting by gravity separation, and AO-FF, for wasting through floc filtering and presence of a fermentation zone. The AO-G (**Figure 3-2**) was equipped with a 1,210-L secondary clarifier, whereas the AO-FF (**Figure 3-3**) was equipped with a 1,010-L clarifier. Both plants were seeded with activated sludge from the full-scale plant in June 2021. Both plants received primary effluent from the full-scale plant as the influent stream. The influent flow was automatically controlled via a variable speed pump to mimic real-time changes in influent flow experienced at full-scale. During the entire operation, average daily influent flow rates ranged from 2.8 to 4.2 m³/day corresponding to an average hydraulic retention time (HRT) of 12.9 ± 1 hours. In the AO-G pilot, 100% of RAS flow was returned to the first unaerated tank at an average of 2.2 m³/day. In the AO-FF, 90% of RAS was returned to the first unaerated tank at an average of 2.2 m³/day and 10% was sent to a 140-L fermentation reactor, at an average flow rate of 0.22 m³/day (**Figure 3-3**). The effluent from the fermentation reactor was sent to the first unaerated tank. Additional details of the operation and performance of these pilot plants were reported elsewhere [4].

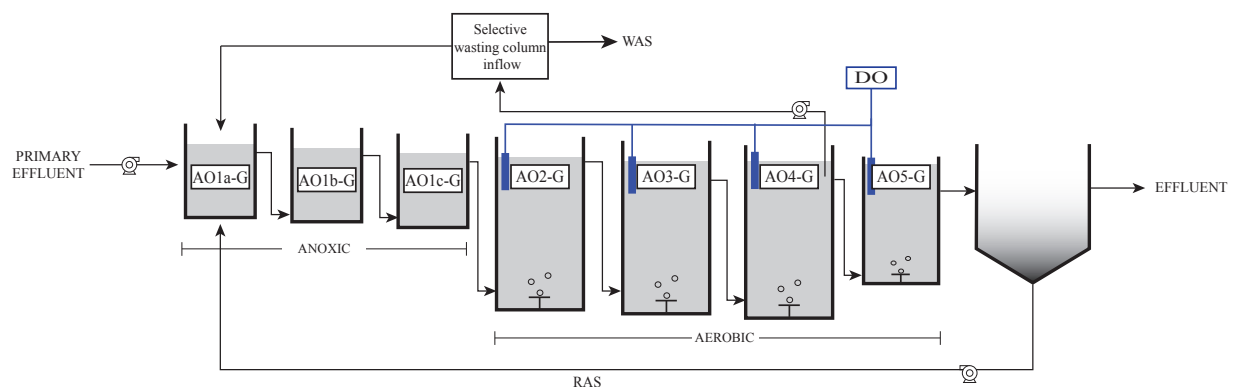


Figure 3-2 AO-G pilot-scale treatment train configuration. The AO-G pilot-scale treatment train configuration showing unaerated tanks (AO1a-G, AO1b-G, AO1c-G), aerated tanks (AO2-G, AO3-G, AO4-G, AO5-G), and the clarifier. Return activated sludge (RAS) was pumped from the bottom of the clarifier into the first unaerated tank. Selective activated sludge wasting was

implemented using a gravity-based floc density separator. Waste activated sludge (WAS) was pumped from the selector. Stepwise reductions in DO were made in tanks AO2-G, AO3-G, and AO4-G, while tank AO5-G was operated as a high-DO polishing tank. DO sensors were installed in each of the aerated tanks.

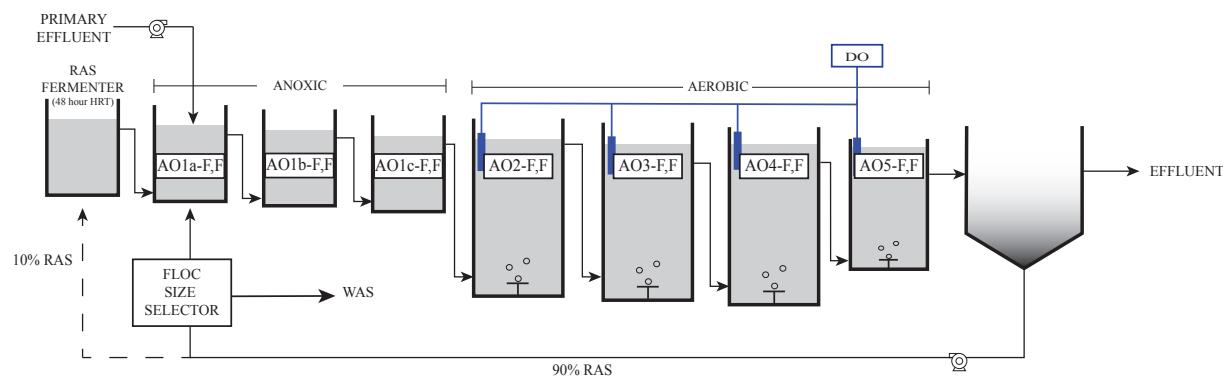


Figure 3-3 AO-FF pilot-scale treatment train configuration. The AO-FF pilot-scale treatment train configuration showing the return activated sludge fermentation tank (RAS Fermenter), unaerated tanks (AO1a-FF, AO1b-FF, AO1c-FF), aerated tanks (AO2-FF, AO3-FF, AO4-FF, AO5-FF), and the clarifier. RAS was pumped from the bottom of the clarifier into the floc size selector. Waste activated sludge (WAS) was pumped from the size selector. 90% of RAS flow from the size selector was pumped into the first unaerated tank, and 10% of RAS flow was pumped into the RAS fermenter. DO sensors were installed in only the first three aerated tanks which were subjected to the stepwise reductions in DO. AO5-G was operated as a high-polishing DO tank. DO sensors were installed in each of the aerated tanks.

3.2.2 Solids retention time (SRT) control

The pilot plants were operated with target SRTs between 9 and 10 days. The SRT management plan throughout the operation is listed in Table 3-1. Activated sludge was wasted in the AO-G pilot via a gravity-based selective wasting column, where dense flocs were separated from light flocs. Mixed liquor from tank AO4-G (**Figure 3-2**) was pumped directly to the selective wasting column. This column was operated as a sequencing batch reactor (SBR) with a 4.4-L working volume. The operational sequence was as follows: 3-min fill phase of mixed liquor from tank AO4-G, 2-min settle phase, 1-min decant phase, and 4-min return phase. During the decant phase the top volume of the SBR, including mixed liquor that did not settle fast, was wasted from the system. SRT was controlled by determining the volume that needed to be wasted in this phase, based on measured mixed liquor solids concentrations in the pilot plant tanks, in the decanted

mixed liquor, and in the effluent of the pilot plant ^[4]. This wasted volume fluctuated between 0 and 2 L/cycle. During the return phase, the mixed liquor remaining in the SBR was returned to the first unaerated tank (**Figure 3-2**).

Table 3-1 SRT management throughout operation of both pilot-scale plants.

Dates	Target SRT (days)	Average Measured SRT (days)	
		AO-G	AO-FF
5/24/21 – 6/9/21	10	10.9	9.9
6/10/21 – 1/9/22	9	9.2	11.4
1/10/22 – 3/27/22	10	9.5	12.1
3/28/22 – 4/3/22	9.5	13.0	8.7
4/4/22 – 10/10/22	9	8.3	10.7

An in-line RAS filtering apparatus was used in the AO-FF pilot to facilitate wastage of small, activated sludge flocs from the RAS stream. Flocs passing through a 50 mesh (297- μ m) filter were pumped from the apparatus and removed from the system, whereas the larger flocs were returned to the first unaerated tank (**Figure 3-3**). SRT was controlled by adjusting the flow rate of the sludge wasted out of this size selector apparatus based on measured mixed liquor solids concentrations in the pilot plant tanks, in the waste stream, and in the pilot plant effluent ^[4].

3.2.3 DO control

During this phase of pilot-scale testing, the team was evaluating the effect of selective wasting and DO decreases on mixed liquor settleability ^[4]. Accordingly, stepwise decreases in DO were carried out as listed in Table 3-2. Adjustments to DO were only implemented in the middle three aerated tanks of each pilot plant. DO setpoints were maintained using DO sensors and proportional-integral (PI) control systems using control systems reported elsewhere (Stewart et al., 2021). Airflow to each of these tanks was supplied through a motorized proportional air valve that adjusted position according to the PI control. All tanks in the AO-G pilot plant, and only tank

AO4-FF in the AO-FF pilot plant, were equipped with rotameters to measure the instantaneous airflow rate. Aeration to the polishing tank in each pilot was controlled through an on/off air solenoid valve set to maintain at least a DO concentration of 3 mg/L.

Table 3-2 DO control phases throughout operation of both pilot-scale plants.

DO operational phase	Dates	DO setpoint (mg/L)		
		AO2	AO3	AO4
Phase 1 (Full-scale conditions)	5/21/21 – 8/31/21	0.4	0.8	3.5
Phase 2	9/1/21 – 10/5/21	0.4	0.8	2.0
Phase 3	10/6/21 – 11/28/21	0.4	0.8	1.5
Phase 4	11/29/21 – 4/11/22	0.4	0.8	1.0
Phase 5	4/12/22 – 6/9/22	0.3	0.6	0.8
Phase 6	6/10/22 – 10/10/22	0.2	0.3	0.5

3.2.4 Analytical Methods

TKN, NO₃⁻, and NO₂⁻: Filtered influent, effluent, and RAS grab samples were collected and analyzed for total Kjeldahl nitrogen (TKN) using Standard Methods. These samples were also analyzed for NO₃⁻ and NO₂⁻ using HACH test kits. TKN measurements were performed by the MMSD laboratory, whereas NO₃⁻ and NO₂⁻ measurements were done on site by UW-Madison personnel.

N₂O: Instantaneous in-situ measurements of dissolved N₂O were taken primarily in the aerated tanks using a Unisense N₂O Clark-type microsensor (N₂O-R, Unisense A/S, Denmark). The same sensor was used to estimate gaseous N₂O concentrations by measuring N₂O concentrations in liquid set to reach equilibrium with the off-gas coming out of the aerated tanks and using Henry's Law (0.025 mol/L-bar at 20 °C). The setup consisted of an inverted funnel that was placed on the water surface of each tank, making a seal so that only off-gas was entering the funnel (**Figure 3-4**). Off-gas trapped in the inverted funnel was pumped, using a peristaltic pump,

into a diffuser stone placed in a graduated cylinder containing 200 mL of water. The N₂O sensor was placed in this water and a dissolved N₂O measurement was recorded.

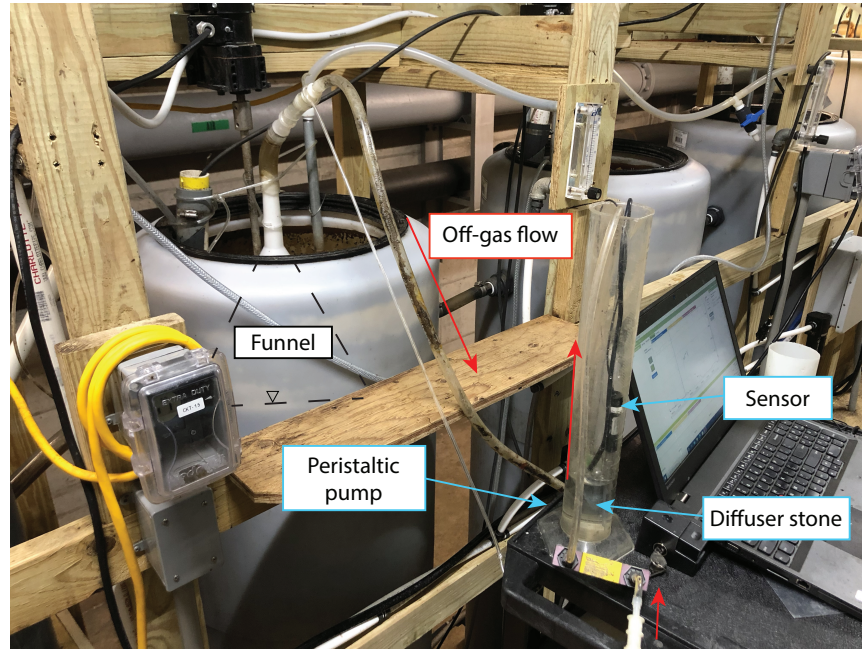


Figure 3-4 Air pumping set up for measurement of N₂O gaseous emissions measurements.

$$P_{N_2O} = \left(\frac{C_{N_2O}}{K_{N_2O}} \right) * 0.987 \frac{atm}{bar}$$

P_{N_2O} : partial pressure N₂O (atm)
 C_{N_2O} : concentration N₂O (M)
 K_{N_2O} : Henry's law constant for solubility in water at 298.15 K (0.025 mol L⁻¹ bar⁻¹) [27] Eqn 1

$$[N_2O] = \frac{P_{N_2O}}{R * T}$$

$[N_2O]$: molar gas concentration of N₂O (M)
 R : Ideal gas constant (0.08205 L atm mol⁻¹ K⁻¹)
 T : temperature 298.15 K Eqn 2

$$ER = [N_2O] * LPD * \left(2 \frac{mol N}{mol N_2} \right) * MW_N$$

ER : emission rate (gN₂O-N/day)
 LPD : airflow rate (L/d)
 MW_N : Molecular weight of N (14 g/mol) Eqn 3

$$TN = (TKN)_{inf} * Q_{inf}$$

TN : total N load (gN/day)
 $(TKN)_{inf}$: influent TKN (g/L)
 Q_{inf} : influent flowrate (L/day) Eqn 4

$$EF = \frac{ER}{TN} * 100$$

EF : emission factor (%) Eqn 5

Using Henry's Law, the partial pressure of N₂O in the off-gas was calculated using Eqn 1. The partial pressure was then converted to a molar concentration using the Ideal Gas Law, according to Eqn 2. The airflow rate into each tank was used to calculate the N₂O mass emitted per day, according to Eqn 3. The airflow rate into the third aerated tank, AO4, of each pilot was recorded from a rotameter at the time of the N₂O measurement. Since some of the tanks did not have rotameters installed, a 2-hr average airflow rate into the first two tanks of each pilot, AO2 and AO3, was estimated based on the proportional air valve position at the time of the measurements. For this, a regression analysis of proportional air valve positions to measured airflow rates was performed. Finally, an EF could be calculated according to Eqn 5 using the total N load (Eqn 4) to the first unaerated tank in each pilot.

3.3 RESULTS

3.3.1 Nitrification performance

It has been previously demonstrated that biological N removal systems could be successfully transitioned to low-DO treatment using a stepwise DO reduction strategy [7]. This observation was reproduced in the pilot plant operations used in this research [4]. Additionally, we have shown that an appropriate SRT management plan to account for varying water temperatures aides in complete nitrification at low-DO^[10]. In this iteration of pilot-scale operations influent filtered TKN was 46 ± 6.6 mgN/L. The AO-G and AO-FF pilots achieved an average effluent TKN of 2.6 ± 3.6 and 4.2 ± 6.6 mgN/L, respectively. The TKN removal performance during each DO phase is shown in Table 3-3. While brief upsets in performance were experienced at the start of new DO phases, lasting at longest 6 weeks, stable nitrification was regained over time.

Effluent NO₃⁻ throughout the entire operation in the AO-G and AO-FF pilots was 15.9 ± 4.1 and 14.8 ± 5.8 mgN/L, respectively. While complete nitrification was experienced for most of

the operation for both pilots, NO_2^- did accumulate at the start of DO phases 5 and 6 (**Figure 3-5 and Figure 3-6**). NO_2^- accumulation was also experienced for 5 weeks during an infestation of bristle worms in both pilots (**Figure 3-7**). This period of infestation was more prevalent in the AO-G pilot and lasted for about 2 months. Coincidentally, the NO_2^- accumulation was more severe in the AO-G pilot. Larvicide (AQUABACxt, Aquafix, Madison, WI) was added to the mixed liquor of both pilots to control the bristle worm infestation.

Table 3-3 Average nitrification performance in each pilot throughout each DO operational phase.

DO Phase (DO concentration range; mg/L)	Average Effluent TKN (mgN/L)	
	AO-G	AO-FF
1 (0.4 – 3.5)	1.46	1.54
2 (0.4 – 2.0)	1.73	1.51
3 (0.4 – 1.5)	1.39	1.31
4 (0.4 – 1.0)	2.10	1.65
5 (0.3 – 0.8)	6.54	3.94
6 (0.2 – 0.5)	2.84	13.25

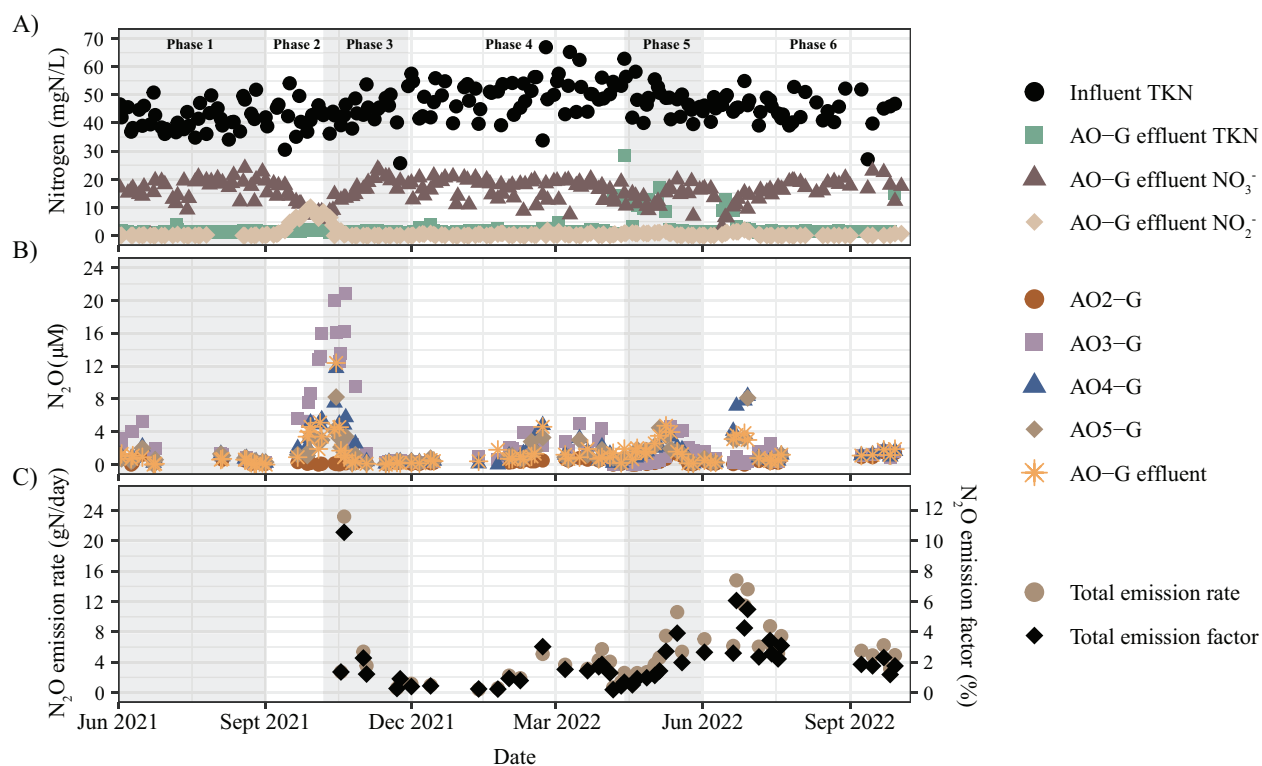


Figure 3-5 Nitrogen removal in the AO-G pilot.(A) nitrification performance (B) dissolved N_2O (B) N_2O emissions. DO phases are noted in panel

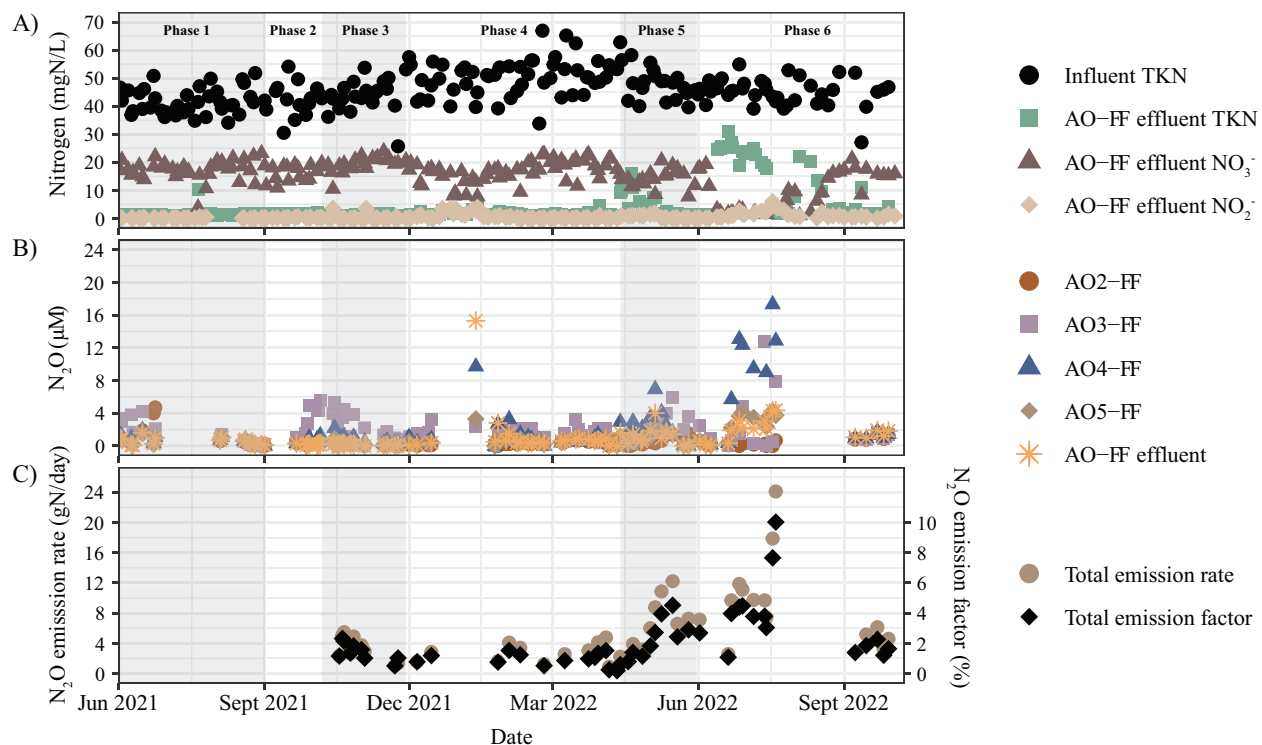


Figure 3-6 Nitrogen removal in the AO-FF pilot. (A) nitrification performance (B) dissolved N_2O (C) N_2O emissions. DO phases are noted in panel.

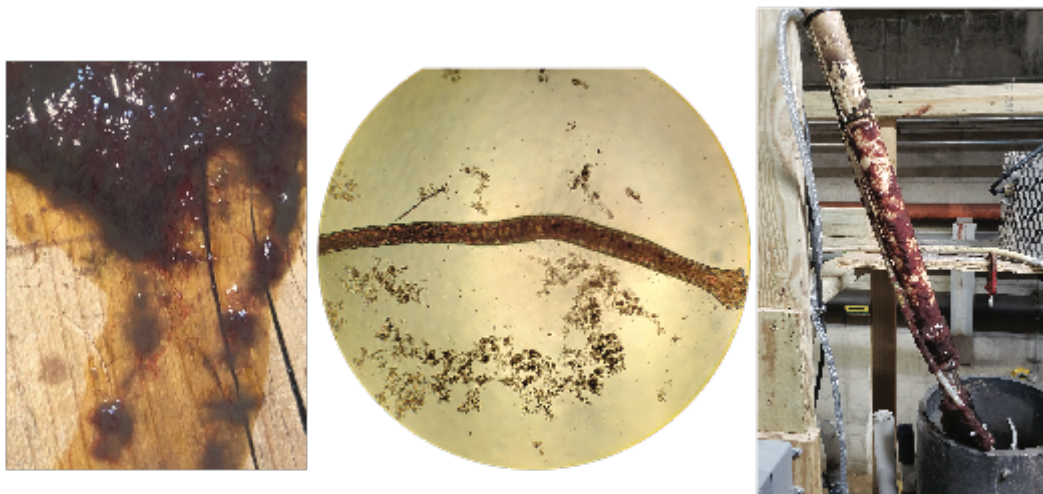


Figure 3-7 Bristle worms that were found in the mixed liquor and attached to reactor surfaces. During the bristle worm infestation in the mixed liquor, nitrite accumulation and an increase in N_2O production was also observed.

3.3.2 Total nitrogen removal and SND

Using a mass balance approach, we estimated the extent of SND by calculating the difference between total nitrogen in the final unaerated tank and the total nitrogen in the effluent. The extent of SND during each DO phase is shown in Table 3-4. Total nitrogen removal efficiency was similar between both pilots throughout the operation, at $58 \pm 9\%$ and $57 \pm 11\%$ in the AO-G and AO-FF pilot, respectively (**Figure 3-8**).

Table 3-4 Extent of SND in each pilot during each DO phase.

DO Phase	AO-G		AO-FF	
	SND (gN/day)	%SND	SND (gN/day)	%SND
1	72	52	80	55
2	69	50	93	60
3	70	52	82	53
4	86	57	96	60
5	94	55	109	59
6	92	59	115	61

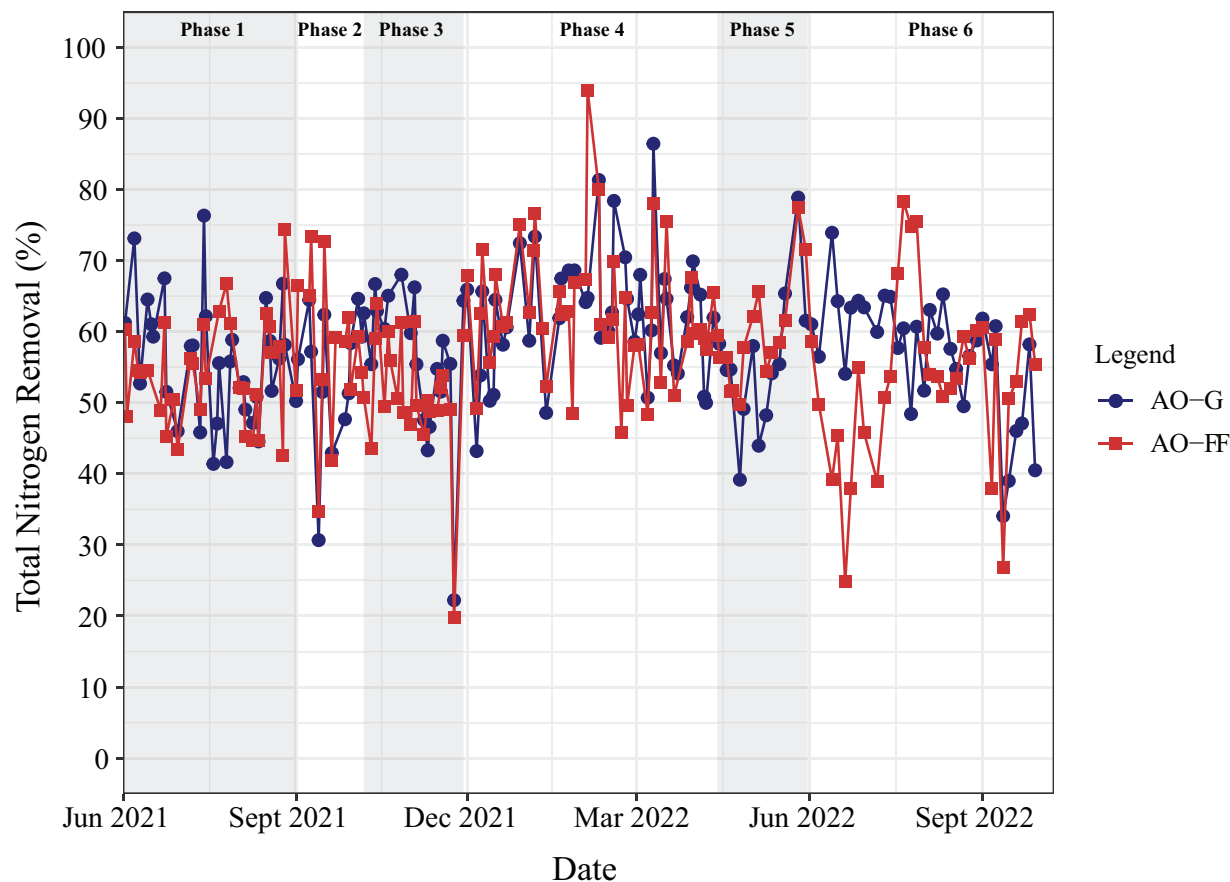


Figure 3-8 Total nitrogen removal of both pilot plants throughout the entire operation.

3.3.3 N_2O production and emissions

Dissolved N_2O and gaseous emissions are shown in Figures 3-5 and 3-6, and individual tank measurements are summarized in Table 3-5. The averages of dissolved N_2O concentrations in tanks AO2-G, AO3-G, AO4-G, AO5-G and the effluent were 0.4, 3.7, 2.0, 1.5, and 1.5 μM respectively throughout the entire operation. These measurements were 0.48, 2.2, 2.0, 0.88, and 1.0 μM in the AO-FF pilot, respectively. Average gaseous emissions rates throughout the operation from the three middle aerated tanks in the AO-G pilot were 0.66, 1.87, and 1.50 $\text{gN}_2\text{O-N/day}$, respectively, whereas those of the AO-FF pilot were 0.62, 2.39, and 2.84 $\text{gN}_2\text{O-N/day}$, respectively.

Since the three aerated tanks in each of the pilot plants had different aeration rates, we evaluated whether the dissolved N_2O concentrations in these tanks were correlated with N_2O emission rates. A correlation was indeed found, with excursions in the N_2O concentrations generally corresponding to higher emission rates (**Figure 3-9**).

Table 3-5 Average dissolved concentrations and emission rates of N_2O in the individual tanks of each pilot plant throughout operation.

Tank	AO-G		AO-FF	
	Dissolved N_2O^+	Emission Rate*	Dissolved N_2O^+	Emission Rate*
AO2	0.39 ± 0.38	0.69 ± 0.44	0.51 ± 0.72	0.62 ± 0.44
AO3	3.25 ± 4.69	1.87 ± 2.34	2.10 ± 1.94	2.39 ± 1.97
AO4	1.93 ± 2.21	1.50 ± 1.44	1.97 ± 3.19	2.84 ± 2.98
AO5	1.49 ± 1.52	-	0.87 ± 0.98	-

⁺: Dissolved N_2O concentration (μM)

^{*}: N_2O emission rate (g N_2O -N/day)

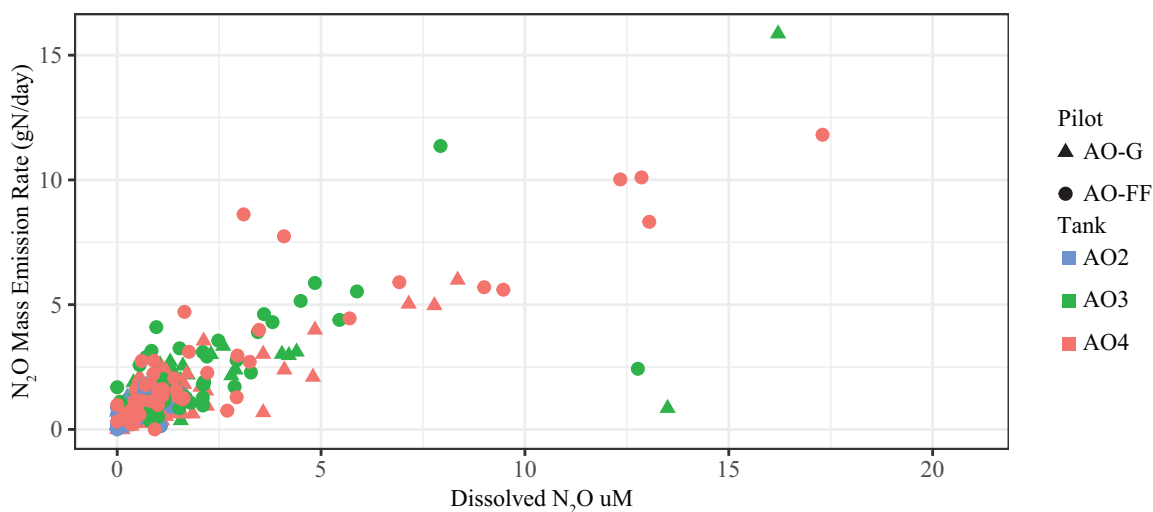


Figure 3-9 Dissolved N_2O versus the N_2O emission rate in each aerated tank of both pilot plants.

Combining the emission rates estimated for each aerated tank we estimated an overall EF for each pilot plant (Eqn 4). The average EF throughout the operation were $2.55 \pm 2.37\%$ and $3.54 \pm 2.88\%$ in the AO-G and AO-FF pilot plants, respectively. The average EF in either pilot during each DO phase is shown in Table 3-6. The largest production and emission of N_2O in the AO-G

pilot was during the period of high NO_2^- accumulation and bristle worm infestation. This period corresponded to a N_2O emission rate as high as 20.37 $\text{gN}_2\text{O-N/day}$ and EF of 14.57%. The spike in N_2O , although to a much lesser extent, was also observed in the AO-FF pilot during the same time period, corresponding to a N_2O emission rate as high as 7.24 $\text{gN}_2\text{O-N/L}$ and an EF of 4.44%. Following the disappearance of the bristle worms and decrease in NO_2^- , dissolved and emitted N_2O decreased. The highest emissions observed in the AO-FF pilot was during the beginning of DO phase 6, at 10.62% and 16.37 $\text{gN}_2\text{O-N/day}$ when nitrification performance was negatively impacted due to the implemented DO reduction, as discussed below.

Table 3-6 Average emission factor from each pilot during each DO phase.

DO Phase (DO concentration range; mg/L)	Average Emission Factor (%N-load)	
	AO-G	AO-FF
1 (0.4 – 3.5)	-	-
2 (0.4 – 2.0)	-	-
3 (0.4 – 1.5)	3.92 ± 5.33 (n = 6)	2.65 ± 0.59 (n = 9)
4 (0.4 – 1.0)	1.59 ± 2.37 (n = 14)	1.72 ± 0.44 (n = 13)
5 (0.3 – 0.8)	1.82 ± 1.30 (n = 10)	3.53 ± 1.26 (n = 11)
6 (0.2 – 0.5)	3.45 ± 1.19 (n = 14)	5.81 ± 2.56 (n = 14)

Increases in N_2O were observed following reductions in DO setpoints, particularly during DO phases 5 and 6. During DO phase 5 in the AO-G pilot an increase in dissolved N_2O concentrations and in gaseous emissions was experienced as early as 8 days after the DO reduction. During this phase, dissolved N_2O concentrations in each tank increased moving along the treatment train and peaked in the pilot plant effluent, which reached N_2O concentrations as high as 4.73 μM during the N_2O spike. However, as the dissolved N_2O concentrations began to decrease, the concentration gradient nearly flipped and was highest in AO3-G, measuring at 0.69 μM at the end of phase 5. Dissolved N_2O concentrations decreased in all tanks and in the effluent to less than 1 μM just before the start of DO phase 6. The N_2O emissions followed a similar trend, with the emission rate and EF peaking at 7.80 $\text{gN}_2\text{O-N/day}$ and 4.53% during DO phase 5. A return

to steady state was not observed in these two parameters before the next DO phase. During DO phase 5, the AO-FF pilot experienced an increase in N₂O production as well. Dissolved concentrations were highest in AO4-FF during the increasing period, while concentrations were highest in AO3-FF during the decreasing period. A return to a steady state concentration of less than 1 μM was only observed in all sampling locations before the start of DO phase 6. Emissions in the AO-FF pilot increased to 11.37 gN₂O-N/day and EF of 6.60%. Following the switch to DO phase 6, N₂O formation and emissions again increased in both pilots. Dissolved N₂O was highest in the second aerated tank of both pilots, reaching 8.4 and 17.3 μM in tanks AO4-G and AO4-F,F, respectively. The emission rate and EF in the AO-G pilot reached 9.10 gN₂O-N/day and 5.86%, respectively. One month after the switch to DO Phase 6, the highest N₂O emissions were observed in the AO-FF, as mentioned previously. Dissolved N₂O began to decrease in all measured locations after 4 weeks in the AO-G pilot, while a consistent decrease was observed 3 months after the start of DO phase 6 in the AO-FF pilot. In the final month of DO phase 6, the average EF from the AO-G and AO-FF pilots were $2.50 \pm 0.59\%$ and $2.72 \pm 0.77\%$, respectively.

3.4 DISCUSSION

Energy optimization during the biological wastewater treatment process is necessary in achieving energy neutral and even energy positive facilities. Reducing the DO is seen as a primary solution to reducing the energy consumption at a WWTP. However, the trade-off between aeration reductions and N₂O emissions must be minimized to realize energy efficient and sustainable treatment. In this study we aimed to understand how lowering the DO during biological nutrient removal would impact N₂O production and emission immediately following a DO reduction, as well as its long-term significance.

3.4.1 N₂O emissions immediately following DO reductions and during performance upsets

DO is often recognized as an important parameter affecting N₂O production, particularly in AOB. For instance, a microbial community performing ammonia oxidation and enriched under high DO conditions has been shown to increase N₂O emissions through the nitrifier denitrification pathway upon exposure to low-DO^[28]. Significant reductions in ammonia oxidation and increases in N₂O emissions following DO reductions were not observed in either pilot, until DO phases 5 and 6. During these periods, nitrification performance was poor and accumulation of NO₂⁻ was observed. Nitrite accumulation reached as high as 6.0 mgNO₂⁻-N/L in either pilot following the switch to DO phases 5 and 6. Under limiting oxygen conditions AOBs can reduce NO₂⁻ to NO and NO to N₂O. Effluent NO₂⁻ concentrations greater than 0.5 mgN/L correlated significantly with increases in EF (**Figure 3-9**), which is in agreement with a previous study that examined the combined effect of low-DO and increasing NO₂⁻ concentrations^[20]. Nitrifier denitrification is suspected to be the dominant pathway leading to N₂O production under high NO₂⁻ conditions as ammonia oxidizers use NO₂⁻ as an electron acceptor from ammonia oxidation.

At effluent NO₂⁻ concentrations less than 0.5mgN/L there was a wide variation in the EF across different DO phases (**Figure 3-10**). At the start of DO phase 3, the bristle worms were beginning to disappear and NO₂⁻ was decreasing, however, dissolved N₂O and presumably emissions were still increasing. It is likely we captured the N₂O emission peak during the bristle worm infestation in both pilots as measurements were collected when the dissolved N₂O concentrations were at a peak. It is unclear whether nitrifier denitrification is the dominant source of N₂O formation during this time. Another possible explanation for this result is either a delayed decrease in N₂O production after NO₂⁻ decreases or that another metabolic pathway leads to N₂O

emissions at low NO_2^- concentrations. This same delay in decreasing N_2O emissions following decreased NO_2^- was experienced in a full-scale A²O process [29]. Here, the authors hypothesize other complex factors may influence microbial physiological properties such as cell membrane permeability and subsequent release of N_2O across the cell.

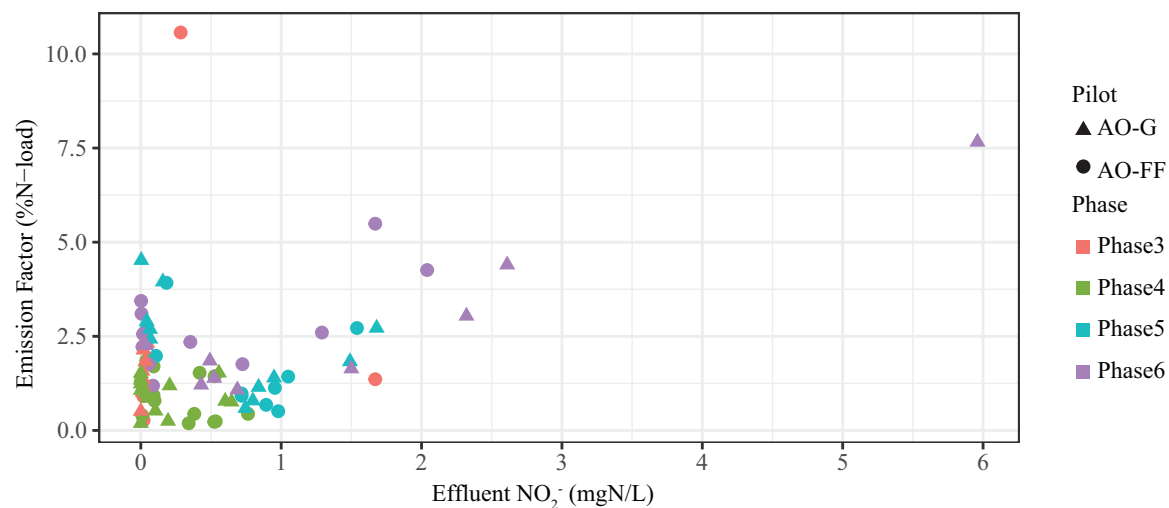


Figure 3-10 Effluent NO_2^- versus the emission factor (EF) in each of the pilot plants across DO phases 3-6 shows NO_2^- accumulation may correlate with increased EF. However, at very low NO_2^- concentrations other factors are responsible for increased emissions.

While we were unable to collect dissolved and gaseous emissions during the end of December and most of January, a minimal upset in nitrification was observed in the AO-FF pilot where effluent TKN increased to 3.32 mgN/L and NO_2^- increased to 3.5 mgN/L. This period was observed about one month after the switch to DO phase 4 and when water temperatures are nearing the coldest of the year. It is likely during this time N_2O emissions were increased due to the increased effluent NO_2^- (**Figure 3-10**).

3.4.2 Long-term impact of low-DO on N_2O emissions

An increase in N_2O production and emission is expected under dramatic shifts in operating conditions, particularly reductions in DO. However, a microbial adaptation or a shift in the microbial community members may result in decreased N_2O emissions after prolonged exposure to low-DO [28, 30].

Recently, Vasilaki et al. (2019) conducted a review on N₂O monitoring campaigns from full-scale WWTPs and other mainstream processes. The review included a wide range of reactor configurations and operation, including AO, A²O, conventional activated sludge, modified Ludzack-Ettinger, oxidation ditch, sequencing batch reactor, partial nitrification/partial nitrification-anammox, and other full-scale processes. We used this database as a benchmark to compare our results with full-scale N₂O emissions. The review reports that generally, full-scale EFs range between 0.01 and 2% of the incoming nitrogen load for most treatment configurations. Significant deviations from this are partial nitrification/partial nitrification-anammox and sequencing batch reactor systems. In this study only during DO phase 4 for both pilots and phase 5 for the AO-G pilot, does the average EF fall within what has been reported for various processes. The increased EF in both pilots outside of these phases are likely due to schedule reductions in DO and uncontrollable process upsets (i.e., the bristle worm infestation). An improved N₂O monitoring campaign (e.g., sampling frequency) and longer DO phases may be needed to better capture the dynamics of N₂O emissions in response to stepwise DO decreases, as well as the apparent return to lower emissions upon long-term stable operation at low-DO. For instance, while the average EF for the entirety of phase 6 in the AO-FF pilot is relatively increased, during the final month of operation in this phase the average EF is lower than the average EF during the entirety of DO phases 5 and 6, suggesting an acclimation of the microbial community to low-DO conditions.

3.5 CONCLUSIONS

- Stepwise reductions in DO result in immediate increases in N₂O production and emissions.
- N₂O emissions decrease after long-term operation at low-DO.

- Periods with NO_2^- accumulation result in greater N_2O emissions.
- A comparison of N_2O emissions estimated from the pilot plants to N_2O emissions observed in the full-scale treatment process at the Nine Springs facility is recommended.

3.6 ACKNOWLEDGMENTS

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4 Metagenomic Analysis of the *Candidatus* Accumulibacter Genus in Biological Nutrient Removal (BNR) Pilot-Scale Plants Operated with Minimal Aeration

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RDS, KDM, and DRN developed the research plan. RDS collected samples for sequencing. RDS and KM performed sequencing analyses. RDS, KDM, DRN contributed to data analyses and wrote the manuscript with input from all authors.

4.1 ABSTRACT

Increasingly more wastewater treatment facilities are considering reduced aeration as a means to decrease operational costs and energy consumption. While successful biological nutrient removal (BNR) has been demonstrated in systems with minimal aeration, an understanding of the microbial community dynamics under these conditions, particularly outside of lab-scale reactors, is needed. *Candidatus Accumulibacter* is widely studied as a polyphosphate accumulating organism (PAO) in wastewater treatment facilities performing enhanced biological phosphorus removal (EBPR). *Accumulibacter* abundance and activity have been demonstrated in low dissolved oxygen (DO) systems, and it has been suggested that ecological niche-differentiating features or adaptations may explain the coexistence of particular species. Here, we investigate the *Accumulibacter* community diversity and dynamics in pilot-scale treatment trains performing BNR under low-DO conditions using genome-resolved metagenomics and metatranscriptomics. We assembled two improved *Accumulibacter* species representative genomes and five potentially novel *Accumulibacter* species representative genomes, one of which we identify as a novel *Accumulibacter* clade (IIJ). Using the *Accumulibacter* genomes assembled in this study and previously published genomes, we show that the *Accumulibacter* community is highly heterogeneous and dynamic within the low-DO systems supporting evidence that most members of this lineage have a high affinity for oxygen. An examination of the denitrification potential within the *Accumulibacter* lineage revealed differing nitrogenous reduction capabilities suggesting that the coexistence of several species is due to niche differentiating roles which overall contribute to BNR.

4.2 IMPORTANCE

Candidatus Accumulibacter is often found in conventional full-scale wastewater treatment facilities contributing to phosphorus removal. It is often proposed that environmental conditions, such as dissolved oxygen, contribute to the Accumulibacter population structure. Similarly, the ability to perform various steps within the denitrification pathway are species dependent and may influence the Accumulibacter species present in low dissolved oxygen biological nutrient removal systems where nitrate/nitrite may serve as an alternative electron acceptor as opposed to oxygen. Using genome-resolved metagenomics and metatranscriptomics, we show that multiple species with varying capacities to denitrify exist within pilot-scale nutrient removal systems operating under low dissolved oxygen. Our results add to existing knowledge of the intra-population diversity of these organisms in minimally aerated systems, particularly outside of highly controlled lab-scale reactors.

4.3 KEY WORDS

Accumulibacter, enhanced biological phosphorus removal, low dissolved oxygen, denitrification, metagenomics, metatranscriptomics

4.4 INTRODUCTION

Enhanced biological phosphorus removal (EBPR) is a critical process for excess phosphorus (P) removal from wastewater and helps prevent eutrophication of water bodies receiving the treated effluent ^[1]. EBPR is achieved through organisms known as polyphosphate accumulating organisms (PAOs) that can intracellularly store polyphosphate. “*Candidatus Accumulibacter*”, commonly referred to as *Accumulibacter*, affiliated with the *Rhodocyclaceae* family in *Proteobacteria* has been identified as a PAO commonly found in EBPR systems ^[2]. *Accumulibacter* possesses the ability to anaerobically generate internal energy and reducing equivalents through use of intracellularly stored polyphosphate and glycogen. This energy is used to take up volatile fatty acids (VFAs) and convert them to internally stored polyhydroxyalkanoate (PHA). Aerobically, *Accumulibacter* uses the stored PHA as an energy source for growth and to replenish the intracellularly stored polyphosphate and glycogen ^[3]. Under these cyclic anaerobic-aerobic conditions, net P removal from the bulk liquid is achieved ^[4].

A key aspect in the design of biological nutrient removal (BNR) treatment plants is the optimization of the anaerobic and aerobic environments that favor *Accumulibacter* activity ^[5]. However, as BNR treatment plant designs expand towards more energy efficient alternatives, such as operation with minimal or no aeration ^[6-8], the cycles of anaerobic and aerobic conditions often deviate from those initially considered optimal for *Accumulibacter*. Some members of the *Accumulibacter* lineage have been shown to thrive on anaerobic and aerobic cycles in which the dissolved oxygen (DO) is severely limited ^[9]. Others thrive under conditions in which the aerobic zone is replaced by an anoxic zone where oxidized nitrogen species serve as the electron acceptor ^[10, 11]. PAOs exhibiting P uptake under anoxic conditions have been termed denitrifying PAOs

(DPAOs). The adaptation of *Accumulibacter* species to these different environments illustrates the diversity of the lineage, with respect to both phylogeny and function [12, 13].

The *Accumulibacter* lineage has been traditionally subdivided, using the polyphosphate kinase (*ppkI*) gene as a phylogenetic marker, into two types (I and II) and several clades (IA-IH and IIA-III) [9, 14-16]. A recent metagenome-based evaluation of the *Accumulibacter* lineage confirmed the *ppkI*-based classification as a good choice to resolve *Accumulibacter* phylogeny, as it mirrors genome-based phylogeny [12]. However, the *ppkI* sequence differences do not necessarily reflect phenotypic and ecological variations amongst the clades or sub-clades [12, 17]. Particularly, the expected ability to couple P uptake to denitrification is not resolved by *ppkI* differences. Although it was initially suggested that nitrogen metabolism was a key ecological differentiator of *Accumulibacter* types and clades [18, 19], inspection of *Accumulibacter* metagenome assembled genomes (MAGs) has shown an inconsistent distribution of genes involved in the denitrification process [12]. In general, four steps are involved in the reduction of nitrate (NO_3^-) to nitrogen gas (N_2), with nitrate reductase (*narGHI* or *napAB*) reducing NO_3^- to nitrite (NO_2^-), nitrite reductase (*nirS* or *nirK*) reducing NO_2^- to nitric oxide (NO), nitric oxide reductase (*norBC* or *norZ*) reducing NO to nitrous oxide (N_2O), and nitrous oxide reductase (*nosZ*) reducing N_2O to N_2 [20]. Genes within the denitrification pathway have been identified as core genes in several genomes within the *Accumulibacter* lineage. For example, the *nirS* gene has been identified as a core *Accumulibacter* gene in the entire *Accumulibacter* genus, whereas *napAB* and *nosZ* have been identified as core genes to Type I *Accumulibacter* [21]. Yet, the presence or absence of other genes in the denitrification pathway is not uniform in all genomes of a particular clade [12], negating the use of the *ppkI*-based taxonomic classification as predictors of DPAO capability. The importance of DPAO activity and correctly identifying PAOs that can denitrify becomes important

in activated sludge systems operated with aerated zones having low-DO concentrations, where P removal can be accomplished by either PAOs with high affinity for oxygen [22] or by DPAOs that could also contribute to N removal from the wastewater [23-25].

We are interested in elucidating the role that different members of the *Accumulibacter* lineage play in P removal in BNR processes operated with minimal aeration, and here we describe a metagenome-based analysis of the *Accumulibacter* populations in pilot-scale systems treating primary effluent and subjected to the typical variations in water temperature, flow rates, and loadings that are experienced at full-scale. *Accumulibacter* MAGs obtained from samples collected during four separate long-term pilot plant experiments were used in combination with the growing list of publicly available and nearly complete, high-quality *Accumulibacter* MAGs [12] to assess their relative abundance during different stages of pilot plant operation. A recent metagenome-based analysis of the *Accumulibacter* lineage proposed the subdivision of the *Candidatus Accumulibacter* genus into several new species that mirrors the *ppk1*-based classification system [12]. Here we adopt the new species designations to refer to the distinct members of the *Accumulibacter* lineage, while retaining useful features of the *ppk1*-based classification.

4.5 METHODS

4.5.1 Operation of pilot-scale plants

Samples from four instances of pilot-scale plant experiments were used in this study (**Figure 4-1**). In all four experiments, the objective was to evaluate BNR performance when oxygen availability was reduced, compared to aeration conditions used in typical full-scale operation. In all cases, treatment trains were operated at the Nine Springs WWTP (Madison, WI)

and mimicked flow rate variations experienced in the full-scale process. Water temperature was not controlled and followed the same trends experienced in the full-scale process. All pilot plants treated primary effluent produced by the full-scale operation. In one set of experiments, two pilot plants were operated for 731 days. In a second set of experiments, two pilot plants were operated for 505 days.

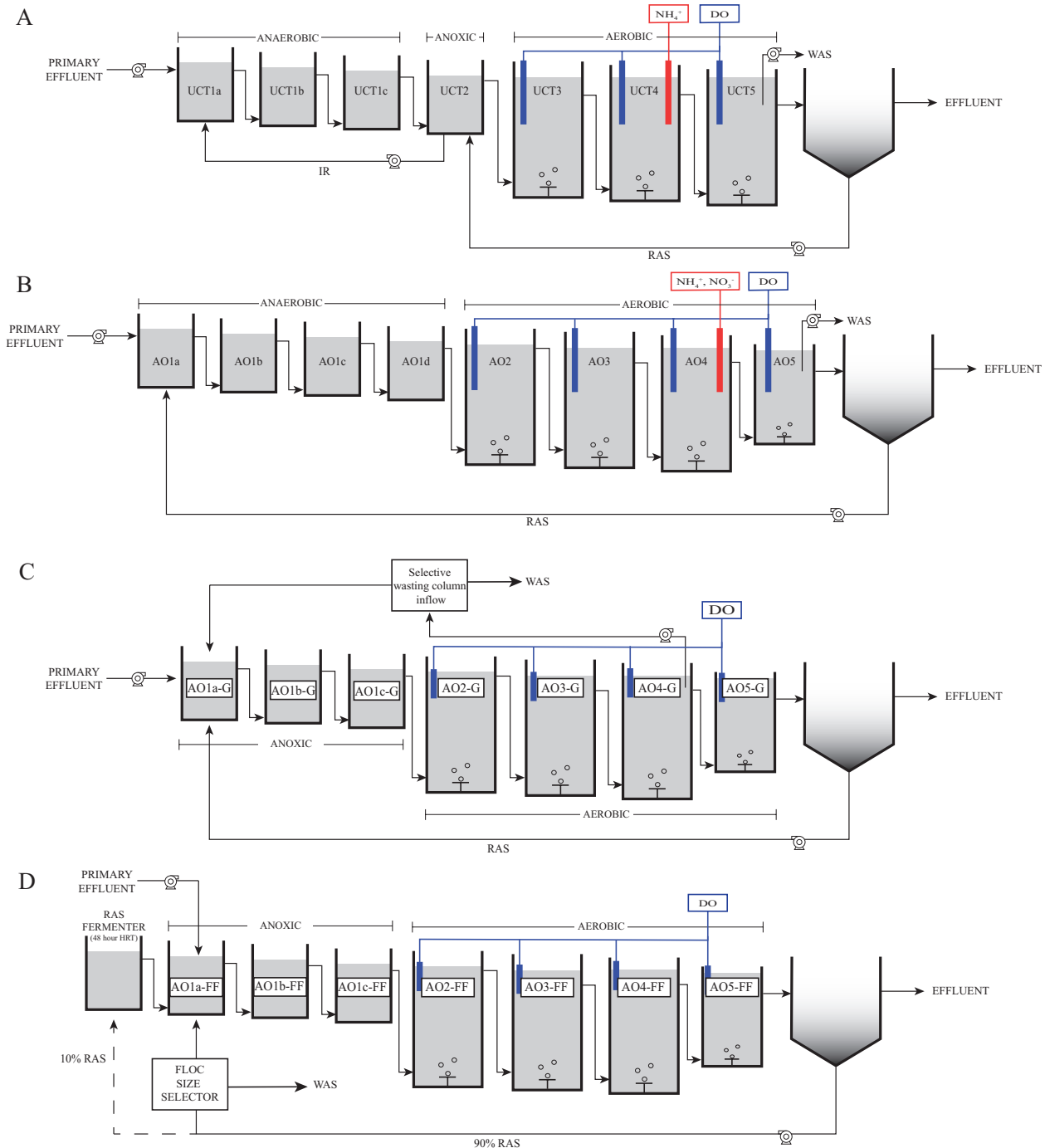


Figure 4-1 UCTca, AOia, AO-G, and AO-FF pilot-scale treatment train configurations

A detailed explanation of the first 483 days of operation of the first set of experiments was described in Stewart, Bashar [26]. Briefly, one pilot-scale system was configured as an anoxic-oxic (AO) process with intermittent aeration in the aerated zone (AOia), and the other was

configured as a University of Cape Town-type (UCT) process without internal sludge recycle and with continuous low-oxygen aeration in the aerated zones (UCTca). Aeration in each pilot-scale plant was controlled via ammonia-based aeration control (ABAC). Intermittent aeration in the AOia pilot reactor was designed to maintain an ammonium (NH_4^+) concentration between 2 and 5 $\text{mgNH}_4^+\text{-N/L}$ at the mid-point of the aerated section. Air-on mode was initiated once the NH_4^+ concentration reached the high setpoint of 5 $\text{mgNH}_4^+\text{-N/L}$. Conversely, once the low setpoint of 2 $\text{mgNH}_4^+\text{-N/L}$ was reached air-off mode began. During air-on mode the DO was maintained at or below 0.7 mg/L. Continuous aeration in the UCTca pilot reactor was controlled to maintain an ammonium concentration of 5 $\text{mgNH}_4^+\text{-N/L}$ at the mid-point of the aerated section in the treatment train. In this setting, the aeration rate was adjusted as necessary to reach the NH_4^+ setpoint, but with the aeration rate also constrained to maintain DO between 0.1 and 0.6 mg/L. The solids retention time (SRT) of both plants was increased to overcome lower nitrification rates during the winter season when water temperatures reach as low as 12°C.

In the second set of pilot-plant experiments, the pilot plants were configured as AO processes and operated to evaluate the effect of selective wasting on activated sludge settleability while transitioning a BNR system from conventional high-DO to low-DO treatment. A detailed explanation of the operation of these pilot-scale systems can be found in Amstadt [27]. One pilot was equipped with a gravity-based selective wasting device, and therefore designated as the AO-G pilot-scale plant. Selective wasting in the other pilot was implemented through a floc filtering device, and therefore designated as the AO-FF. Return activated sludge (RAS) fermentation was also implemented in the AO-FF pilot-scale plant by deviating 10% of the RAS to a fermentation tank. Stepwise reductions in DO were carried out in phases throughout the operation of these two pilot-scale plants.

4.5.2 Metagenomic sequencing

Sequencing of DNA extracted from mixed liquor samples was carried out with either short-read Illumina NovaSeq (Illumina, Inc. San Diego, CA) or long-read PacBio Sequel IIe (Pacific Biosciences, Inc., Menlo Park, CA, USA) technology. Table 4-1 summarizes the days mixed liquor samples were collected and used for either short- or long-read metagenomic sequencing.

Table 4-1 Summary of DNA and RNA sampling.

Pilot ID	Days sampled for Illumina metagenomes	Days Sampled for PacBio 6-10kb library metagenomes	Days Sampled for PacBio 3kb library metagenomes	Days sampled for metatranscriptomics
UCTca	36, 190, 253, 373	288, 429, 631	441,638	
AOia	36, 190, 253, 301,373,440	162, 176, 288, 429, 631, 638	155,281	
AO-G	324, 382	39, 88, 95, 150	35	326, 327, 385
AO-FF		32, 135	42,91	

For short-read sequencing, samples were stored at -80°C until DNA extraction was performed. DNA was extracted using a DNeasy PowerSoil Kit (Qiagen), quantified using a Qubit fluorometer (ThermoFisher Scientific), and stored at -20°C until shipment to the sequencing facility. Samples were submitted to one of three sequencing facilities: Vincent J. Coates Genomics Sequencing Lab (QB3 Genomics; UC Berkeley; Berkeley, CA), the Joint Genome Institute (JGI; Berkeley, CA), or SeqCenter (Pittsburg, PA). Library preparation at QB3 Genomics was performed with a Kapa Biosystem Library Prep kit, targeting inserts 2x600 bp in length (Roche Sequencing and Life Science, Kapa Biosystems, Wilmington, MA). At the JGI, genomic DNA was sheared to 300bp using the Covaris LE220 instrument and size selected with SPRI using TotalPure NGS beads (Omega Bio-tek). Library prep included fragment end treatment, A-tailing, and ligation of Illumina-compatible adapters (IDT, Inc.) using the Kapa-HyperPrep kit (Kapa Biosystems). DNA was then sequenced 2 x 150bp on the Illumina NovaSeq 6000 platform using

S4 flow cells. At SeqCenter, sample libraries were prepared using the Illumina DNA Prep kit and IDT 10bp UDI indices, and sequenced on an Illumina NextSeq 2000, producing 2x151 bp reads.

For long read sequencing, DNA was extracted using two methods: 1) DNeasy PowerSoil Kit (Qiagen) without bead-beating and vortexing steps and 2) a modified published phenol-chloroform method [28, 29]. DNA was quantified using a Qubit fluorometer (ThermoFisher Scientific), and quality was assessed using a Nanodrop 2000 spectrophotometer (ThermoFisher Scientific). DNA samples extracted using the kit were submitted to the University of Wisconsin Biotechnology Center DNA Sequencing Facility (Madison, WI) for additional quantity control analyses including quantification using Qubit fluorometer (ThermoFisher Scientific), sizing using the FemtoPulse system (Agilent), and quality using NanoDrop One (ThermoFisher Scientific). Extracted DNA was sequenced from both methods, however, adequate DNA size, quantity and quality was more reliably obtained using the commercially available kit with modifications for a gentler extraction, as described in the manufacturer's protocol. Sequencing at the Joint Genome Institute (JGI) was performed on a PacBio Sequel IIe platform (Pacific Biosciences, Inc., Menlo Park, CA, USA). A PacBio HiFi library was prepared by shearing genomic DNA to either 3 kb or 6 to 10 kb depending on the quality of extracted DNA and performing ligation using the SMRTbell Express template prep kit (Pacific Biosciences). Size selection was performed with BluePippin (Sage Sciences). Library quality was assessed using the FemtoPulse system and quantified using the Qubit. Finally, the library was sequenced on a Sequel IIe using Sequel Polymerase Binding Kit 2.2 at the JGI.

4.5.3 Metatranscriptomic sequencing

In order to evaluate whether metatranscriptomic sequencing could help in the analysis of the *Accumulibacter* activity in the pilot plants, mixed liquor samples were collected from the AO-G reactor on days 324, 325, and 383 and used for RNA extraction. The samples at days 324 and 325 corresponded to immediately before and immediately after a reduction in DO setpoints (from 0.4, 0.8, and 1.0 to 0.3, 0.6, and 0.8 mg/L in AO2, AO3, and AO4, respectively) and the 383 day sample was two months after this DO setpoint change. Samples were centrifuged at 10000 rpm at room temperature for 2 min then immediately flash frozen in liquid nitrogen. Samples were stored at -80°C until extraction. RNA extraction was performed using a TRIzol-based (Invitrogen) method followed by phenol-chloroform separation and RNA precipitation. Sterile ceramic beads from PowerBead Tubes (Qiagen) and 1-mL of TRIzol were added to each of tubes containing the frozen pellets. The pellets were subjected to 2-3 minutes of beadbeating so that the pellets were fully homogenized. Next, successive phase separation was performed using mixtures of phenol, chloroform, and isoamyl-alcohol and chloroform to separate nucleic acids from other cell material. RNA was further purified using RNEasy (Qiagen). RNA was quantified using a Qubit fluorometer (ThermoFisher Scientific). At the SeqCenter sequencing facility, the RNA was further treated with DNase (Invitrogen). Library preparation was performed using Illumina's Stranded Total RNA Prep Ligation with Ribo-Zero Plus kit and 10bp IDT for Illumina indices. Sequencing was done on a NextSeq2000 giving 2x51bp reads. Demultiplexing, quality control, and adapter trimming was performed with bcl-convert (Illumina).

4.5.4 Metagenome assembly, binning, and annotation

Raw short-reads that were sequenced at either QB3 Genomics or SeqCenter were quality filtered and trimmed using fastp^[30]. Cleaned reads were individually assembled with metaSPAdes^[31] with a range of k-mer values (21, 33, 55, 77, 99, 127). Each of the assemblies were binned using metaWRAP^[32], which maximizes the strengths of three binning tools: metaBAT2^[33], CONCOCT^[34], and MaxBin2^[35]. The resulting bins were further consolidated using the bin refinement module included in metaWRAP's software. DNA sequenced for short-reads at JGI were also processed by JGI using their Metagenome Workflow^[36]. Briefly, raw reads were quality filtered, all metagenome samples were individually assembled using metaSPAdes^[31], and binned using metaBAT2^[33].

Raw long-reads were filtered using BBTools (<https://jgi.doe.gov/data-and-tools/bbtools/>), and CCS reads were assembled using metaFlye^[37]. Cleaned reads were then mapped on to the assemblies using minimap2^[38]. Finally, the assemblies were polished using Racon^[39]. The assemblies were binned using two approaches: with metaBAT2 (performed by JGI) and with metaWRAP (as described above).

All resulting MAGs from short- and long-reads were further quality controlled by identifying contaminated contigs for each bin using ProDeGe (v2.3 with default parameters)^[40] and custom tetranucleotide frequency analysis scripts (run.GC.sh and Calculating_TF_Correlations.R; https://github.com/GLBRC/metagenome_analysis). Contigs identified as contaminated by both methods were removed from the MAGs to improve the overall quality. All MAGs were quality-checked using CheckM^[41] before further analysis. Functional annotations for each MAG were assigned with Prokka^[42] and anvi'o^[43], which predicts metabolic capability using those defined by KEGG Orthologs^[44].

4.5.5 Phylogenetic analysis and relative abundance

Assembled MAGs were classified using GTDB-Tk “classify_wf” [45]. MAGs belonging to the Accumulibacter lineage were combined with a total of 36 additional high-quality (HQ) MAGs compiled from the phylogenetic analysis by Petriglieri, Singleton [12]. A genome-based phylogenetic tree was constructed using 120 single-copy marker gene protein sequences identified with GTDB-Tk (**Supplementary Figure 4-1**). A maximum likelihood tree was created using the alignment with RAxML-NG [46] and visualized in iTOL [47]. Pairwise genome-wide ANI calculations between the MAGs recovered in this study and the 36 HQ genomes were made with FastANI [48] (**Supplementary Figure 4-2**).

To eliminate redundancy in the set of Accumulibacter genomes used for further analyses, we dereplicated 18 MAGs that were designated as species representatives by Petriglieri, Singleton [12] and the assembled MAGs from this study passing an >80% completeness and <5% contamination threshold at 98% ANI using dRep [49]. This non-redundant species set was used for metagenome read mapping. Filtered PacBio long-reads of both 3kb and 6-10kb libraries were divided into smaller 500bp long sequences using BMap/shred.sh with no overlap between successive reads [50]. The shortened PacBio reads and the cleaned short-reads from Illumina sequenced metagenomes were competitively mapped (i.e. reads were mapped onto multiple genomes simultaneously) onto the non-redundant Accumulibacter MAG set using Bowtie2 with the default “--end-to-end” and “--fast” options [51]. We used coverM (<https://github.com/wwood/CoverM>) to obtain the relative abundance and percent of bases covered by at least one read of each MAG.

4.5.6 *ppk1* gene phylogenetic analysis

A phylogenetic analysis of the *ppk1* gene was conducted using a database of reference and clone sequences compiled by McDaniel, Moya-Flores [17], supplemented with sequences from Petriglieri, Singleton [12], and Accumulibacter MAGs assembled in this study. Duplicate *ppk1* sequences were removed using seqkit [52], and the resulting non-redundant sequences were aligned using MAFFT [53]. A maximum-likelihood phylogenetic tree of the aligned *ppk1* sequences was constructed with RAxML-NG with 100x bootstraps [46] and visualized in iTOL [47].

4.5.7 Tetrasphaera relative abundance analysis

Tetrasphaera relative abundance was estimated in all metagenome samples by, again, using read mapping. Cleaned short- and long-reads were competitively mapped onto a collection of Tetrasphaera genomes compiled by Singleton, Petriglieri [54] using Bowtie2 with the default “--end-to-end” and “--fast” options [51]. Relative abundance metrics were obtained using coverM (<https://github.com/wwood/CoverM>).

4.5.8 Metatranscriptomic data analysis

RNA reads were quality filtered using fastp [30], and rRNA reads were removed using SortMeRNA [55]. The protein-coding genes of all non-redundant Accumulibacter species representatives were predicted using Prodigal [56], annotated using Prokka [42], and concatenated together. Metatranscriptomic non-rRNA reads were pseudoaligned to the mapping index, and read counts were quantified using kallisto [57].

4.6 RESULTS AND DISCUSSION

4.6.1 Pilot-scale EBPR performance

Four pilot-scale plant experiments were conducted to evaluate BNR performance when aerobic sections of the treatment train are operated with lower DO concentrations than is typical in conventional BNR operations (**Figure 4-1**). To control aeration, two pilot-scale experiments used ammonia-based aeration control ^[26] and two experiments used stepwise reduction based on target DO concentrations ^[27]. In one instance (UCTca pilot plant; **Figure 4-1A**), the first 2 out of 3 aerated tanks (UCT3 and UCT4) maintained average continuous DO concentration less than 0.38 mgDO/L by controlling aeration to achieve an ammonium setpoint of 5 mgN/L in tank UCT4. The last aerated tank (UCT5) was operated to maintain a DO concentration of 1.0 mgDO/L ^[26]. In a second treatment train (AOia; **Figure 4-1B**), we implemented an intermittent aeration scheme in the aerated zone, with aeration in the first 3 tanks (tanks AO2, AO3, and AO4) controlled by allowing cyclic accumulation and consumption of ammonium. Aeration to the first 3 aerated tanks (AO2, AO3, AO4) was turned on when the ammonium concentration in tank AO4 reached 5 mgN/L and turned off when the ammonium in the same tank reached 2 mgN/L. During the aerated periods, DO was not allowed to accumulate above 0.7 mgDO/L. In the last aerated tank of this treatment train (AO5) the DO was constantly maintained at 2.0 mgDO/L. This ammonia-controlled aeration scheme resulted in aeration being off 42% of the time ^[26]. In the third and fourth pilot-scale experiments (AO-G, **Figure 4-1C**, and AO-FF, **Figure 4-1D**) aeration was controlled by setting different DO setpoints in the first three aerated tanks and using the last aerated tank as a high-DO polishing step. The first aerated tank in each treatment train was started with a setpoint of 0.4 mgDO/L and was gradually reduced to 0.2 mgDO/L, the second aerated tank started with a setpoint of 0.8 mgDO/L and had stepwise reductions to 0.3 mgDO/L, the third aerated tank was

initiated with a setpoint of 3.5 mgDO/L and gradually reduced to 0.5 mgDO/L. The last aerated tank was maintained at 3.5 mgDO/L [27].

All treatment trains demonstrated efficient P removal regardless of the aeration mode used. Influent filtered total P (TP) to the first set of pilot-scale experiments (UCTca and AOia) and the second set of pilots was 4.3 ± 1.9 and 5.5 ± 1.4 mgP/L, respectively. Average effluent filtered TP concentration in the treatment trains ranged from 0.29 mgP/L to 0.38 mgP/L (**Table 4-2**). Stable and complete nitrification was exhibited in all pilot-scale systems throughout the operation. Average filtered influent total Kjeldahl nitrogen (TKN) was 38.6 ± 8.0 and 46.2 ± 6.3 mgTKN/L to the first and second set of pilot plant experiments, respectively, and effluent filtered TKN averaged between 1.83 and 4.43 mgTKN/L in the four pilot plants (**Table 4-2**). No substantial nitrite (NO_2^-) accumulation was observed in the effluent of any of the treatment trains (<1.0 mg NO_2^- -N/L). Effluent nitrate (NO_3^-) ranged from 11.2 to 15.8 mg NO_3^- -N/L.

Table 4-2 Pilot-scale reactor BNR performance summary

Pilot	Average Influent		Average Effluent			
	TP (mgP/L)	TKN (mgN/L)	TP (mgP/L)	TKN (mgN/L)	NO_3^- (mgN/L)	NO_2^- (mgN/L)
UCTca	4.3 ± 1.9	38.6 ± 8.03	0.38 ± 0.61	2.13 ± 1.03	13.0 ± 3.91	0.26 ± 0.25
AOia			0.33 ± 0.39	1.83 ± 1.06	11.2 ± 3.16	0.20 ± 0.16
AO-G	5.5 ± 1.4	46.2 ± 6.3	0.40 ± 0.66	2.42 ± 2.98	15.8 ± 4.07	0.84 ± 1.89
AO-FF			0.29 ± 0.59	4.43 ± 6.94	14.5 ± 6.05	0.67 ± 1.01

4.6.2 Assembly of *Ca. Accumulibacter* draft genomes

To analyze the microbial communities in the pilot-scale reactors we extracted DNA at different times during pilot plant operations (**Table 4-1**). In total, we obtained individual metagenomes from 12 Illumina libraries, 15 PacBio 6-10kb libraries, and 7 PacBio 3kb libraries (**Table 4-1**). Among all the assembled MAGs, 25 MAGs that were $>50\%$ complete and $<10\%$ contaminated (i.e.

medium quality based on MIMAG standards ^[58]) were classified as belonging to the *Accumulibacter* lineage (**Table 4-3**).

-		*SBR_L	95.2	IonTorrent	96.85	0.98	5024437	167	61.7	0
UCTca		3300056625_204	95.0	PacBio (6-10kb)	97.29	1.22	5500985	3	61.6	2
AOia		AO_20200108_bin_22	86.5	Illumina	85.24	0.21	3744202	133	61.7	0
Ca. Accumulibacter UW18	AOia	3300055001_362 UW18	89.5	Illumina	95.74	1.59	4577441	125	62	0
Ca. Accumulibacter vicinus		*UBA5574	93.8	Illumina	93.65	0.24	4283242	326	62.0	1 ⁺
Ca. Accumulibacter cognatus	-	*SSA1	95.9	Nanopore	99.05	1.11	5169514	3	61.4	2
Ca. Accumulibacter affinis	-	*Fred_BAT3C.720	91.3	Nanopore and Illumina	93.81	0.98	5041483	13	62.3	1
Ca. Accumulibacter proximus	-	*EsbW_BATAC285	82.1	Nanopore and Illumina	96.67	3.63	5402764	40	62.7	1
Ca. Accumulibacter necessarius	-	*UW12-POB	94.9	Illumina	98.1	0.98	4564207	91	62.7	0
Ca. Accumulibacter UW19	AOia	3300056623_77 UW19	78.4	PacBio (6-10kb)	80	0.98	4180114	5	62.1	2
AOia		AO_20200108_bin_11	72.9	Illumina	82.97	2.76	3990905	393	62.8	0
UCTca		3300056624_146	-	PacBio (6-10kb)	95.24	9.31	5512878	20	62.6	2
Ca. Accumulibacter UW20	AO-G	sample_310334_bin_400 UW20	99.3	PacBio (6-10kb)	99.05	0.57	4280132	5	63.6	2
AO-G		3300056999_154	98.9	PacBio (6-10kb)	99.05	0.64	4517007	6	63.6	2
AOia		3300056827_258	95.6	PacBio (6-10kb)	97.25	1.05	4226414	3	63.4	2
AOia		sample_331041_bin_88	97.1	PacBio (6-10kb)	94.29	0.1	4028608	2	63.4	2
AOia		3300055001_270	89.9	Illumina	90.03	0.54	3630092	47	64.3	0
UCTca		LD_20200507_bin_113	87.3	Illumina	85.24	0.1	3206672	44	64.1	0
AOia		3300057002_150	-	Illumina	67.42	0.06	2310040	98	64.7	0
Ca. Accumulibacter iunctus	-	*UBA2327	92.3	Illumina	92.43	0.29	4431027	325	65.2	1
Ca. Accumulibacter adjunctus	-	*SK-12	93.9	Illumina	92.6	0.05	4412715	331	65.8	0
Ca Accumulibacter UW21	AO-FF	3300056999_35	100.3	PacBio (6-10kb)	98.1	0.03	5194397	1	65.8	2

Ca. Accumulibacter UW23	AO-G	3300056626_235 UW23	-	PacBio (6-10kb)	72.99	1.72	4288616	14	65.5	1
Ca. Accumulibacter similis	-	*SSB1	101.3	Oxford Nanopore	99.05	0.03	5039009	-	66	2
Ca. Accumulibacter conexus	-	*UW7	85.2	Illumina	98.97	3.04	4870756	102	66.3	1 ⁺

^a Asterisks (*) denotes the representative MAGs used by Petriglieri et al. (2022) to define the candidatus species.

^b Plus sign (+) denotes partial 16S rRNA gene sequence

4.6.3 Phylogeny of recovered *Accumulibacter* MAGs

We performed a genome-based phylogenetic analysis using the 25 *Accumulibacter* MAGs recovered in this study and the set of 36 HQ *Accumulibacter* MAGs compiled by Petriglieri, Singleton [12]. Based on the genome phylogeny, each of the 25 MAGs falls within the expected *Accumulibacter* lineage and Type (I or II) classifications (**Supplementary Figure 4-1**). Of the total 25 *Accumulibacter* MAGs assembled from the pilot reactors, 21 were Type II *Accumulibacter*. We also conducted pairwise genome-wide ANI comparisons to assess sequence similarities between the recovered MAGs in this study and HQ publicly available *Accumulibacter* genomes (**Supplementary Figure 4-2**).

To refine the collection of 25 new *Accumulibacter* MAGs and the 18 HQ *Accumulibacter* MAGs designated as species representatives by Petriglieri, Singleton [12], we dereplicated all 43 MAGs at 98% ANI and ensured the MAGs were at least 80% complete and <5% contaminated (**Table 4-3**). Of the 25 MAGs we assembled, 10 MAGs shared >98% ANI with 3 previously defined *Accumulibacter* species (*Ca. Accumulibacter delftensis*, *Ca. Accumulibacter propinquus*, *Ca. Accumulibacter contiguus*; **Table 4-3**), indicating they represent the same species. The other 15 MAGs clustered into 7 different groups in the dereplication analysis (**Table 4-3**). We identify the best *Accumulibacter* assemblies for each group, that were obtained in this study, with UW## identifiers, building upon the UW collection of *Accumulibacter* MAGs that have been assembled from activated sludge originally collected from the Madison Metropolitan Sewerage District wastewater treatment plant [17, 28, 59-61].

For the candidatus species *Ca. Accumulibacter propinquus* and *Ca. Accumulibacter contiguus*, one of the MAGs assembled in this study was of better quality than the representative MAGs used by Petriglieri, Singleton [12] to define the proposed species (i.e.,

sample_331040_bin_374 UW16 and sample_331044_bin_501 UW17 are of better quality than *Accumulibacter propinquus* OdNE_BAT3C.415 and *Ca. Accumulibacter contiguus* SBR_L, respectively), and thus, we propose that these new MAGs be considered as the best available species representatives for these candidatus species. Notably, *Ca. Accumulibacter contiguus* UW17 possess two full-length copies of the 16S rRNA gene, whereas *Ca. Accumulibacter contiguus* SBR_L does not contain 16S rRNA genes in its assembly.

Three MAGs assembled in this study clustered within the *Ca. Accumulibacter delftensis* species (**Table 4-3**). In this case, with 100% completeness and 0.03% contamination, the MAG already used to define the species by Petriglieri, Singleton [12] was found to be of higher quality than the 3 *Ca. Accumulibacter delftensis* MAGs assembled in this study. However, the assembly of the species representative did not include copies of the 16S rRNA gene, whereas two of the 3 MAGs assembled in this study contained 3 copies of the 16S rRNA gene in their assemblies. The best assembly from this study was designated as UW15 (**Table 4-3**).

A total of 15 MAGs shared no more than 96% ANI with any of the proposed candidatus species, and formed 7 different clusters (*Ca. Accumulibacter* UW14, UW22, UW18, UW19, UW20, UW 21, and UW 23 in **Table 4-3**). Out of these, 7 MAGs originating from 3 different pilot-plant operations clustered together, forming the *Ca. Accumulibacter* UW20 cluster which shared no more than 82% ANI with previously identified *Accumulibacter* species. Each of the other 8 MAGs shared less than 96% ANI with previously assigned species representatives and formed their own clusters in the dereplication analysis. Based on the *ppk1* gene phylogeny, for which *Accumulibacter* Type and Clade designations are assigned, we identified *Ca. Accumulibacter* UW14, *Ca. Accumulibacter* UW18, *Ca. Accumulibacter* UW19, and *Ca. Accumulibacter* UW21 as belonging to Clades IC, IIC, IID, and IIF (**Supplementary Figure 4-**

4). We propose Clade IIIJ, a novel clade for the *Ca. Accumulibacter* UW20 cluster. We acknowledge that additional experiments are required to confirm the activities of these new clades as PAOs using FISH and a method such as Raman microspectroscopy to detect the presence of storage polymers, as described in Petriglieri, Singleton [12].

Two of the MAGs that formed separate clusters, 3300056831_295 (UW22 in **Table 4-3**) and 3300056626_235 (UW 23), had low completeness (<75%) and shared <98% ANI with any of the other genomes (**Supplementary Figure 4-2**). In the genome tree, 3300056831_295 is clustered nearest with members of the *Ca. Accumulibacter propinquus* species, however, shares at most 89.9% ANI with a member of this species. Further, MASH ANI analysis carried out by dRep^[49], clusters 3300056831_295 with Clade IID members (**Supplementary Figure 4-3**). In fact, 3300056831_295 shares the highest ANI (94.4%) with members from Clade IID, and three of its four 16S rRNA gene copies cluster with those of *Ca. Accumulibacter* UW19, while one copy clusters with members of *Ca. Accumulibacter propinquus* likely indicating contamination (**Supplementary Figure 4-5**). This evidence led us to confidently remove this MAG from further analyses without concern of unaccounting for a previously identified *Accumulibacter* species. Likewise, we opted to remove 3300056626_235 from further analysis as this MAG shared 97.8% ANI with *Ca. Accumulibacter* UW21. We will henceforth refer to the dereplicated MAG set consisting of 16 previously defined species representatives, 2 improved species representatives, and 5 representatives of potentially novel species as the “non-redundant *Accumulibacter* species set”. A genome-wide maximum-likelihood phylogenetic tree of the non-redundant *Accumulibacter* species set is shown in Figure 4-2.

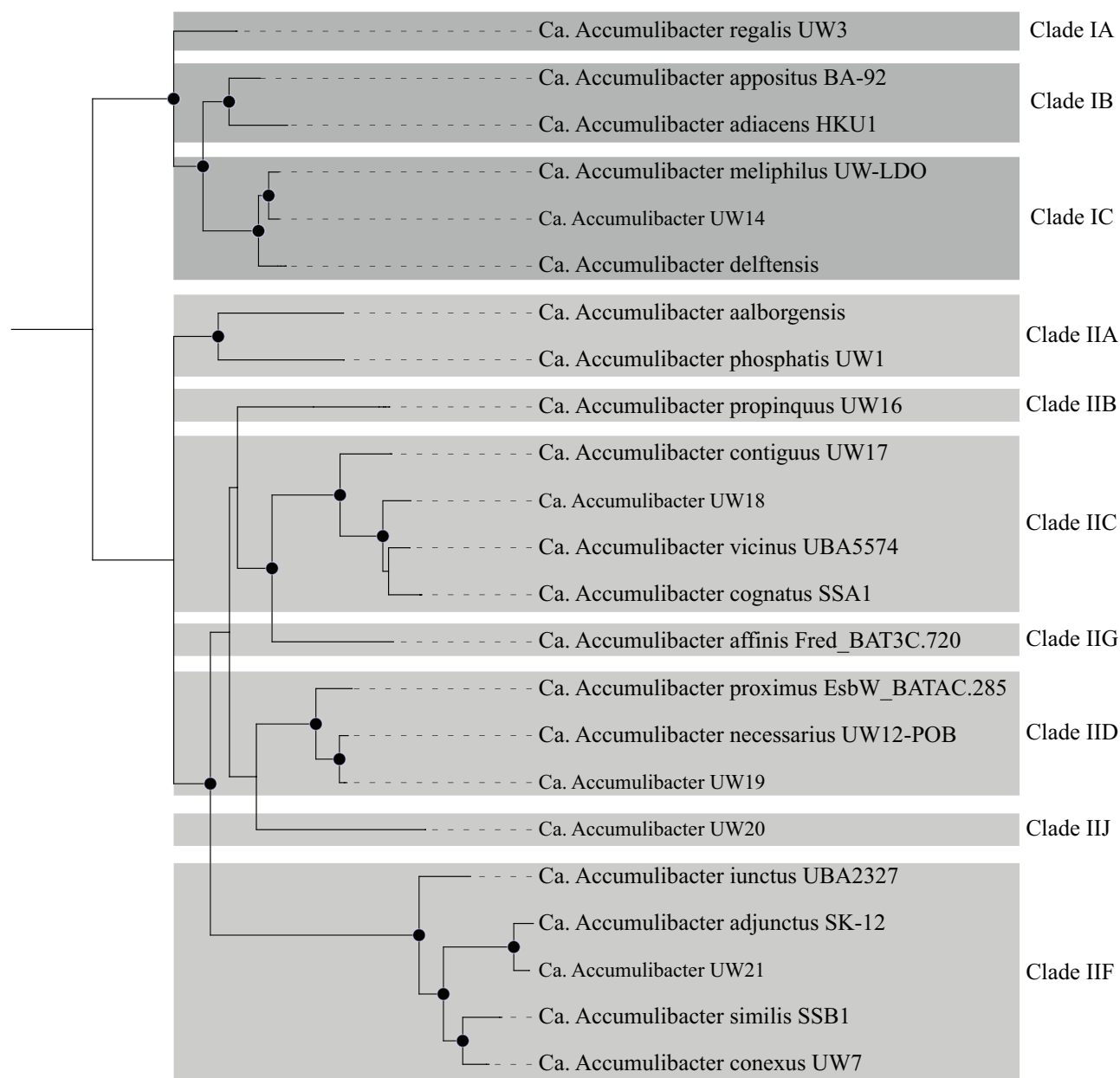


Figure 4-2 Maximum-likelihood genome tree of the non-redundant *Accumulibacter* species set created from the concatenated alignment of 120 single-copy marker genes using GTDBTk and 200x bootstraps. Bootstrap values >70% are denoted with filled black dot in the tree. The tree was visualized in iTOL.

4.6.4 Accumulibacter diversity and dynamics

Accumulibacter diversity within EBPR systems has typically been described using the *ppk1*-based phylogeny, which subdivides the lineage into two Types and several phylogenetically coherent Clades. Because Accumulibacter has not been isolated in pure culture, cultivation-independent methods, such as sequencing and quantification of the *ppk1* gene, have been applied to study Accumulibacter clade diversity. While the *ppk1*-based and genome-based phylogenies resemble each other, *ppk1* clade definitions do not capture the full extent of intra-clade genetic diversity.

To examine Accumulibacter diversity in the unconventionally aerated pilot-scale systems at low-DO, we used metagenome read mapping against the non-redundant Accumulibacter species set as a proxy for relative abundance of each species. Overall, the Accumulibacter community was more diverse than observed in lab-scale reactors, consisting of several species at any particular time, with rather even distribution of relative abundance which has been observed in previous lab-, pilot-, and full-scale reactors [9, 62]. Of the non-redundant Accumulibacter species set, *Ca. Accumulibacter propinquus* UW16, *Ca. Accumulibacter necessarius* UW12-POB, and *Ca. Accumulibacter* UW19 – classified as Type II Accumulibacter species – were abundant in at least 67% of the metagenomes analyzed from each of the four pilot-scale experiments (**Figure 4-3 and 4-4**). These three species on average made up between 48 and 75% of the total Accumulibacter population in all four pilot-scale treatment trains (**Figure 4-5**). At the beginning of operation in the AOia reactor, total Accumulibacter abundance was at its highest and consisted of the most diverse Accumulibacter community of all four pilot-scale systems. *Ca. Accumulibacter regalis* UW3, *Ca. Accumulibacter appositus* BA-92, *Ca. Accumulibacter meliphilus* UW-LDO, *Ca. Accumulibacter* UW14, and *Ca. Accumulibacter delftensis* – all Type I Accumulibacter species – were all notably more abundant at the start of operation in the AOia pilot reactor. After ~5 months

of AOia pilot operation, *Ca. Accumulibacter* UW20 appeared more frequently in the metagenomes and made up 7% of the *Accumulibacter* population, on average. Total *Accumulibacter* abundance in the UCTca pilot-scale plant was a third of that in the AOia pilot pilot-scale plant and less diverse at the start of the operation. After six months of operation, the total *Accumulibacter* abundance doubled and remained fairly consistent in the next 5 metagenome samples spanning 6 months. Following this period, the *Accumulibacter* abundance decreased significantly. *Ca. Accumulibacter affinis* Fred_BAT3C.720 was uniquely abundant in only the UCTca reactor during the first year of operation making up 5% of total *Accumulibacter*. In both the AOia and UCTca pilot-scale plant, *Ca. Accumulibacter contiguus* UW17 (Type II) appeared more frequently after ~5 months of operation, particularly in the AOia reactor. It is important to note that each pilot reactor had been seeded with activated sludge from the Nine Springs WWTP and operated for 7-months under different conditions prior to the operation and sampling described here, thus the initial *Accumulibacter* population differed between the two pilot-scale plants and did not reflect the diversity in the full-scale WWTP.

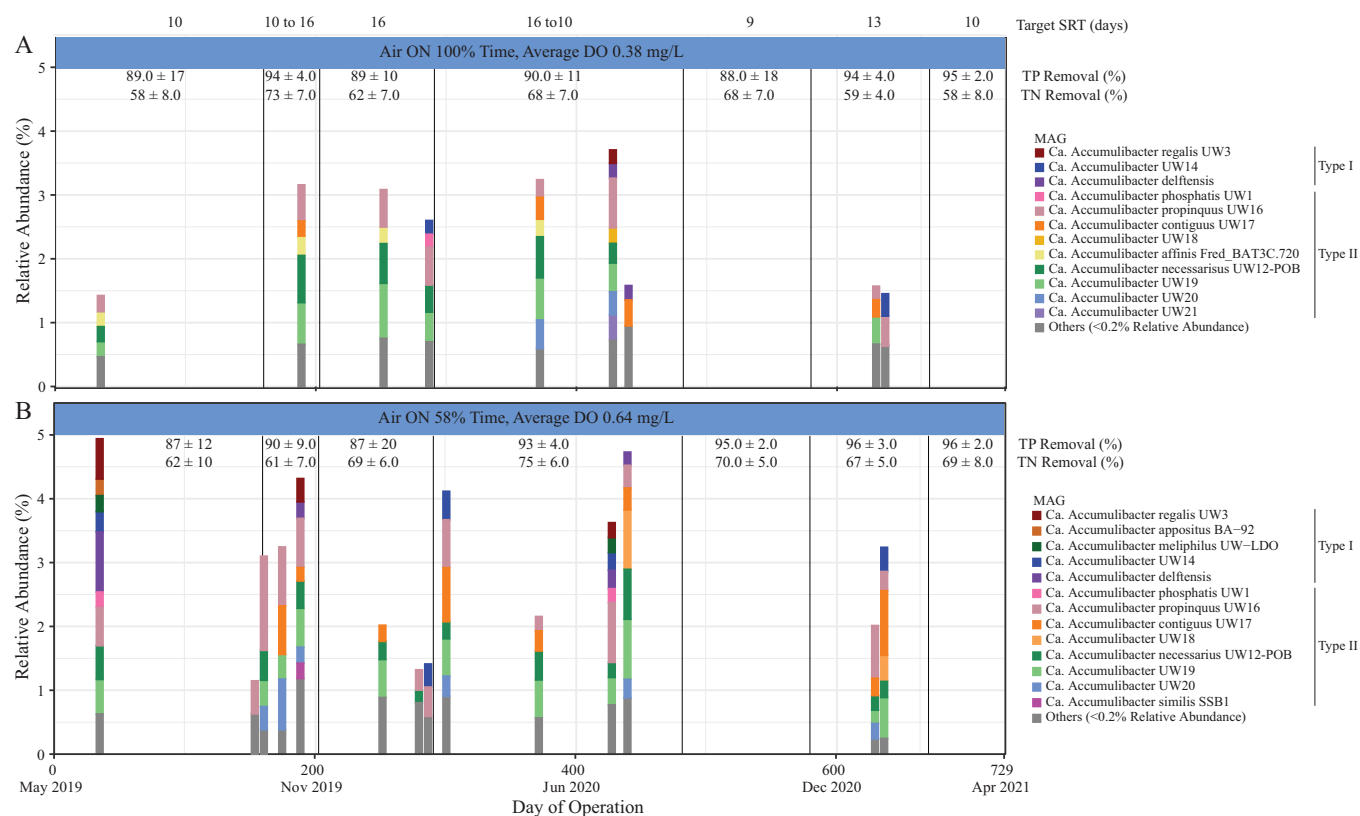


Figure 4-3 Accumulibacter relative abundance throughout the operation of the (A) UCTca and (B) AOia pilot-scale systems. The non-redundant MAGs that were >0.2% relative abundance at the time of the respective metagenome sample are plotted in each bar. The relative abundance of non-redundant species <0.2% relative abundance are plotted as “Others”. The average ± standard deviation total phosphorus (TP) and total nitrogen (TN) removal are noted for each solids retention time (SRT) setpoint throughout operation.

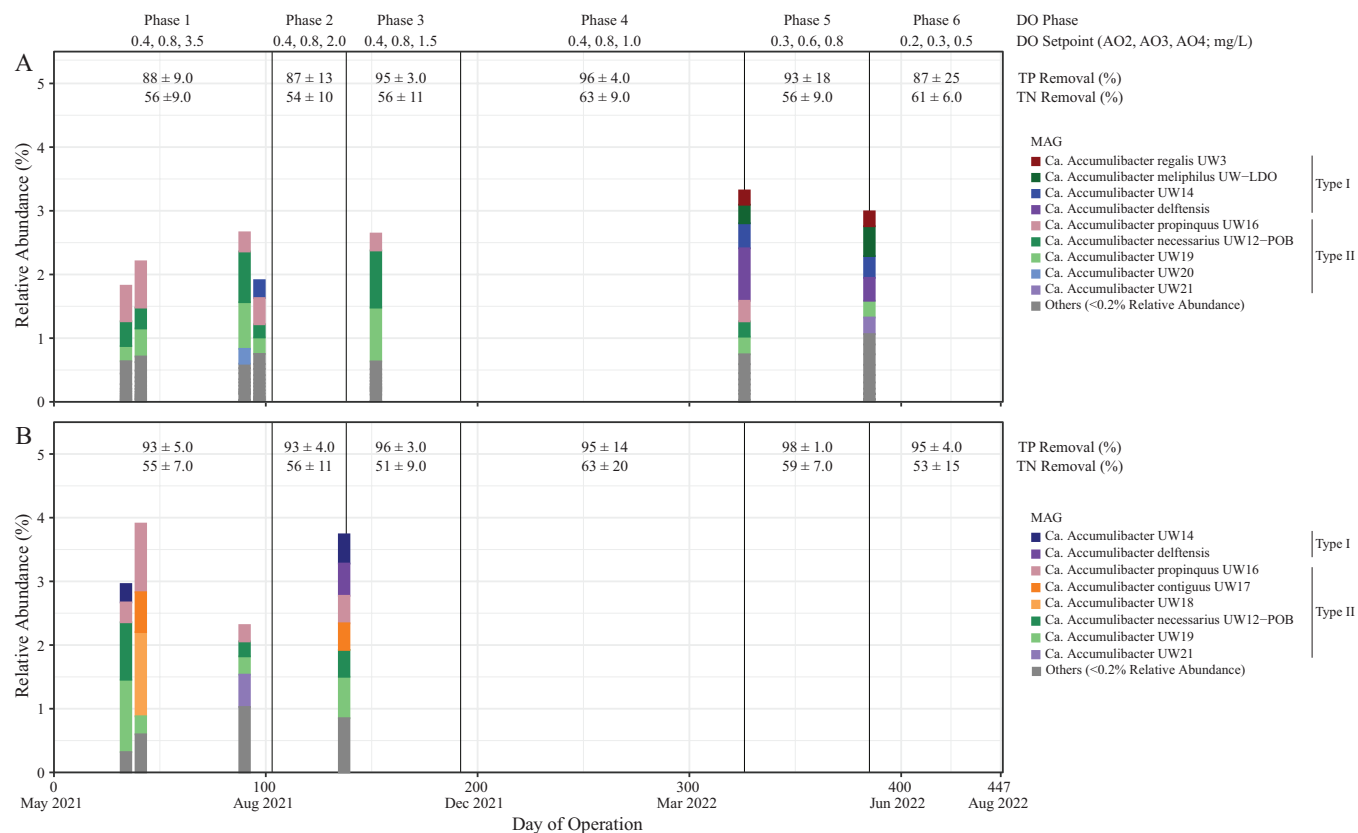


Figure 4-4 Accumulibacter relative abundance throughout operation of the (A) AO-G and (B) AO-FF pilots The non-redundant MAGs that were >0.2% relative abundance at the time of the respective metagenome sample are plotted in each bar. The relative abundance of MQ non-redundant species <0.2% relative abundance are plotted as “Others”. The average ± standard deviation total phosphorus (TP) and total nitrogen (TN) removal are noted for each solids retention time (SRT) setpoint throughout operation.

At the start of the AO-G and AO-FF experiments, both pilots were reseeded with activated sludge from the full-scale WWTP. As stated previously, *Ca. Accumulibacter propinquus* UW16, *Ca. Accumulibacter necessarius* UW12-POB, and *Ca. Accumulibacter UW19* were abundant in metagenomes from both pilots spanning the first 5 months of operation. In the AO-G reactor, these three species represented up to 80% of the *Accumulibacter* population in the initial operation. While Type II species were the dominant *Accumulibacter* present, *Ca. Accumulibacter UW14* – Clade IC *Accumulibacter* – was abundant in one metagenome from the AO-G pilot and two metagenomes from the AO-FF pilot. *Ca. Accumulibacter delftensis* (Clade IC) was also abundant

after 5 months of operation in the AO-FF pilot. Notably, at the end of DO phase 4 and DO phase 5, sequentially lower in DO, Clade IA Accumulibacter species *Ca. Accumulibacter regalis* UW3, *Ca. Accumulibacter meliphilus* UW-LDO, *Ca. Accumulibacter* UW14, and *Ca. Accumulibacter delftensis* consisted of about half of the Accumulibacter population present in the AO-G pilot.

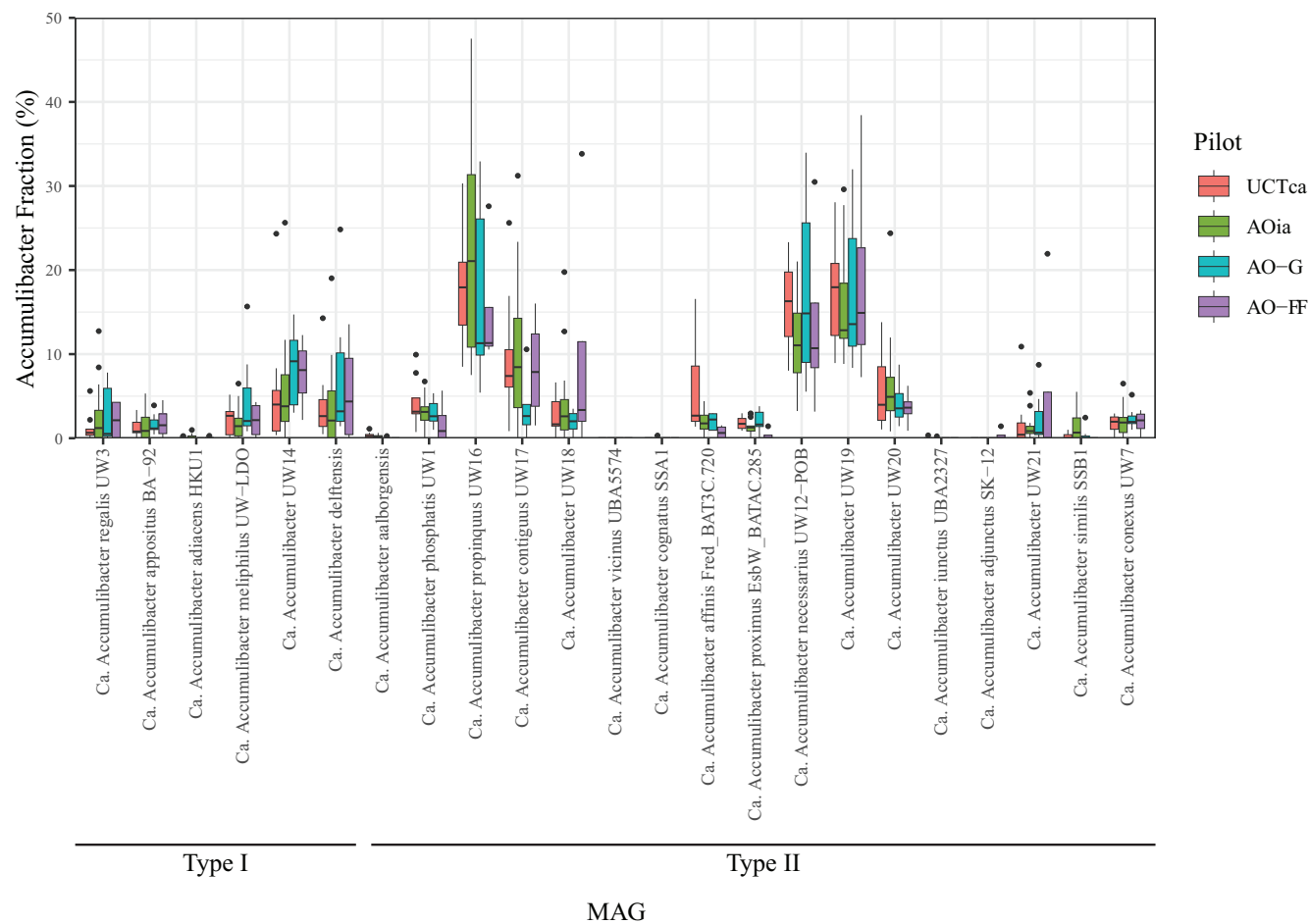


Figure 4-5 Average abundance of MAGs in the non-redundant Accumulibacter species set relative to the total Accumulibacter population.

Previous studies of Accumulibacter in low-DO and anoxic systems, has focused primarily on Type I, as this lineage has been the dominant Accumulibacter species present in these reactors [15, 21, 61, 63, 64]. However, Type II occurrence in low-DO systems is much less documented. The dominance of Type II Accumulibacter has been demonstrated in a previous pilot-scale study in

which an SBR was seeded and operated at the Nine Springs WWTP under low-DO conditions (between 0.2 and 0.7 mg/L) [9]. In that reactor *Accumulibacter* Clades IIA-IID were quantified using qPCR and were found to comprise 70-90% of the *Accumulibacter* abundance throughout operation. While the newly-defined species that were present within these clades were not measured, the dominant species in the pilot reactors of the current study (*Ca. Accumulibacter propinquus* UW16, *Ca. Accumulibacter* UW19, *Ca. Accumulibacter necessarius* UW12-POB, and *Ca. Accumulibacter contiguus* UW17), belong to clades IIB-IID. *Ca. Accumulibacter necessarius* UW12-POB was recovered from a photosynthetic bioreactor in which measured DO was <0.05 mg/L 70% of the time [60, 65]. Clade IID also dominated a lab-scale SBR under cyclic anaerobic and micro-aerobic conditions, however, a shift in dominance to a Clade IC strain (later identified as *Ca. Accumulibacter meliphilus* UW-LDO) was observed possibly due to reactor perturbation [61]. Despite the occurrence of Type II *Accumulibacter* in the pilot reactors of this study, there is no clear evidence of the dominance of one or a select few species of *Accumulibacter* under low-DO conditions. Rather, the heterogeneous makeup of the *Accumulibacter* population suggests that the majority of members in the lineage have a high affinity for oxygen, and other environmental or operational factors contributed to the diversity of species present.

4.6.5 *Tetrasphaera* abundance and diversity

While *Accumulibacter* has been considered the key PAO in EBPR systems, the prevalence of the genus *Tetrasphaera* has gained attention as its abundance has been shown to outnumber that of *Accumulibacter* in many full-scale systems [54, 66]. In order to estimate the abundance of *Tetrasphaera* in the pilots we again used read mapping onto a HQ publicly available set of *Tetrasphaera* genomes compiled by Singleton, Petriglieri [54] (**Figures 4-6 and 4-7**). Abundant

species throughout the operation of both pilots were members of Clade 3 Tetrasphaera – Pbr2_Ega_b001, Pbr2_AalE_b188, and Pbr1_EsbW_b295. The two former species were recently given a proposed species name of *Ca. Phosphoribacter hodrii*, while the later species was named *Ca. Phosphoribacter baldrii*. The combined abundance of *Accumulibacter* and *Tetrasphaera* species is comparable to that observed of the PAO communities in full-scale BNR facilities [16, 67].

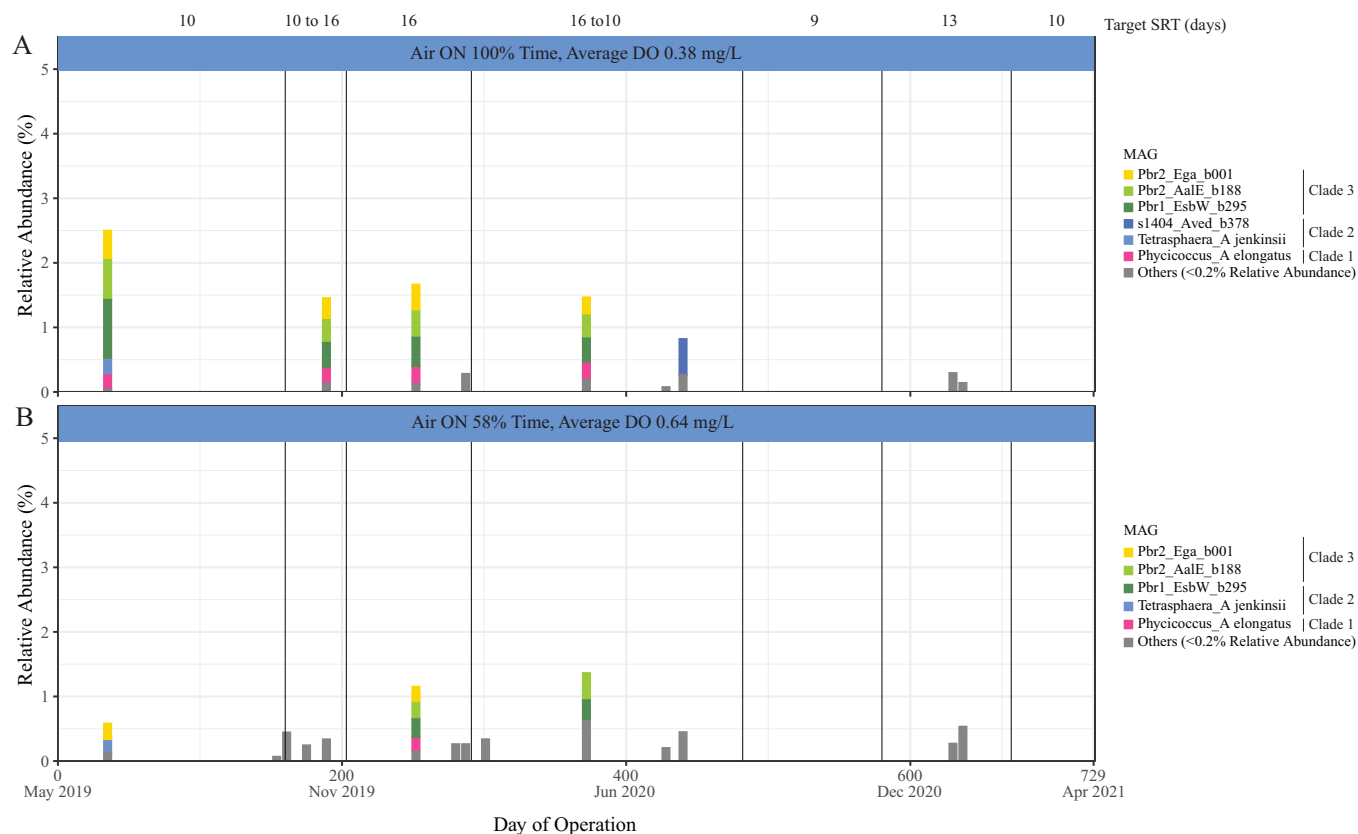


Figure 4-6 Tetrasphaera relative abundance in the (A) UCTca and (B) AOia pilots.

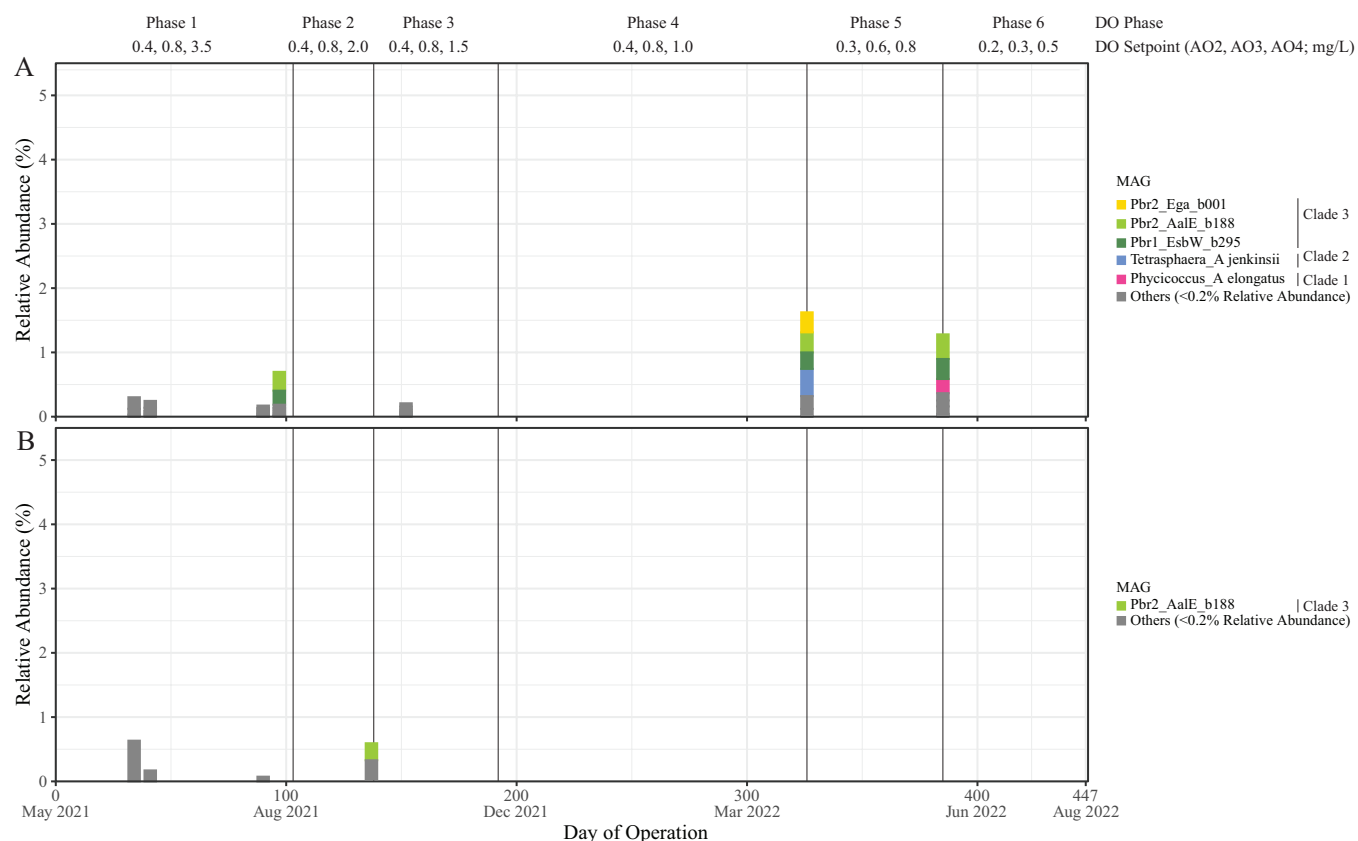


Figure 4-7 Tetrasphaera relative abundance in the (A) AO-G and (B) AO-FF pilots.

4.6.6 Denitrification and aerobic respiration potential of the *Accumulibacter* population

We hypothesized that *Accumulibacter* strains with some denitrification capability would become enriched in the pilot-scale reactors and would contribute to simultaneous denitrification and phosphorus removal during aerated periods. We also predicted that in the AOia pilot, *Accumulibacter* strains adapted to the intermittent anoxic periods would become enriched. To evaluate these predictions, we compared the denitrification machinery within the non-redundant *Accumulibacter* species set (**Table 4-4**). A summary of denitrification machinery in the 36 previously published HQ *Accumulibacter* MAGs can be found in Petriglieri, Singleton [12].

Table 4-4 Presence/absence of denitrification and aerobic respiration genes in MAGs assembled in this study. Determined using annotations from *anvi'o* which uses the KEGG database.

MAG ID	Completeness (%)	Contamination (%)	Species Rep.	<i>narG</i>	<i>narH</i>	<i>narI</i>	<i>napA</i>	<i>napB</i>	<i>nirS</i>	<i>norBC</i>	<i>norZ</i>	<i>nosZ</i>	<i>ccoN</i>	<i>ccoO</i>	<i>ccoP</i>	<i>ccoQ</i>	<i>ctaD</i>	<i>ctaC</i>	<i>ctaE</i>	
Ca. Accumulibacter UW14	95.2	4.02	UW14	■	■	■			■				■	■	■	■	■	■	■	■
sample_331044_bin.402	97.2	1.27	UW14				■	■	■				■	■	■	■	■	■	■	■
AO_20190605_bin_77	50.8	1.72	delftensis																	
3300056625_186	96.4	5.27	delftensis				■	■	■				■	■	■	■	■	■	■	■
3300056831_295	50.9	8.62	NA																	
Ca. Accumulibacter propinquus UW16	97.7	0.77	UW16				■	■	■				■	■	■	■	■	■	■	■
3300056624_334	97.6	0.77	UW16				■	■	■				■	■	■	■	■	■	■	■
sample_331047_bin.197	89.1	4.31	UW16										■	■	■	■	■	■	■	■
3300056599_153	92.5	9.57	UW16				■	■	■				■	■	■	■	■	■	■	■
Ca. Accumulibacter contiguus UW17	98.8	1.22	UW17	■	■	■				■	■		■	■	■	■	■	■	■	■
3300056625_204	97.4	1.22	UW17	■	■	■				■	■		■	■	■	■	■	■	■	■
AO_20200108_bin_22	85.7	2.22	UW17																	
Ca. Accumulibacter UW18	95.7	1.59	UW18	■	■	■							■	■	■	■	■	■	■	■
Ca. Accumulibacter UW19	80.0	0.98	UW19										■	■	■	■	■	■	■	■
AO_20200108_bin_11	83.0	2.76	UW19	■	■	■							■	■	■	■	■	■	■	■
3300056624_146	95.2	9.31	UW19	■	■	■							■	■	■	■	■	■	■	■
Ca. Accumulibacter UW20	99.1	0.57	UW20	■	■	■							■	■	■	■	■	■	■	■
3300056999_154	99.1	0.64	UW20	■	■	■							■	■	■	■	■	■	■	■
3300055001_270	90.0	0.54	UW20	■	■	■							■	■	■	■	■	■	■	■
LD_20200507_bin_113	85.2	0.89	UW20										■	■	■	■	■	■	■	■
3300057002_150	67.4	0.06	UW20										■	■	■	■	■	■	■	■
sample_331041_bin_88	94.3	0.1	UW20	■	■	■							■	■	■	■	■	■	■	■
3300056827_258	97.3	1.05	UW20	■	■	■							■	■	■	■	■	■	■	■
Ca. Accumulibacter UW21	98.1	0.03	UW21										■	■	■	■	■	■	■	■
3300056626_235	73.0	1.72	UW21										■	■	■	■	■	■	■	■

All species harboring the membrane-bound nitrate reductase (*Nar*), necessary for anoxic phosphorus uptake coupled with nitrate respiration, belong to Type II except for *Ca. Accumulibacter meliphilus* UW-LDO and *Ca. Accumulibacter* UW14 recovered in this study (Table 4-4). *Ca. Accumulibacter necessarius* UW12-POB (Clade IID), which was abundant in at least 75% of the metagenomes from the AOia, AO-G, and AO-FF pilots, contained the necessary machinery to carry out nitrate respiration (*narGHI*). A complete set of nitrate reductase genes was also present in *Ca. Accumulibacter contiguus* UW17 (Clade IIC), which was dominant in the AOia pilot, and *Ca. Accumulibacter affinis* (Clade IIG) which was uniquely dominant in the UCTea pilot. Notably, *Ca. Accumulibacter* UW19 (Clade IID), only contained the periplasmic nitrate reductase (*napB*) gene. Yet, MAG 3300056624_146, assembled in this study, shared >98% ANI with *Ca. Accumulibacter* UW19 and contained *narGHI* and *nirS* genes. MAG

AO_20200108_bin_11 also shared >98% ANI with *Ca. Accumulibacter* UW19 and contained *narG*, *napAB*, and *nirS* genes. *Ca. Accumulibacter necessarius* UW12-POB and *Ca. Accumulibacter contiguus* UW17 both carry two copies of the nitrite reductase (*nirS*) gene, with one copy downstream of the NAR operon (**Figure 4-8**). While *Ca. Accumulibacter affinis* Fred_BAT3C.720 also harbors two copies of the *nirS* gene, neither are positioned next to the *nar* operon. Periplasmic nitrate reductase, *nap*, does not translocate protons, thus it ultimately does not contribute to energy generation via proton motive force. Through gene-flux analysis, it has been suggested that the *nap* system is core amongst all Type I *Accumulibacter* [21], however its presence is also widespread amongst Type II *Accumulibacter* genomes [12,13]. In this study, *nap* was encoded primarily by the Type I *Accumulibacter* species that were most abundant at the start of the operation in the AOia pilot reactor and in the final two metagenomes collected from the AO-G pilot. These species included *Ca. Accumulibacter delftensis*, *Ca. Accumulibacter regalis* UW3, and *Ca. Accumulibacter appositus* BA-92. NAP was also found in Clade IIB member *Ca. Accumulibacter propinquus* UW16 which made up a significant portion of the *Accumulibacter* population in all four pilots. The nitrite reductase gene, *nirS*, has been identified as a core, ancestral gene to the entire *Accumulibacter* lineage [21] and was present in the entire non-redundant *Accumulibacter* species set except Type II strains *Ca. Accumulibacter cognatus* SSA1 (Clade IIC), *Ca. Accumulibacter* UW19 (Clade IID) and *Ca. Accumulibacter* UW20 (proposed Clade IJ).

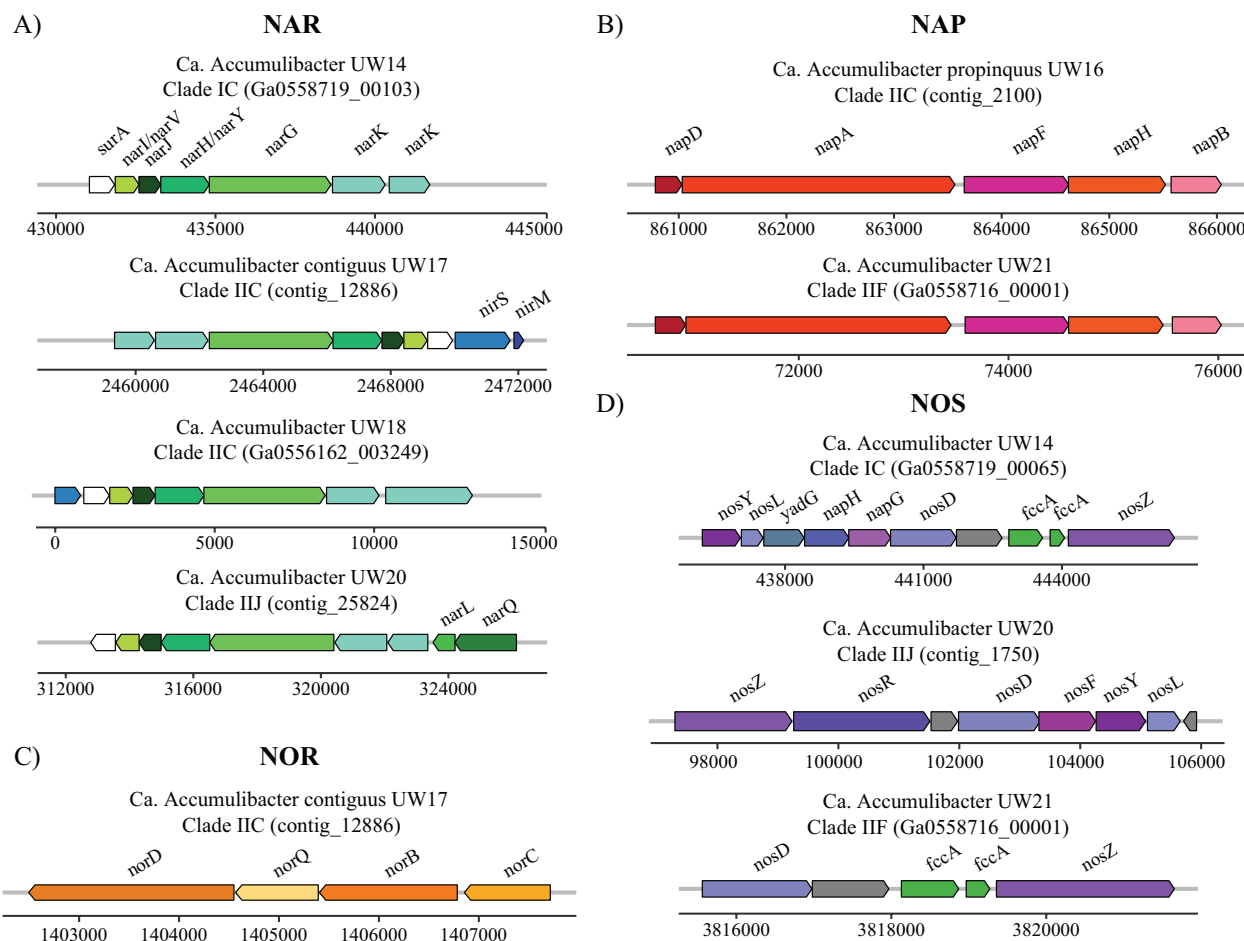


Figure 4-8 Denitrification gene neighborhoods of *Accumulibacter* MAGs assembled in this study. A) respiratory nitrate reductase (NAR) B) periplasmic nitrate reductase (NAP) C) nitric oxide reductase (NOR) and D) nitrous oxide reductase (NOS). Proteins with unknown function are colored in grey. Contig IDs from which the genes were recovered are shown in parenthesis for each MAG.

The final two steps in the denitrification pathway, nitric oxide and nitrous oxide reduction, are important because nitrous oxide production and consumption have implications for the emission of nitrous oxide, a potent greenhouse gas, from the wastewater treatment process. Nitric oxide reductase (NOR) can be classified into two types: cNor or qNor. The cNor form is encoded by *norB* and *norC* and genes while the alternate form qNor is encoded by the *norZ* gene. Of the non-redundant *Accumulibacter* species set, *norZ* is only found in Type I *Accumulibacter* species and *Ca. Accumulibacter phosphatis* UW1 (Clade IIA), while *norBC* was only found in *Ca.*

Accumulibacter contiguus UW17 (Clade IIC). Downstream of the *norBC* genes in this MAG were *norQ* and *norD* genes. Nitrous oxide reduction capability, the last reaction in the denitrification pathway encoded by the *nosZ* gene, was primarily found in the Type I *Accumulibacter* species that were most abundant in the AOia pilot during the start of the operation. *Ca. Accumulibacter phosphatis* and *Ca. Accumulibacter* UW20 were the only Type II species relevant to the *Accumulibacter* population in the pilots that harbored the *nosZ* gene.

To date, a full respiratory denitrification pathway has only been identified in Type I *Ca. Accumulibacter meliphilus* UW-LDO, consisting of *narGHI*, *nirS*, *norZ*, and *nosZ* genes. This species was only of significance in the AOia and AO-G pilots. However, *Ca. Accumulibacter* UW15, which clusters with *Ca. Accumulibacter meliphilus* UW-LDO, was abundant in some metagenomes from all pilots and lacks *norBC/Z* genes. Clade IID *Accumulibacter* species with respiratory nitrate reduction potential, namely *Ca. Accumulibacter necessarius* UW12-POB and 3300056624_146, a member of the *Ca. Accumulibacter* UW19 species cluster, were dominant in the majority of metagenomes from all pilots. Clade IID dominance has also been reported in a study aimed at achieving nitrification [68]. This clade was associated with good denitrifying P removal under high NO_2^- concentrations and poor EBPR performance under NO_3^- accumulation. This could suggest another strain within Clade IID was capable of nitrite reduction coupled with P uptake but lacked the *nar* gene. Indeed, while *Ca. Accumulibacter necessarius* UW12-POB contains both a *nar* and *nir* gene, *Ca. Accumulibacter proximus* EsbW_BATAC.285, also of Clade IID, encodes *nap* and *nir* genes.

The diverse population of *Accumulibacter* in all pilot systems under low-DO conditions led us to search for cytochromes *cbb₃* and *aa₃*, known cytochrome *c* oxidases which catalyze the reduction of oxygen to water^[69], within the non-redundant *Accumulibacter* species set and the

lower quality MAGs assembled in this study. Cytochrome *aa3*-type oxidases are known to have a low affinity for oxygen and have been shown to be upregulated in aerobic stage, specifically following oxygen contact [61, 70]. A complete *cta* operon encoding the *aa3*-type oxidase was encoded in all but 5 MAGs. Conversely, cytochrome *cbb3*-type oxidases are known to have a high affinity for oxygen and are induced under low-DO conditions in *Accumulibacter* [61, 70]. This enzyme has also been shown to be widespread within the *Accumulibacter* lineage and is likely why these species has a high affinity for oxygen^[61]. Only seven MAGs did not encode a complete *cco* operon for the *cbb3*-type oxidase.

4.6.7 Activity under low-DO conditions

To compare the transcriptional activity and the abundance of the non-redundant *Accumulibacter* species set under changing low-DO conditions, we collected activated sludge samples from the AO-G pilot in tank AO3 at the end of DO phase 4 (day 326; DO), a day after the start of DO phase 5 (day 327), and ~2 months after the start of DO phase 5 (day 385). During each of these time periods, Type I *Accumulibacter* consisted of nearly half the *Accumulibacter* community. In general, the most abundant *Accumulibacter* species were the most transcriptionally active (**Figure 4-9**). On days 326 and 327, *Ca. Accumulibacter delftensis* was the most transcriptionally active, followed by *Ca. Accumulibacter UW15* and *Ca. Accumulibacter meliphilus UW-LDO*. These same three species were the most abundant and active two months after the DO reduction. Interestingly, *Ca. Accumulibacter UW21* increased in abundance and activity from the end of DO phase 4 to DO phase 5, while the opposite was true for *Ca. Accumulibacter propinquus UW16*.

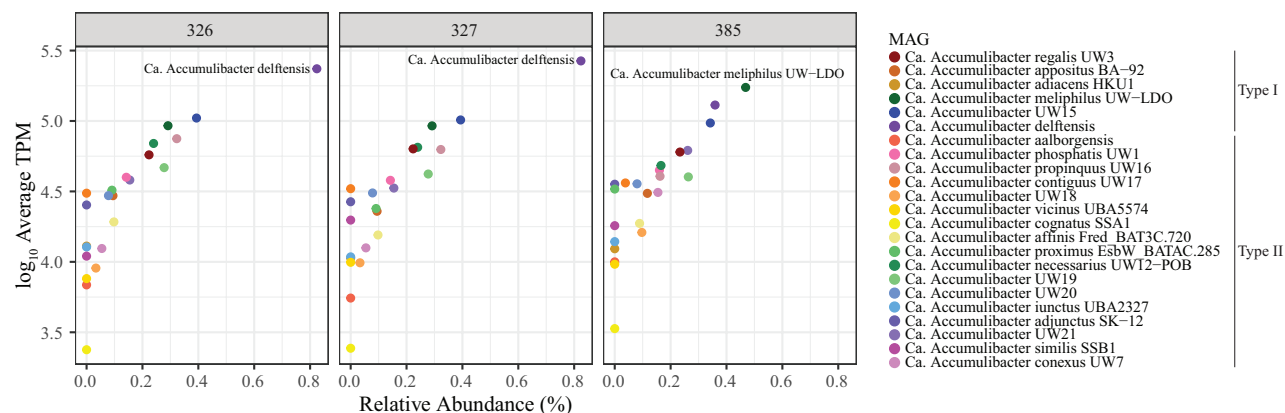


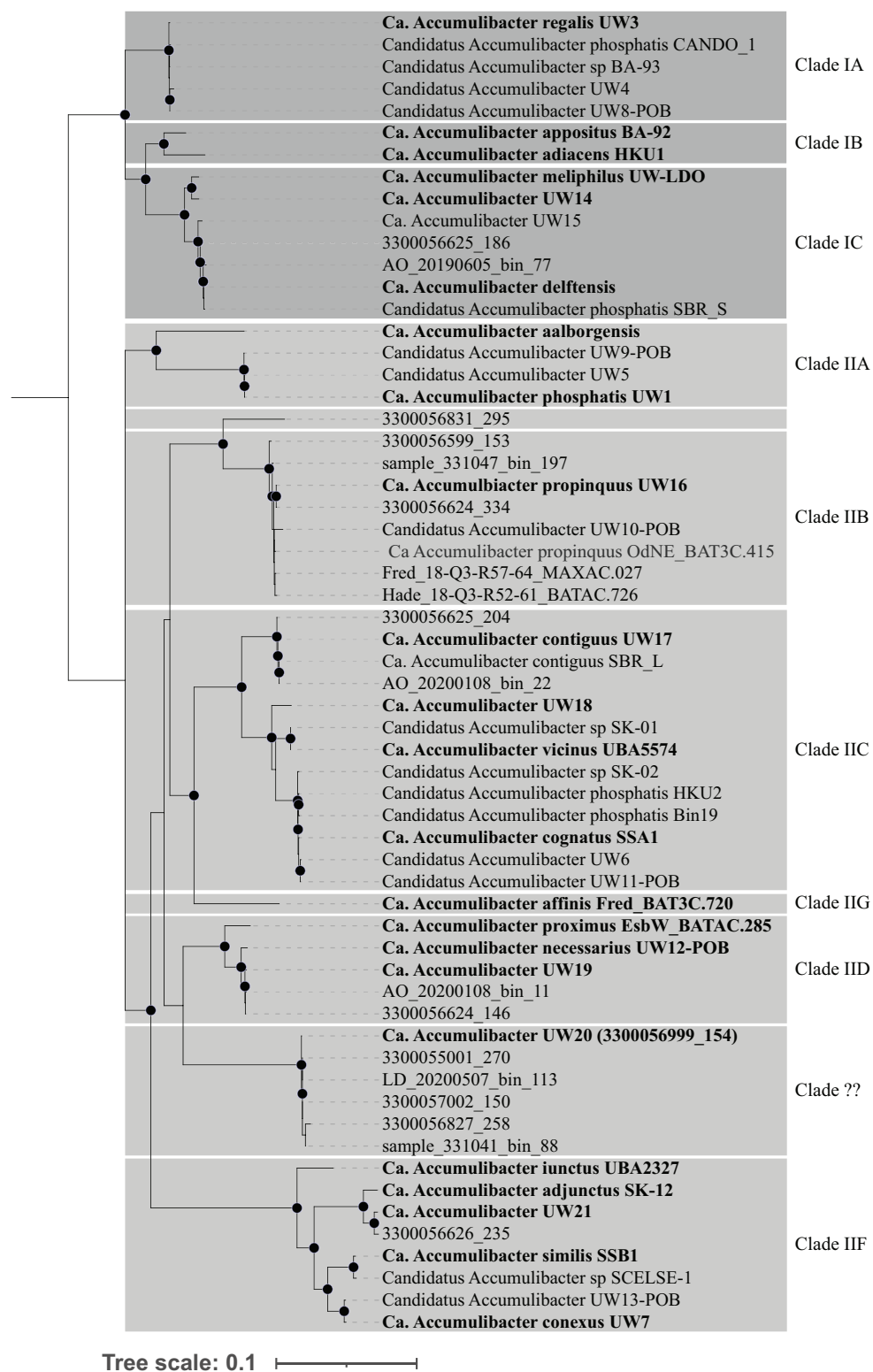
Figure 4-9 Relative abundance and average expression of MAG within the non-redundant Accumulibacter species set. Non-rRNA reads were mapped onto the protein-coding regions of the non-redundant Accumulibacter species set and normalized using transcripts per million (TPM). In general, abundance is a proxy for transcriptional activity

4.7 CONCLUSIONS

In this study, we used a refined database of medium- to high-quality Accumulibacter MAGs to investigate the Accumulibacter population structure and dynamics in pilot-scale BNR systems operated with low-DO conditions. We found that the Accumulibacter community was heterogeneous and highly dynamic. While the presence of some species in any system were consistent throughout time, it is not evident that low-DO was a determining factor for their occurrence. An examination of denitrification potential within the non-redundant Accumulibacter MAG set did not correlate with a significant dominance of any particular species, likely indicating niche differentiating roles which contribute to overall phosphorus and nitrogen removal. Our analyses show that other operational or environmental factors may explain the high microdiversity of the Accumulibacter community, and support evidence that the ability to survive low-DO conditions is widespread within the lineage [22, 61]. Further investigation of transcriptional activity and differential gene expression within a complex microbial community is needed to explain factors leading to functional niche partitioning or community interactions. Overall, this work demonstrates that the advancement of sequencing technology and the ever-growing publicly,

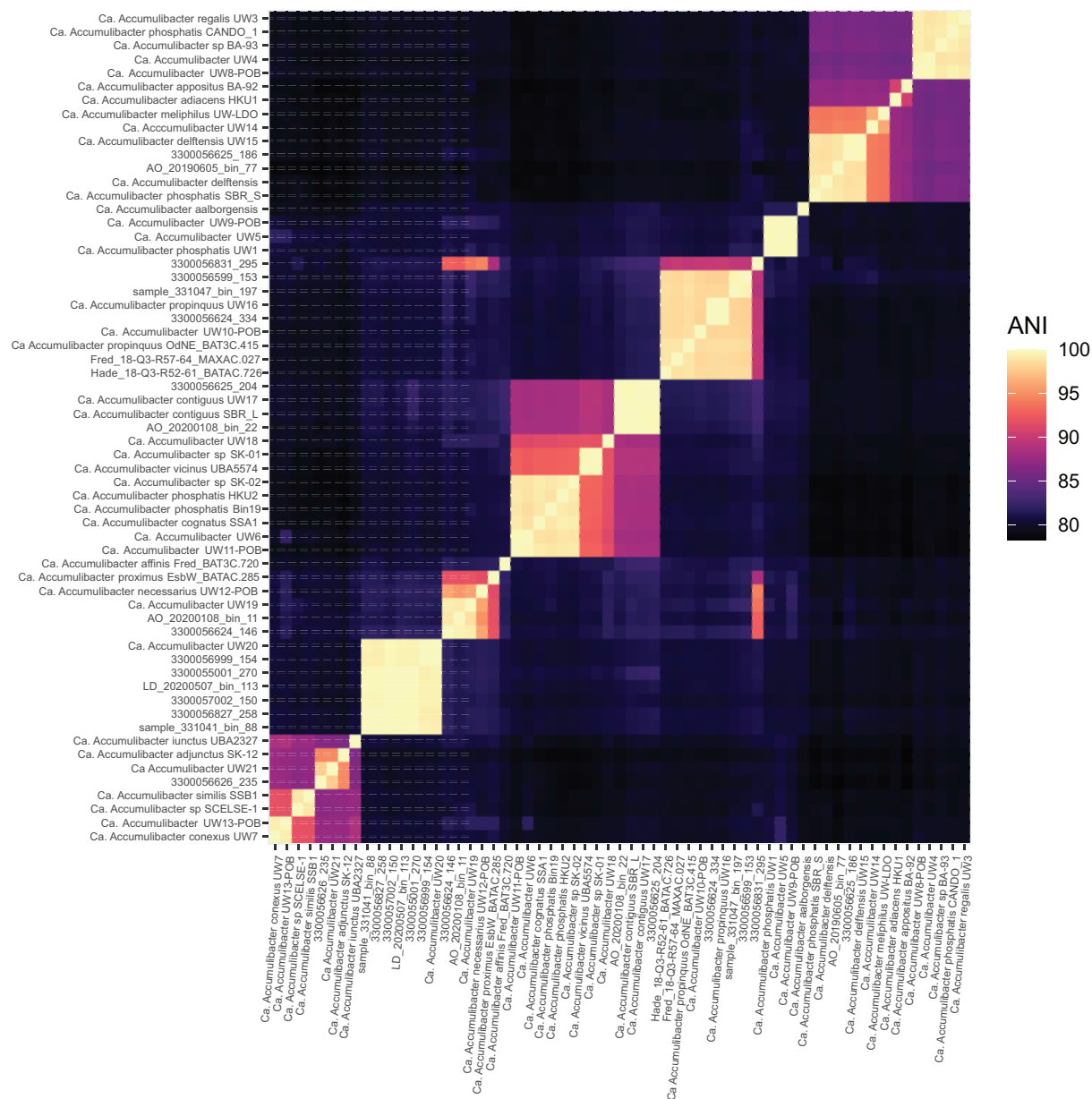
available database of medium- to high-quality reference MAGs can be used to study uncultivated organisms within complex microbial systems. From a wastewater treatment design perspective, this work highlights the resiliency of the *Accumulibacter* community under low-DO operation for economical and environmentally sustainable treatment.

4.8 Supplementary Figures

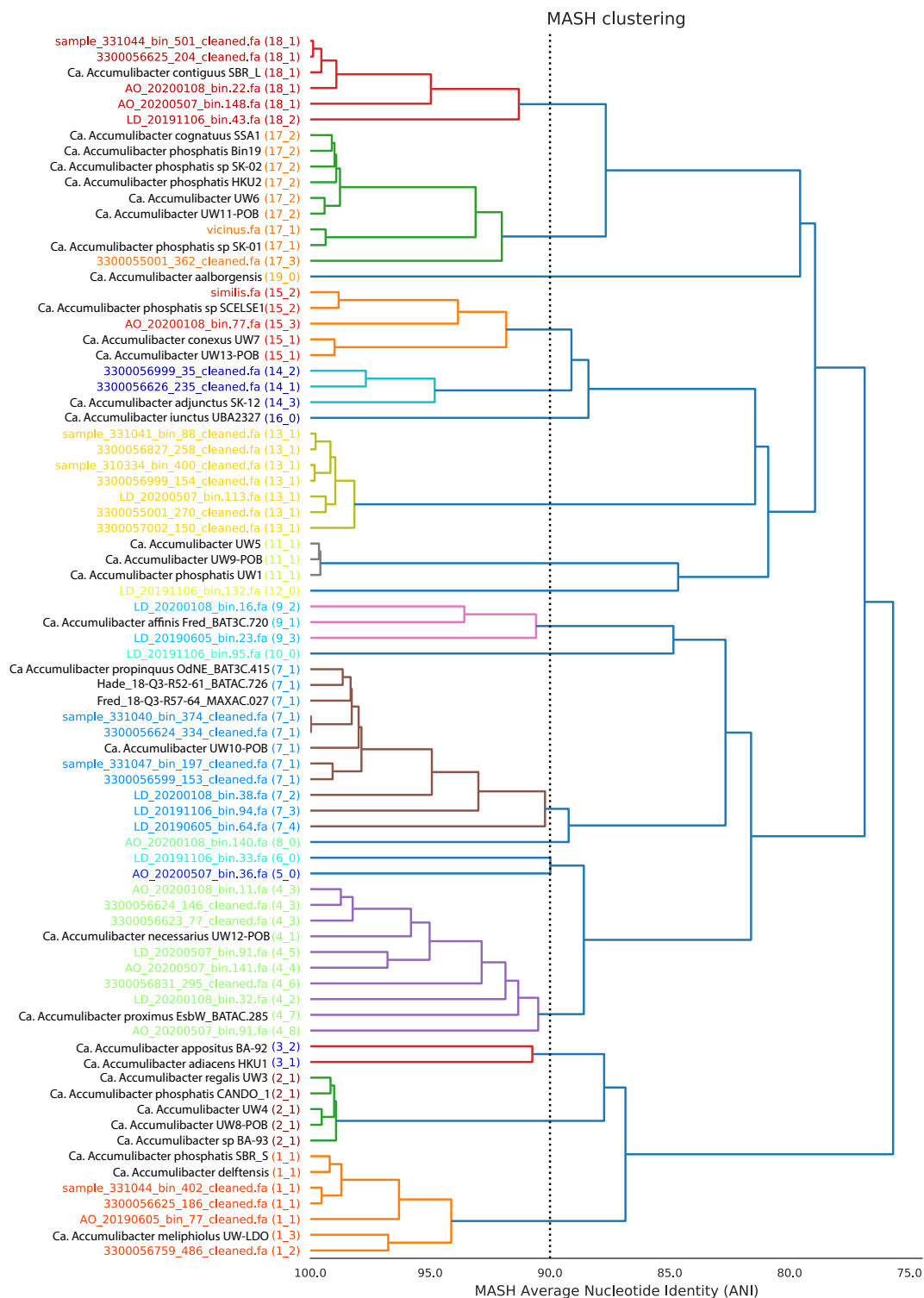


Supplementary Figure 4-1 Maximum-likelihood genome tree of all *Accumulibacter* MAGs assembled in this study and from Petriglieri et al. (2022) created from the concatenated

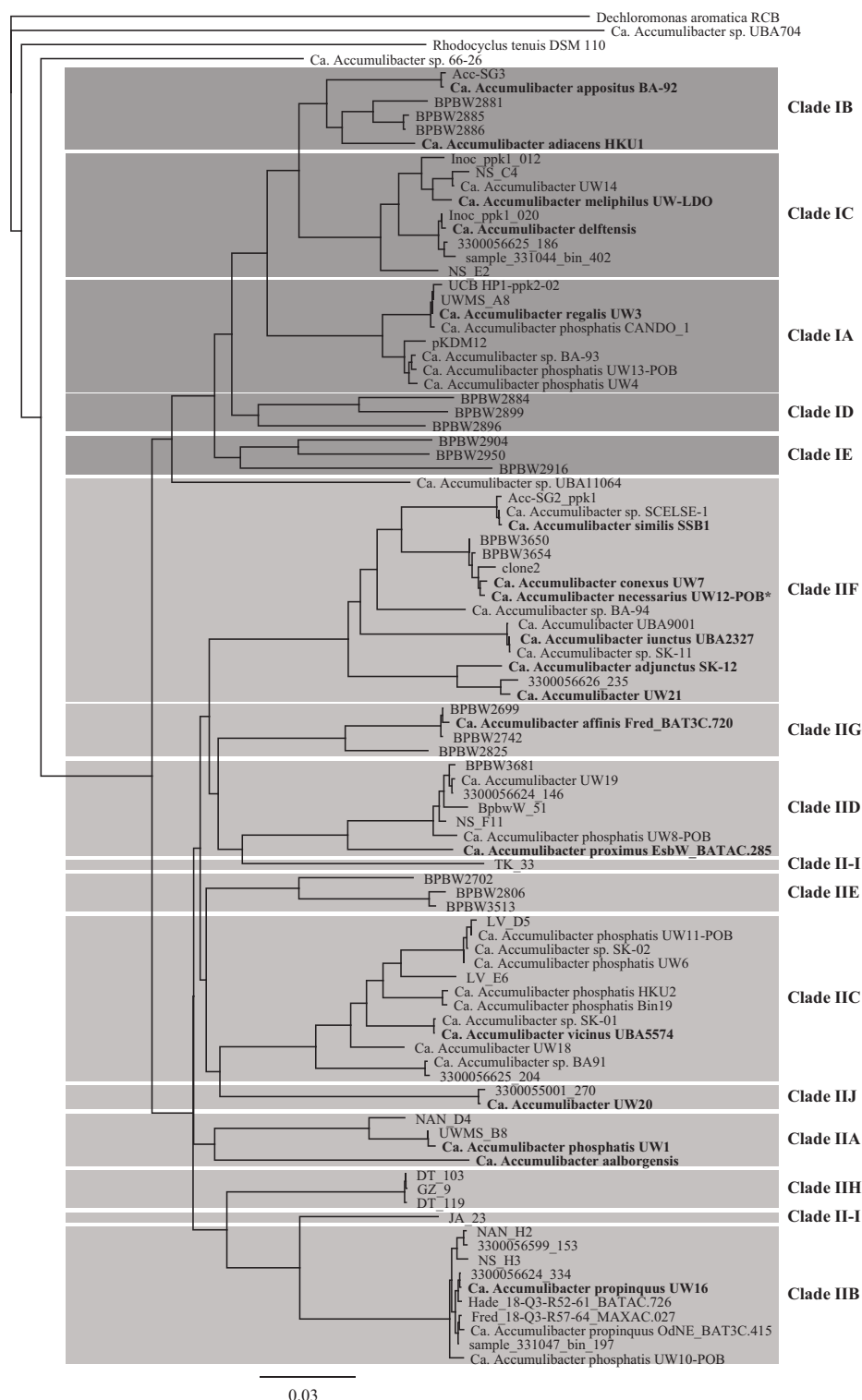
alignment of 120 single-copy marker genes using GTDBTk and 200 bootstraps. The tree was visualized in iTOL. Species representatives, which make up the MQ non-redundant *Accumulibacter* species set, are bolded.



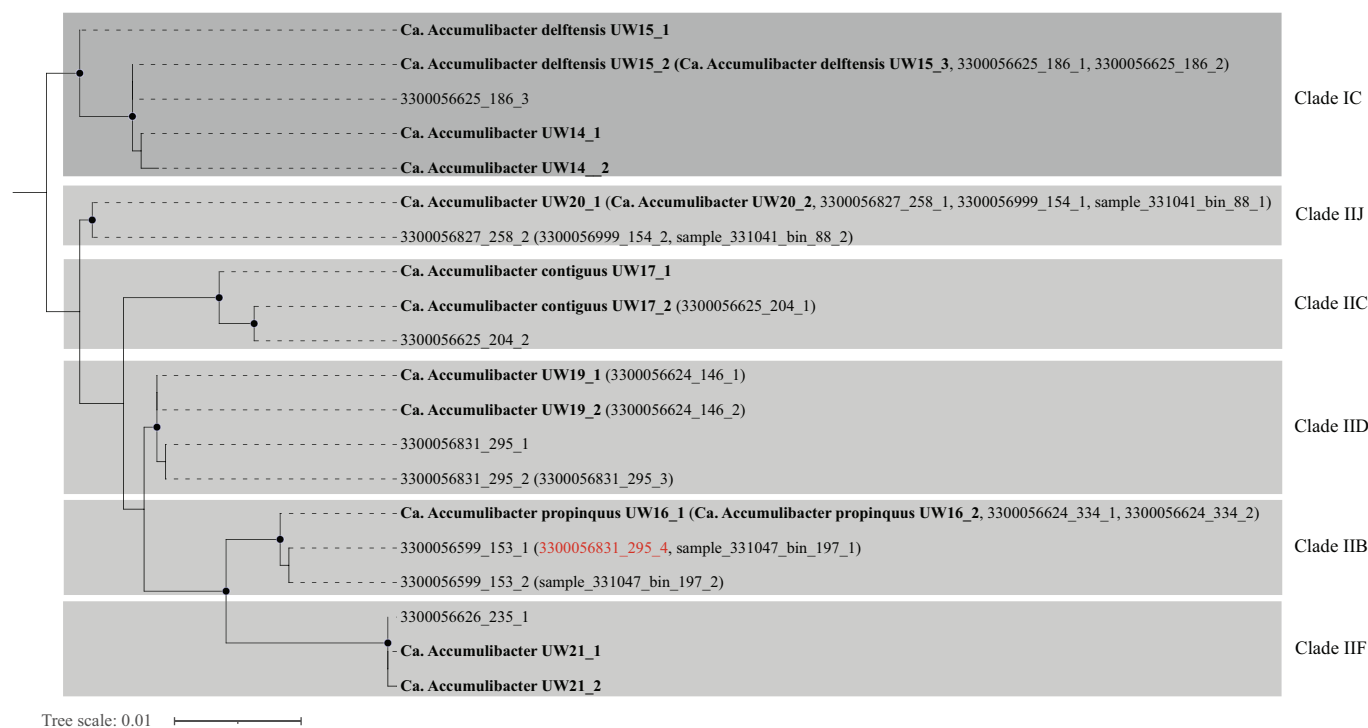
Supplementary Figure 4-2 Pairwise genome-wide ANI comparisons made with fastANI [48] between the 25 MAGs assembled in this study and the 36 HQ *Accumulibacter* MAGs compiled by Petriqlieri, Singleton [12].



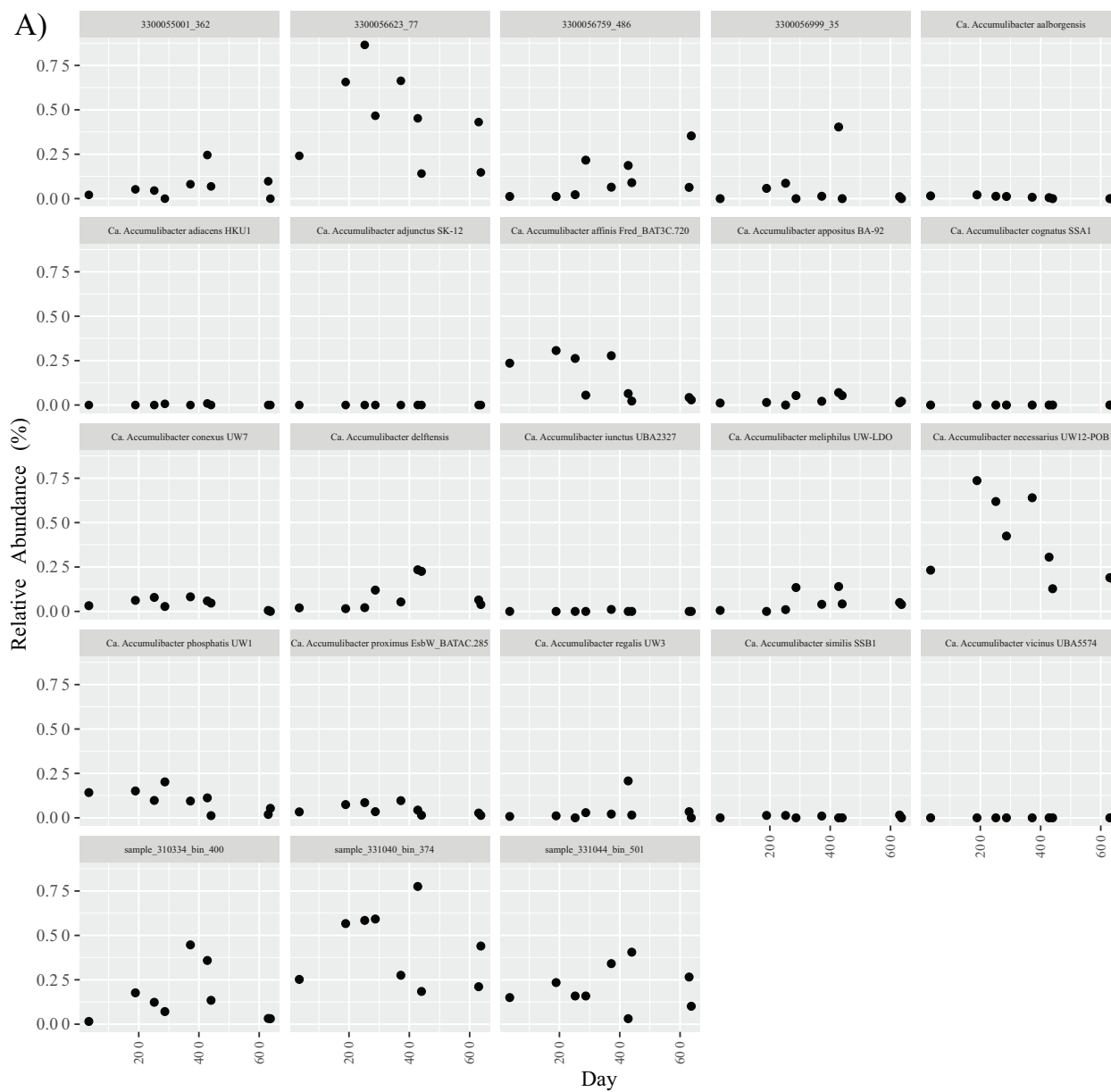
Supplementary Figure 4-3 Clusters of all *Accumulibacter* MAGs that were assembled from all 34 metagenomes and the 36 HQ MAGs compiled by Petriglieri et al. (2022). This figure was generated by dRep [49].



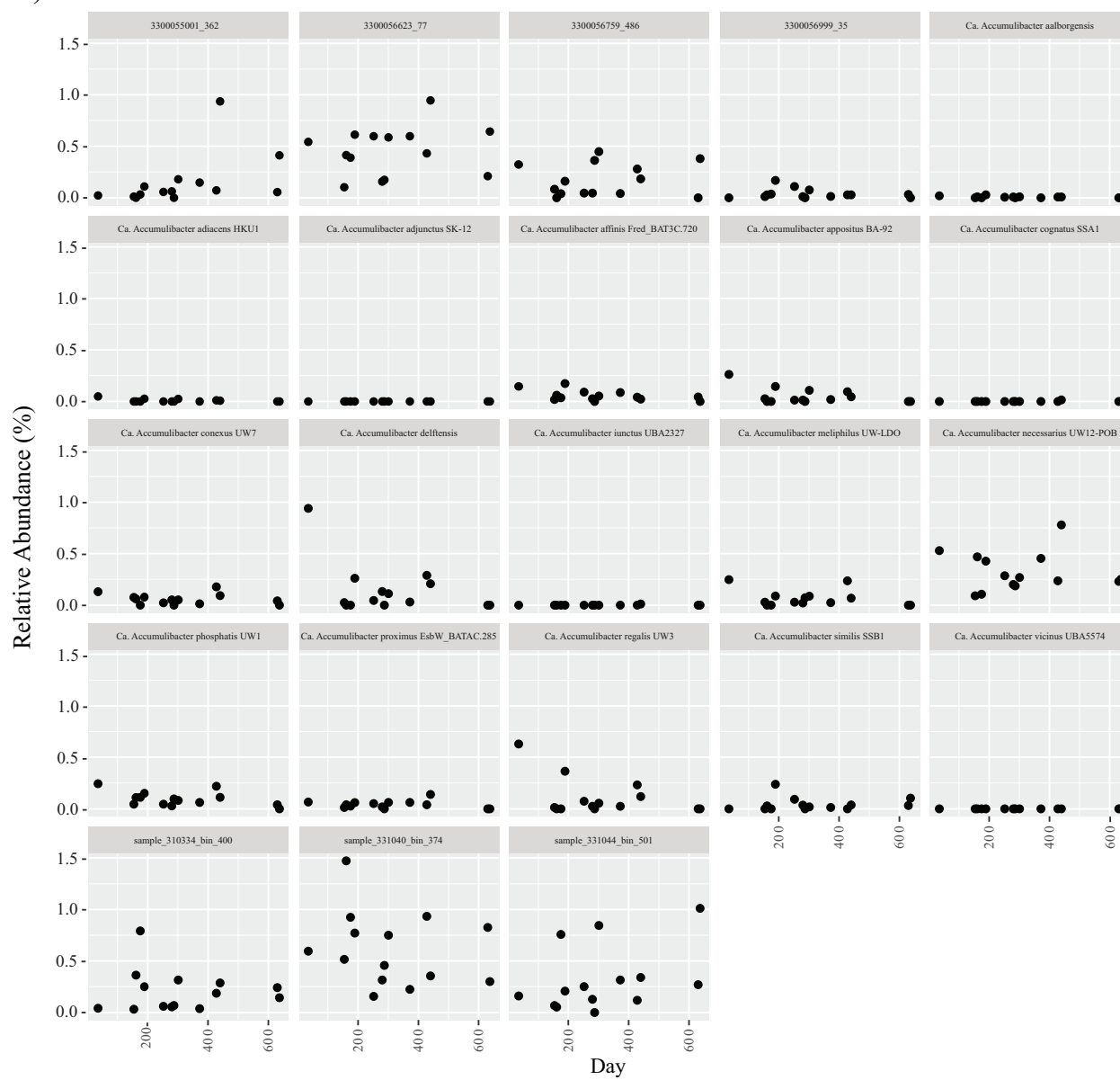
Supplementary Figure 4-4 Phylogenetic tree of non-redundant *ppk1* sequences from a database compiled by McDaniel, Moya-Flores, et al. (2021), supplemented with sequences from Petriglieri et al. (2022) and *Accumulibacter* MAGs assembled in this study.

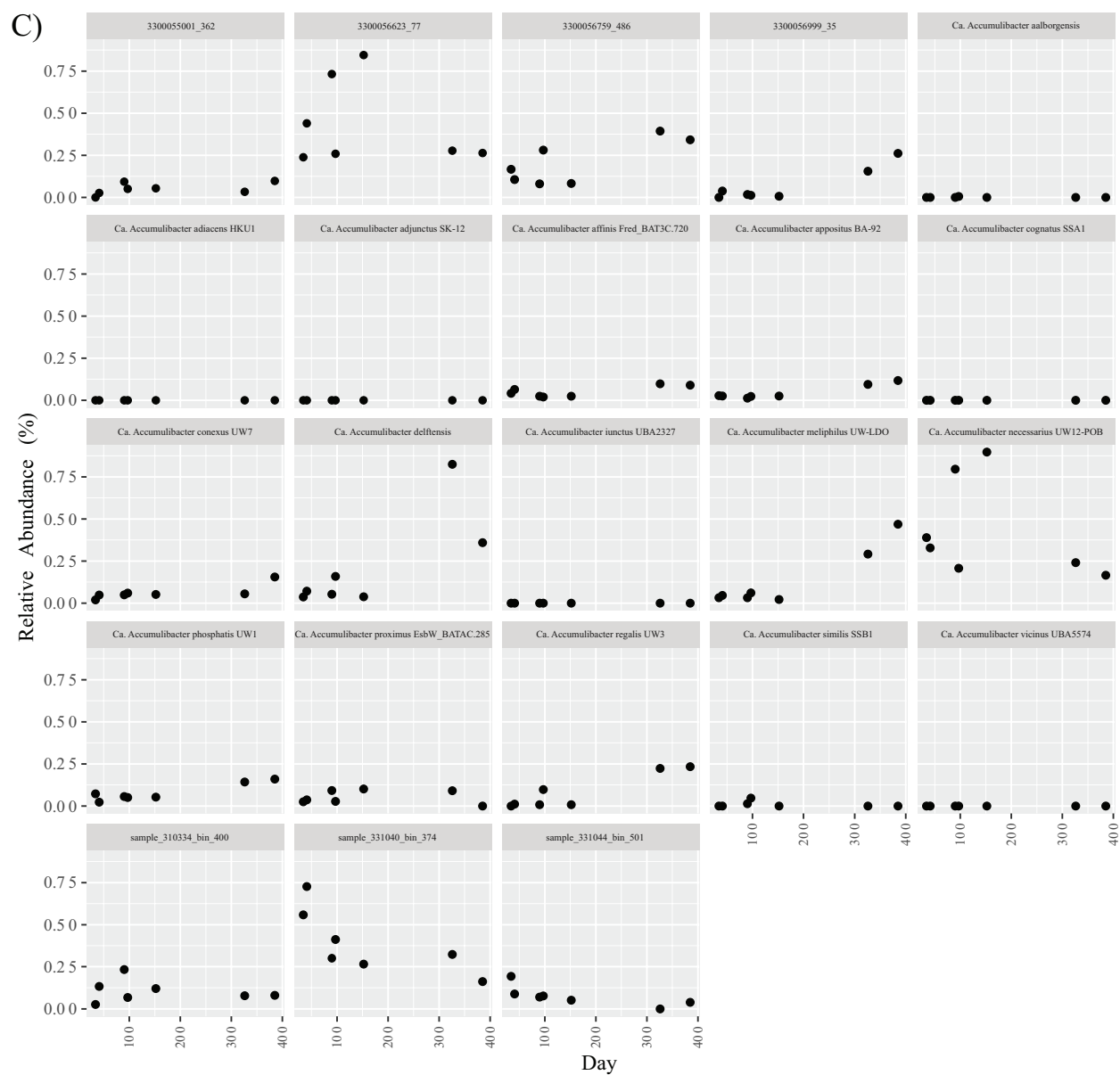


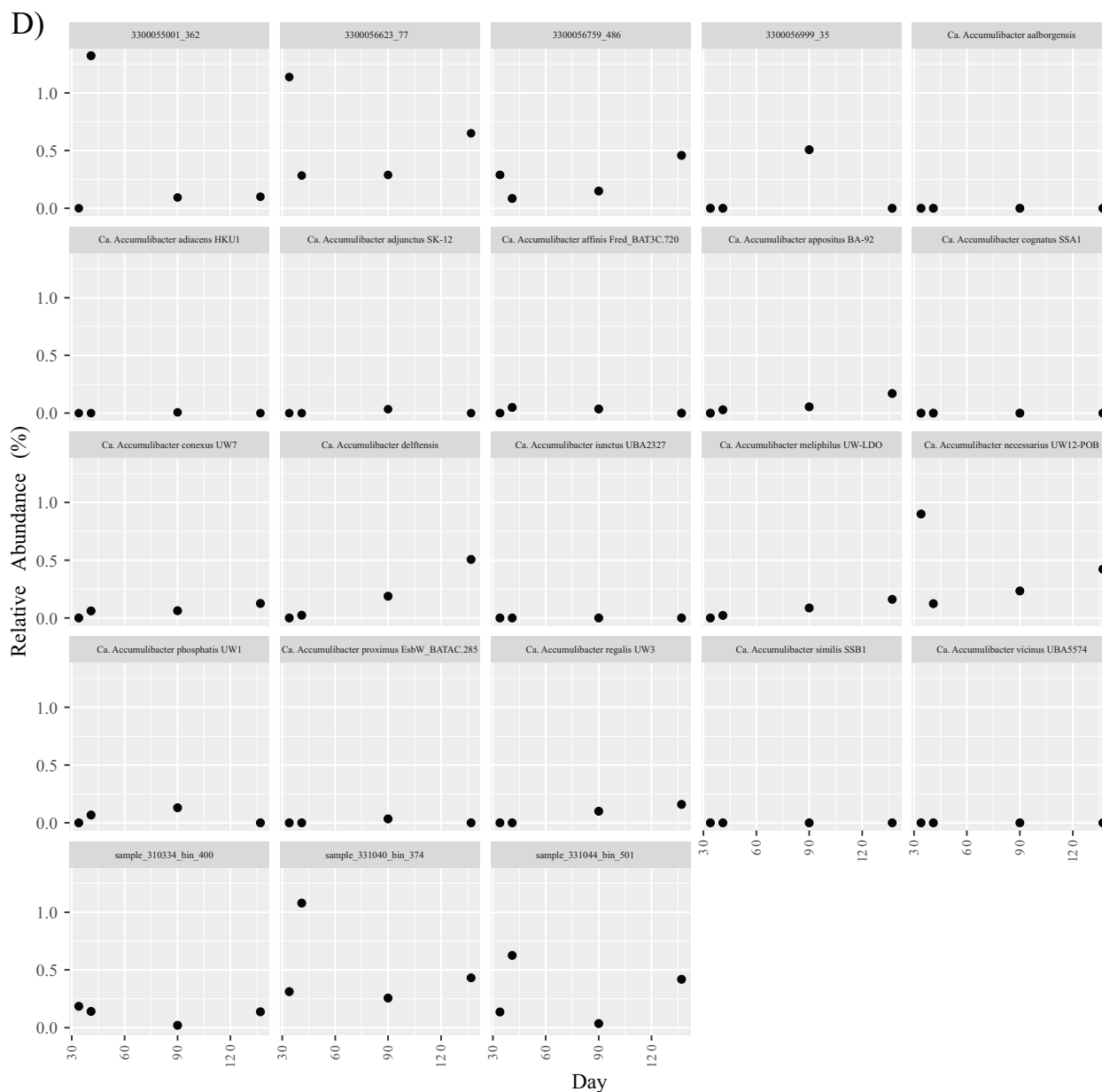
Supplementary Figure 4-5 Phylogenetic tree of all copies of the 16S rRNA sequences from the assembled *Accumulibacter* MAGs. Identical sequences of a particular branch are in parenthesis. The species representatives of *Accumulibacter* MAGs assembled from metagenomes of this study are bolded. The 16S rRNA sequence 3300056831_295_4 is likely contamination.



B)







Supplementary Figure 4-6 Time-series relative abundance of each non-redundant *Accumulibacter* species in the AOia and UCTca pilot-scale plants. (A) UCTca (B) AOia (C) AO-G (D) AO-FF

4.9 Acknowledgments

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Identifier – RRID:SCR_017759) to perform quality control of high-molecular weight (HMW) DNA. The work conducted by the U.S. Department of Energy (DOE) Joint Genome Institute (<https://ror.org/04xm1d337>), a DOE Office of Science User Facility, is supported by the Office of Science of the U.S. DOE operated under the contract DE-AC02-05CH11231.

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5 Conclusions and Future Directions

5.1 Summary

Minimizing aeration inputs for biological nutrient removal (BNR) is a promising strategy to reduce energy demands and operational costs for wastewater treatment plants without the need for major construction upgrades. This work builds upon prior pilot-scale BNR treatment systems conducted in collaboration with the Madison Metropolitan Sewerage District (Madison, WI) in which the overarching goal of achieving successful BNR under low dissolved oxygen (DO) has been demonstrated. In this work, we aimed to understand the implications of low-DO on the overall BNR process and the microbial community structure. We showed that effective BNR can be achieved using ammonia-based aeration control (ABAC) using either continuous or intermittent aeration at low-DO (Chapter 2). Modelling efforts led us to adjust the solids retention time to improve slower nitrification rates in the winter, however increasing the solids retention time led to a reduction in solids settling performance. With improved nitrification performance year-round and an increase in total nitrogen removal, we then evaluated the long-term effect of low-DO on nitrous oxide emissions (Chapter 3). We found that reductions in DO were followed by an increase in nitrous oxide production and emission, however emissions decreased after prolonged exposure to low-DO. Aside from reductions in DO, process nuisances caused performance deviations, such as incomplete nitrification and nitrite accumulation, which corresponded with the highest production of nitrous oxide. We then use genome-resolved metagenomics and metatranscriptomics to compare the *Accumulibacter* community across pilot-scale BNR systems operating at low-DO. We found that the *Accumulibacter* community in low-DO BNR environments is diverse, indicating the ability to survive low-DO conditions is widespread amongst the lineage (Chapter 4). The coexistence of multiple *Accumulibacter*

species with the genetic capability to perform various steps of the denitrification pathway, could mean that they occupy niche-differentiating roles. Our results highlight challenges that remain to be resolved before implementation of low-DO aeration strategies in conventional wastewater treatment plants. To this end, we have identified future research areas that address these challenges and further our knowledge the microbial community performing BNR for better operation.

5.2 Explore the limit to which DO does not impact sludge settleability

In an effort to improve sludge settleability, under low-DO conditions, we have explored three options to densify the activated sludge flocs detailed in Amstadt [1]. During the operation of the pilot-scale systems described in Chapter 2, we reconfigured the anaerobic zones of both treatment trains to include multiple tanks in series, which improves the plug-flow conditions. It has been suggested that proper plug-flow conditions are necessary to achieve an optimal organic loading rate^[2], a factor that is known to impact sludge settleability^[3]. Second, we compared the impact of a return activated sludge fermentation zone, which simultaneously supplements influent organic carbon for N and P removal and impacts the organic loading rate. Third, we implemented sludge selective wasting through size- and gravity-based methods. Despite, these efforts we did not observe any significant improvements to sludge settleability. We did make a preliminary conclusion that DO should not be lowered beyond specific setpoints^[1], however these limits need to be further explored. For example, a comparison between cascading DO setpoints in each aerobic zone (as designed in Amstadt (2022)) as opposed the same DO setpoints in the entire aerobic zone (as designed in Chapter 2) could be made. Evaluation of selective sludge wasting methods should also investigate the minimum amount of sludge flow to

the selective wasting device needed to make a significant impact. However, this strategy must be optimized to maintain the necessary solids retention time to maintain BNR performance. Finally, the impact of increasing the DO should be evaluated to see if settleability can be recovered from low-DO conditions.

5.3 Improve nitrous oxide sampling campaigns

To best capture nitrous oxide emission dynamics, we recommend a high-frequency and long-term sampling campaign. In addition to spatial and temporal measurements, this campaign should include diurnal measurements as nitrous oxide has been observed to be dynamic with varying influent load^[4]. Multiple, year-long campaigns should be prioritized as short-term monitoring periods are likely to miss seasonal variations increasing the variability in the recorded nitrous oxide emissions. A rigorous campaign is best implemented using online, continuous measurements. The contribution and kinetics of the various nitrous oxide production pathways to the overall generation over varying operational and environmental conditions (e.g. DO, nitrite concentration, process configuration, etc.) should be evaluated to aid in modelling efforts and mitigation strategies. Isotopic, molecular, and ‘omic techniques such as qPCR, qFISH, and metatranscriptomics, could aid in this effort.

5.4 Further analysis of BNR microbial community dynamics under low-DO conditions

Our evaluation of the *Accumulibacter* community revealed that most *Accumulibacter* members are equipped to survive low-DO conditions, as has been noted by other studies. It has also been observed that the *Accumulibacter* community adapts to low-DO conditions by increasing P uptake rates rather than oxygen affinity^[5]. Efforts to explore the regulatory mechanisms of these

organisms would improve our understanding of *Accumulibacter* in low-DO systems, particularly under changing conditions such as intermittent aeration. An in-depth investigation of the nitrifier communities under low-DO conditions using ‘omic methods is also needed to answer the question of adaptation or shift in community members (e.g. canonical NOBs to comammox bacteria). With improving sequencing technology, bioinformatic algorithms, and growing databases of high-quality microbial genomes, we are more equipped to answer these questions. Applying these insights allows for improved process predictions and operation.

5.5 References

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